

Form 2007-4

Rev. 12-03

### SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT

# Regional CLean Air Incentives Market Trading Credits (RTCs) Report of Contingent Right to Purchase RTCs and Forward Contract

#### Form 2007-4

†Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or forward contracts be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. In the case of intermediary, the rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within five (5) business days of the agreement.

ayer Na	ame†					Account ID#
eller Na	ame†					Account ID#
Interme	ediary:	ntor Fitzgerald _				Account ID# <b>700004</b>
	ontract:	C Purchase □ Se	I □ Swap	Premium paid f	or this contract:	\$0.00
	ward Contract					
ate whe	n this agreemer	nt was reached: <b>6/</b> 3	22/05	Expiration Date September 1, 2		he RTCs are to be delivered :
Attach	forward contra	ct or agreement fo	r contingent right t	o purchase		
ollutant:	NOx _X_	or SOx (lo	dentify one polluta	nt only)	(A	uttach a separate form if more spaces are neede
T	erms of RTC Tr	ansferred	Original Zone	Maximum	Price	·
Cycle	From Compliance Year(*)	To Compliance Year(*)	(Coastal or Inland)	Quantity (Pounds/Year)	(\$/Pound)	Origin of Credits
1	12/30/2005	Single Year Trade	Coastal	10,922	\$5.45	Rule No. :XX
1	12/30/2006	Single Year Trade	Coastal	25,500	\$5.45	Rule No. :XX
1	12/30/2007	Single Year Trade	Coastal	22,516	\$5.45	Rule No. :XX
1	12/30/2008	Single Year Trade	Coastal	21,828	\$5.45	Rule No. :XX
1	12/30/2009	Single Year Trade	Coastal	21,139	\$5.45	Rule No. :XX
1	12/30/2010	Single Year Trade	Coastal	20,451	\$5.45	Rule No. :XX
1	12/30/2011	All Years After	Coastal	19,762	\$5.45	Rule No. :XX
transa this co last co registe nderstan derstand sociated	ction, (2) perpetual st blumn "Single Year Ti ompliance year. Use ered. d that this is only a that this report doe	tream transaction, or (3) rade". For a perpetual si e separate lines for trans- report to the AQMD of es not act as or replace in accordance with Ru	nultiple year transaction of transaction of transactions of different RTCs the agreement of a for the RTC Transaction	of RTCs of same zone, qu his column "All Years Afte , quantities or prices. Tran ward contract or a conti Registration. I also und	antity, and price in a s  r*. For a multiple yeansactions for all inclus  ingent right to purch erstand that a sepa	rear" Column is used to enter (1) single year single line. For a single year transaction, mark ar transaction, fill in the expiration date of the ive years between these two columns will be asse, sale, or swap RTCs. I further rate RTC Transaction Registration and certify that the statements are true, accura
eported	Ву:	_Diarmuid McGarry (Print na		Signature:		
ompany	Name & Title:	Cantor Fitzgeral	d, Office Manager_	Date and	Place: 6/29/05 -	San Francisco
	ID Disclaimer: This	report will be made av	ailable to the public for	informational purposes	only. The SCAQM	ID does not verify the content of this report.
SCAQM						Postmark 4/29/

Page \_\_\_\_ of \_\_\_ pages



Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

#### Form 2007-4

TRule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or forward contracts be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. In the case of intermediary, the rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within five (5) business days of the agreement.

