

South Coast Air Quality Management District

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Form 2007-4B Regional Clean Air Incentives Market Trading Credits (RTCs) Report of Forward Contract

(Two-sided Form)

Mail To: SCAQMD, RECLAIM Administration - RTC Transfers P.O. Box 4830 Diamond Bar, CA 91765-0830

> Tel: (909) 396-3119 www.aqmd.gov

†Rule 2007 (e)(2)(I) requires that all agreements for a forward contract be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. If the buyer and/or seller requests confidentiality, the District will keep the identity of the buyer and/or seller confidential to the extent allowed by law until the contract has been exercised as a transfer of RTCs. This report is required to be submitted to the AQMD within five (5) business days of the agreement. This form is for reporting a forward contract only. For a contingent right to purchase, use Form 2007-4A.

	ng confidentiality Buyer	of identity, check O Seller		oox and complete Buyer and Seller		ont and	d reverse sides of this form:	
lame of	f Buyer†	(Do not fill out this line if a	laiming confidentiality of Bu	war - cao roverse cide of	form)		Account I.D. #	
ame of	Seller†						Account I.D. #	
Interm	ediary.	(Do not fill-out this line if of lution Markets,	laiming confidentiality of Se	eller – see reverse side of	form)		Account I.D. #700117	
		Purchase	O Sell	O Swap		Premi	um paid for this contract:	
	for this report:		O Extension	O Modification (Circle items modi	fied)	\$0.00	0	
	hen this agreeme	al agreement dat nt was reached:	12/10/2015		_	Date when the RTCs are to be delivered: 01/04/2016		
			etion? • Yes [T	otal Value of Tra	ansacti	on \$_6	99,160.00 O No (Attach a separate form if more spaces are need)	
Cycle	From* Compliance Year	To * Compliance Year	Original Zone (Coastal or Inland)	Maximum Quantity (Pounds/year)		rice ound)	Origin of Credits	
2	6/30/2017	All Year After	Coastal	3178	N	/A	Rule No.: REG XX	
		7 7 1					Rule No.:	
							Rule No.:	
					A #3174 **		Rule No.:	
* In t tran ma of t	the "From Compliance \ nsaction, (2) perpetual rk this column "Single \ the last compliance year	Year" Column, fill in the stream transaction, or Year Trade". For a per r. Use separate lines	expiration date of the fi 3) multiple year transaction betual stream transaction for transactions of different	rst compliance year Riction of RTCs of same on, mark this column "A ent RTCs, quantities or	TCs. The zone, qual Il Years prices.	e "To Cor uantity, ar After". F	e Price (\$/Pound) column. npliance Year" Column is used to enter (1) single year and price in a single line. For a single year transaction, or a multiple year transaction, fill in the expiration date	
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PM 12/17/15

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		of identity, check O Seller		box and complete Buyer and Seller		ont and	d reverse sides of this	s form:
) Buyer	O Sellel	O BOILL	Buyer and Seller	1			
Name of	f Buyer†						Account I.D. #	
		(Do not fill-out this line if o	claiming confidentiality of B	uyer – see reverse side of	form)		Associat ID #	
	f Seller†	(Do not fill-out this line if o	laiming confidentiality of S	eller – see reverse side of	form)		Account I.D. #	
Interm	ediary: diary Name_Evo	lution Markets,	Inc.					700117
Forward	d Contract to:	Purchase	O Sell	O Swap		Premi	um paid for this contr	act:
	n for this report:		O Extension	O Modification (Circle items modi	l ified)	\$0.0	2	
,		al agreement date	e):			_		1 - 1 P 1
Date w	hen this agreeme	ent was reached:	12/10/2015	;	_	Date when the RTCs are to be delivered: 01/04/2016		
		O SOx (Identify of ock RTCs transactions)			ansactio	on \$ <u>6</u>	37,500.00 (Attach a separate for	o No
							1	m n more spaces as a measure
	Terms of RTC Tra	ansferred	Original Zone	Maximum				
Cycle	Terms of RTC Tra From * Compliance Year	To * Compliance Year	Original Zone (Coastal or Inland)	Maximum Quantity (Pounds/year)		ound)	Origi	n of Credits
	From* Compliance	To * Compliance	(Coastal or	Quantity		ound)	Origi	
	From* Compliance Year	To * Compliance Year	(Coastal or Inland)	Quantity (Pounds/year)	(\$/Pc	ound)		
	From* Compliance Year	To * Compliance Year	(Coastal or Inland)	Quantity (Pounds/year)	(\$/Pc	ound)	Rule No.: REG XX	
	From* Compliance Year	To * Compliance Year	(Coastal or Inland)	Quantity (Pounds/year)	(\$/Pc	ound)	Rule No.: REG XX Rule No.:	
2 For	From* Compliance Year 6/30/2017	To * Compliance Year All Year After	(Coastal or Inland) Coastal ue of transaction. For each	Quantity (Pounds/year) 3000	(\$/Pc	/A	Rule No.: REG XX Rule No.: Rule No.: Rule No.: Price (\$/Pound) column.	n of Credits
Promote the second sec	From * Compliance Year 6/30/2017 f Infinite-Year-Block tranthe "From Compliance the "From Compliance the "Infinite Column "Single the last compliance year thand that this is only	To * Compliance Year All Year After All Year After Associon, report total valuation Year" Column, fill in the stream transaction, or (after Trade". For a perport. Use separate lines fill year perport to the AQ	(Coastal or Inland) Coastal Coastal Lee of transaction. For expiration date of the fall of the fall or transaction or transactions of differ transactions of differ transactions of the agreement of the agreem	Quantity (Pounds/year) 3000 aach transaction line entirst compliance year RT ction of RTCs of same on, mark this column "A ent RTCs, quantities or	(\$/Pc	under the "To Comantity, ar After". Fo	Rule No.: REG XX Rule No.: Rule No.: Rule No.: Price (\$/Pound) column. Inpliance Year" Column is used price in a single line. For a multiple year transaction,	ed to enter (1) single year a single year transaction, , fill in the expiration date
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