agreenie	414.							
Buyer	Name <sup>†</sup>	Acco	ount ID#					
Seller l	Namet	Acco	ount ID#					
If Intermediary: Evolution Markets LLC							ount ID# 700117	
Type of contract:  Contingent Right to: ☐ Purchase ☐ Sell ☐ Swap  X Forward Contract						- 11 - 1 - 1		
		ent was reached	: 6/3/05	Expiration Date or Date when the RTCs are to be delivered: 2007-2s will be sent to Buyer for signature on August 17, 2005.				
→ Atta	ch forward cont	ract or agreeme	nt for contingent righ	it to	purchase			
Polluta	nt: NOx _X_	or SOx	(Identify one pollutant	only	y)	(Attach a	separate form if more spaces are needed)	
	Terms of RTC Tr	ansferred						
Cycle	From Compliance Year(*)	To Compliance Year(*)	Original Zone (Coastal or Inland)		Maximum Quantity (Pounds/Year)	Price (\$/Pound)	Origin of Credits	
1	12/31/2006	Single Year Trade	Coastal	$\neg$	25,500	\$6.63/lb	Rule No.: Reg XX	
1	12/31/2007	Single Year Trade	Coastal		119,711	\$6.63/lb	Rule No.: Reg XX	
1	12/31/2008	Single Year Trade	Coastal		108,952	\$6.63/lb	Rule No.: Reg XX	
1	12/31/2009	Single Year Trade	Coastai		104,193	\$6.63/lb	Rule No.: Reg XX	
1	12/31/2010	Single Year Trade	Coastal		104,434	\$6.63/lb	Rule No.: Reg XX	
tran: this last	saction, (2) perpetual s column "Single Year T	tream transaction, or (3) 'rade". For a perpetual	multiple year transaction of R stream transaction, mark this	COlum	of same zone, quantity, and nn "All Years After". For a n	price in a single lin nultiple year transa	alumn is used to enter (1) single year e. For a single year transaction, mark ction, fill in the expiration date of the s between these two columns will be	
swap R1 separate	FCs. I further und e RTC Transaction	lerstand that this r n Registration and	eport does not act as o	requ	place the RTC Transa ired in accordance w	ction Registra	t right to purchase, sale, or ntion. I also understand that a within five (5) business days of	
Reporte	d By: _Samantha	a Unger(Print n	ame)	Sig	nature:			
Company SCAQMD	/ Name & Title: <u>Evoi</u> Disclaimer: This rep	lution Markets LLC, ort will be made availa	Director of California Env able to the public for inform	i <b>ro</b> ni ation	mental Markets Date an eal purposes only. The SC	d Place: <u>ผันน</u> CAQMD does not	te Plans NY 6/6/05 verify the content of this report.	

Submit this form to

1

SCAQMD, RECLAIM Administration - RTC Transfers, P.O. 4830, Diamond Bar, CA 91765-0830



Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

# Form 2007-4

TRule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or forward contracts be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. In the case of intermediary, the rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within five (5) business days of the agreement.

agreement.								
Buyer	Name <sup>†</sup>				Acco	ount ID#		
Seller l	Namet				Acco	ount ID#		
	mediary: Evol	ution Markets I			ount ID# 700117			
		<del>-</del>		Bramium naid for this	contract			
Type of contract:  Contingent Right to: □ Purchase □Seil □ Swap								
X Forward Contract								
	hen this agreem	ent was reached	1: 6/3/05	Expiration Date or Da	te when the F	RTCs are to be delivered :		
	_					ignature on August 17, 2005.		
l								
→ Atta	ch forward cont	ract or agreeme	nt for contingent righ	t to purchase				
		<del>-</del>	(Identify one pollutant	•				
Foliula		0/ <b>30x</b>	(Identily one polititant	oniy)	(Attach a	separate form if more spaces are needed)		
	Terms of RTC Tr	ansferred						
	From	To	Original Zone	Maximum Quantity	Price	Origin of Credits		
Cycle	Compliance	To Compliance	(Coastal or Inland)	(Pounds/Year)	(\$/Pound)			
	. Year(*)	Year(*)			1			
1	12/31/2011	All years after	Coastal	90,675	\$6.63/lb	Rule No.: Reg XX		
2	6/30/2007	Single Year Trade	Inland	83,000	\$6.63/lb	Rule No.: Reg XX		
2	6/30/2008	Single Year Trade	Inland	73,289	\$6.63/lb	Rule No.: Reg XX		
2	6/30/2009	Single Year Trade	Inland	71,048	\$6.63/lb	Rule No.: Reg XX		
2	6/30/2010	Single Year Trade	Inland	68,807	\$6.63/lb	Rule No. : Reg XX		
* In the "From Compliance Year" Column, fill in the expiration date of the first compliance year RTCs. The "To Compliance Year" Column is used to enter (1) single year transaction, (2) perpetual stream transaction, or (3) multiple year transaction of RTCs of same zone, quantity, and price in a single line. For a single year transaction, mark this column "Single Year Trade". For a perpetual stream transaction, mark this column "All Years After". For a multiple year transaction, fill in the expiration date of the last compliance year. Use separate lines for transactions of different RTCs, quantities or prices. Transactions for all inclusive years between these two columns will be registered.  I understand that this is only a report to the AQMD of the agreement of a forward contract or a contingent right to purchase, sale, or								
swap Ri	TCs. I further und	erstand that this	report does not act as o	or replace the RTC Transa	iction Registra	ition. I also understand that a		
						within five (5) business days of		
ine actu	iai Ki Guransier.	certify that the st	atements are true, accu	irate, and complete to the	a pest of man ki	MWIERRE I		
Reporte	d By: _Samantha	a Unger(Print to	ame)	Signature:		<del> </del>		
Company SCAQMD	<b>y Name &amp; Title: <u>Evo</u> ODisclaimer: This rep</b>	lution Markets LLC, ort will be made avail	Director of California Env	ironmental Markets Date an ational purposes only. The SC	d Place: LCALC CAQMD does not	TENIAUS, NY 6/6/05 verify the content of this report.		

Submit this form to

SCAQMD, RECLAIM Administration - RTC Transfers, P.O. 4830, Diamond Bar, CA 91765-0830



Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

#### Form 2007-4

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Seller Name!   Account ID#   A	Buyer N	Name†			Account ID#				
Contingent Right to:  Purchase  Sell  Swap  X Forward Contract  Date when this agreement was reached: 6/3/05  Expiration Date or Date when the RTCs are to be delivered: 2007-2s will be sent to Buyer for signature on August 17, 2005.  Attach forward contract or agreement for contingent right to purchase  Pollutant: NOx _X_  or SOx _ (Identify one pollutant only)  Attach a separate form if more spaces are needed  Terms of RTC Transferred  Original Zone			ution Markets LI	LC	Account ID# Account ID#				
Date when this agreement was reached: 6/3/05  Expiration Date or Date when the RTCs are to be delivered: 2007-2s will be sent to Buyer for signature on August 17, 2005.  Attach forward contract or agreement for contingent right to purchase  Pollutant: NOx _X_ or SOx (Identify one pollutant only)  Terms of RTC Transferred  From	Conting	ent Right to:	Purchase □Se	II □ Swap	Premium paid for this contract:				
Pollutant: NOx _X_ or SOx			ent was reached:	6/3/05					
Terms of RTC Transferred    From   Compliance   Compliance   Year(*)   Price   (S/Pound)   Original Zone   (Constal or Inland)   Price   (S/Pound)   Origin of Credits			_			/Attach a	separate form if more spaces are needed.		
From Compliance Year(*)  2 6/30/2011 Single Year Trade Inland 66,566 \$6.63/lb Rule No.: Reg XX  2 6/30/2012 All years after Inland 64,325 \$6.63/lb Rule No.: Reg XX  * In the "From Compliance Year" Column, fill in the expiration date of the first compliance year RTCs. The "To Compliance Year" Column is used to enter (1) single year transaction, (2) perpetual stream transaction, or (3) multiple year transaction of RTCs of same zone, quantity, and price in a single line. For a single year transaction, mark this column "Single Year Trade". For a perpetual stream transaction, mark this column "Single Year Trade". For a perpetual stream transaction, or different RTCs, quantities or prices. Transactions for all inclusive years between these two columns will be registered.  I understand that this is only a report to the AQMD of the agreement of a forward contract or a contingent right to purchase, sale, or swap RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. I also understand that a separate RTC transaction Registration and associated forms are required in accordance with Rule 2007 within five (5) business days of the actual RTC transfer. I certify that the statements are true, accurate, and coi	Terms of RTC Transferred					(Alleen a	Separate room in more appared and necessary		
* In the "From Compliance Year" Column, fill in the expiration date of the first compliance year RTCs. The "To Compliance Year" Column is used to enter (1) single year transaction, (2) perpetual stream transaction, or (3) multiple year transaction of RTCs of same zone, quantity, and price in a single line. For a single year transaction, mark this column "Single Year Trade". For a perpetual stream transaction, mark this column "All Years After". For a multiple years between these two columns will be registered.  I understand that this is only a report to the AQMD of the agreement of a forward contract or a contingent right to purchase, sale, or swap RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. I also understand that a separate RTC Transaction Registration and associated forms are required in accordance with Rule 2007 within five (5) business days of the actual RTC transfer. I certify that the statements are true, accurate, and col	Cycle	Compliance	Compliance		Quantity	le de	Origin of Credits		
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transaction, (2) perpetual stream transaction, or (3) multiple year transaction of RTCs of same zone, quantity, and price in a single line. For a single year transaction, mark this column "Single Year Trade". For a perpetual stream transaction, mark this column "All Years After". For a multiple year transaction, fill in the expiration date of the last compliance year. Use separate lines for transactions of different RTCs, quantities or prices. Transactions for all inclusive years between these two columns will be registered.  I understand that this is only a report to the AQMD of the agreement of a forward contract or a contingent right to purchase, sale, or swap RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. I also understand that a separate RTC Transaction Registration and associated forms are required in accordance with Rule 2007 within five (5) business days of the actual RTC transfer. I certify that the statements are true, accurate, and coll.  Reported By: Samantha Unger	2	6/30/2012	All-years after	inland	64,325	\$6.63/lb	Rule No. : Reg XX		
Company Name & Title: Evolution Markets LLC, Director of California Environmental Markets Date and Place: White Markets NV 6/6/95	trans this of last of regis I underst swap RT separate the actual	action, (2) perpetual stoolumn "Single Year Troompliance year. Use tered.  tand that this is of Cs. I further und RTC Transactional RTC transfer. It is grant to the stool of	ream transaction, or (3) in rade*. For a perpetual size separate lines for transitioning a report to the erstand that this report to the certify that the start under (Print name).	multiple year transaction of RT tream transaction, mark this cactions of different RTCs, quantifications of different RTCs, quantifications of different RTCs, quantifications of the agreement accusassociated forms are reterments are true, accumine)	Cs of same zone, quantity, and column "All Years After". For a mantities or prices. Transactions for the forward contract or replace the RTC Transacquired in accordance warate, and column and column accordance.	orice in a single lin nultiple year transar all inclusive year or a contingen ction Registra th Rule 2007	e. For a single year transaction, mark action, fill in the expiration date of the solution the solution date of the solution the solution that a within five (5) business days of		

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Form 2007-4 Rev. 12-03

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Regional CLean Air Incentives Market Trading Credits (RTCs)
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five (5) bu	isiness days of t	he agreement.						
Buyer N	ame†				Account ID#			
Seller Na	ame†					Account ID#		
If Interm		intor Fitzgerald				Account ID# <u>700004</u>		
		X Purchase □ S	ell □ Swap	Premium pa	id for this contrac	pt: \$0.00		
Date whe 6/1/05	n this agreeme	nt was reached:		Expiration D 6/30/05	ate or Date when	the RTCs are to be delivered :		
→ Attacl	forward contr	act or agreement	for contingent r	ight to purchase	<del>)</del>			
Pollutant	: NOxx	or SOx (le	dentify one polluta	nt only)				
	erms of RTGEr.					ttach a separate form if more spaces are needed)		
	From	no Compliance Year:	OmginaliZoner (Coastalor Unland)	Outritie	Price. (S/Poure), la	Figure 1 Constitution of the constitution of t		
1	1,7,1,1,1	Single Year Trade	Coastal	5,000	\$4.35	Rule No. : XX		
1	12/31/06	Single Year Trade	Coastal	5,000	\$4.35	Rule No. : XX		
1	12/31/07	Single Year Trade	Coastal	5,000	\$4.35	Rule No. : XX		
1	12/31/08	Single Year Trade	Coastal	5,000	\$4.35	Rule No. : XX		
1	12/31/09	Single Year Trade	Coastal	5,000	\$4.35	Rule No. : XX		
1	12/31/10	Single Year Trade	Coastal	5,000	\$4.35	Rule No. : XX		
1	12/31/11	All Years After	Coastal	5,000	\$4.35	Rule No. : XX		
* In the "From Compliance Year" Column, fill in the expiration date of the first compliance year RTCs. The "To Compliance Year" Column is used to enter (1) single year transaction, (2) perpetual stream transaction, or (3) multiple year transaction of RTCs of same zone, quantity, and price in a single line. For a single year transaction, mark this column "All Years After". For a multiple year transaction, fill in the expiration date of the last compliance year. Use separate lines for transactions of different RTCs, quantities or prices. Transactions for all inclusive years between these two columns will be registered.  I understand that this is only a report to the AQMD of the agreement of a forward contract or a contingent right to purchase, sale, or swap RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. It also understand that a separate RTC Transaction Registration and associated forms are required in accordance with Rule 2007 within five (5) business days of the actual RTC transfer. I certify that the								
statements	are true, accurate	, and complete to the	best of my knowled	lge.				
Reported	By: <u>Josh Ma</u>	argolis (Print nar	ne)	Signature:		·		
Company	Name & Title:	Cantor Fitzgeral	d, Managing Direc	tor Da	te and Place:6/	7/05San Francisco		
SCAQMI	Disclaimer: This re	eport will be made ava	ilable to the public for	informational ouroes	es only. The SCAOME	) does not verify the content of this report.		

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Buyer Na	ame†		Account ID#					
Seller Na	me <sup>†</sup>					Account ID#		
If Interme	ediary:							
Intermed	liary Name Ca	intor Fitzgerald	•		Account ID# 700004			
Type of c	ontract:			Premium paid	for this contrac	et: \$0.00		
		Purchase ☐ Se	II □ Swap					
	ward Contract							
Date when this agreement was reached: 5/27/05				Expiration Dat 6/30/05	e or Date when	the RTCs are to be delivered :		
	forward contr	act or agreemen	t for contingent rig					
		_	_ ,	•				
Pollutant	: NOx _x	or SOx (le	dentify one pollutan	t only)	(Δ	ttach a separate form if more spaces are needed)		
T	erms of RTC Tr	and forward			<u>IA</u>	Hacif a separate furth it more spaces are needed		
		initial ind	Original Zone	Maximum	Price	out roun		
Cycle	From Compliance	To Compliance	(Constal or Inland)	Quantity (Pounds/Year)	(S/Pound)	Origin of Credits		
0,00	Year(*)	Year(*)		•				
1	12/31/2005	Single Year Trade	Coastal	12,800	\$4.30	Reg xx		
1	12/31/2006	Single Year Trade	Coastai	13,841	\$4,30	Reg xx		
1	12/31/2007	Single Year Trade	Coastal	12,222	\$4.30	Reg xx		
1	12/31/2008	Single Year Trade	Coastal	11,848	\$4.30	Reg xx		
1	12/31/2009	Single Year Trade	Coastai	11,474	\$4.30	Reg xx		
1	12/31/2010	Single Year Trade	Coastai	11,100	\$4.30	Reg xx		
1	12/31/2011	All Years After	Coastai	10,727	\$4.30	Reg xx		
	1 1	1 1						
	1 1	1 1						
* In the	From Compliance Ye	ear" Column, fill in the ex	piration date of the first c	ompliance year RTCs. 1	The "To Compliance Y	ear" Column is used to enter (1) single year		
transac this col	ction, (2) perpetual str	eam transaction, or (3) r	nultiple year transaction of	RTCs of same zone, qua	antity, and price in a si	ngle line. For a single year transaction, mark ansaction, fill in the expiration date of the test		
complia	ance year. Use se	parate lines for transact	ions of different RTCs, qu	column All reals Anel . antities or prices. Trans	ror a multiple year tra sactions for all inclusiv	re years between these two columns will be		
register	red.							
l understan	d that this is only	a report to the AOM	D of the agreement of	a forward contract o	r a continuent righ	it to purchase, sale, or swap RTCs. I		
further und	erstand that this r	eport does not act a	s or replace the RTC 1	ransaction Registrat	lion. I also unders	tand that a separate RTC Transaction		
Registration	n and associated t	forms are required in	n accordance with Rul	e 2007 within five (5)	business days of	the actual RTC transfer. I certify that the		
statements	are true, accurate	, and complete to th	e best of my knowledo	je.				
Reported 8	Зу:Jo	sh Margolis		_ Signature:				
		(Print nan	ne)					
Company	Name & Title:	Cantor Fitzgerald	, Managing Directo	or. Date and Pla	ce: <u>06/06/</u>	05, San Francisco, CA		
SCAQMD	Disclaimer: This re	port will be made ava	ilable to the public for in	formational purposes	only. The SCAQML	O does not verify the content of this report.		

Submit this form to SCAQMD, RECLAIM Administration - RTC Transfers, P.O. 4830, Diamond Bar, CA 91765-0830.

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1140 (0) 00	omoco daya or t	ne agreement.						
Buyer Na	ame†			·		Account ID#		
Seller Na	ımet				Account ID#			
lf Intermed	•	intor <u>Fitzgerald</u>			Α	Account ID# <u>700004</u>		
		X Purchase 🗆 S	Sell □ Swap	Premium paid	i for this contract	t: \$0.00		
· · · · · · · · · · · · · · · · · · ·		ent was reached:		Expiration Da 7/11/05	te or Date when t	the RTCs are to be delivered :		
→ Attach	forward contr	act or agreemen	t for contingent rig	ht to purchase				
Pollutant	: NOx _x_ i	or <b>SO</b> x (I	dentify one pollutant	t only)	/AH-	ach a separate form if more spaces are needed)		
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35 10 10 75	From	insferred To Compliance (eart)	aOriginal/Zone Mc(Coastil/Orier Unland)	Maximum Qiantiya (Pounds Veur)	Price (\$/Pound)	Baka 200 ginoti Ciedits 2255		
1	12/31/2005	Single Year Trade	Coastal	50,000	\$1.20	Rule No. : XX		
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transac this col last cor	* In the "From Compliance Year" Column, fill in the expiration date of the first compliance year RTCs. The "To Compliance Year" Column is used to enter (1) single year transaction, (2) perpetual stream transaction, or (3) multiple year transaction of RTCs of same zone, quantity, and price in a single line. For a single year transaction, mark this column "Single Year Trade". For a perpetual stream transaction, mark this column "All Years After". For a multiple year transaction, fill in the expiration date of the last compliance year.  **Use separate lines for transactions of different RTCs, quantities or prices. Transactions for all inclusive years between these two columns will be registered.							
Registration	erstand that this ri n and associated f	eport does not act a forms are required in	s or replace the RTC Tr	ansaction Registrate 2007 within five (5)	lon. Lalso understar	o purchase, sale, or swap RTCs. I nd that a separate RTC Transaction a actual RTC transfer. I certify that the		
Reported 6	By: <u>Diarmui</u>	d McGarry	me)	Signature: _	; ; <u>-</u> :	1		
0	Manage							
Company (	vame & Title:	Cantor Fitzgera	ld, Office Manager	Date and Place:	5/25/05San	Francisco		
SCAQME	Disclaimer: This re	aport will be made ava	illable to the public for in	formational purposes	only. The SCAQMD o	does not verify the content of this report.		

Submit this form to

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Postmak This ho



Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

†Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or forward contracts be reported by either the
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rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within
five (5) business days of the agreement.

Buyer <b>N</b> a	ame†	_Account ID#					
Seller Na	ame†					_Account ID#	
Intermed stermed		intor Fitzgerald		Account ID# 700004			
ype of c	ontract:	X Purchase □ S	, , , , , , , , , , , , , , , , , , ,	Premium pai	d for this contr	ract: \$0.00	
	ward Contract	X : dronasc 🖂 O	en 🗆 Owap				
ate whe 11/05	n this agreeme	ent was reached:		Expiration D 6/30/05	ate or Date who	en the RTCs are to be delivered :	
Attach	forward contr	act or agreement	for contingent rig	ht to purchase			
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the part of the second	FLANCE CONTRACTOR OF THE PROPERTY OF THE	1000 TO THE THE TAX SCHOOL THE THE	Onginal Zone	. :: Yaxımum	Prices	Attach a separate form it more spaces are needed	
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1	12/31/05	ingle Year Trade	Coastai	2,258	\$4.00	Rule No. : XX	
1	12/31/06	Single Year Trade	Coastal	2,258	\$4.00	Rule No. : XX	
1	12/31/07	Single Year Trade	Coastal	1,994	\$4.00	Rule No. : XX	
1	12/31/08	Single Year Trade	Coastal	1,933	\$4,00	Rule No. : XX	
1	12/31/09	Single Year Trade	Coastal	1,872	\$4.00	Rule No. : XX	
1	12/31/10	Single Year Trade	Coastal	1,811	\$4.00	Rule No. : XX	
1	12/31/11	All Years After	Coastal	1,750	\$4.00	Rule No. : XX	
transac this col last cor register nderstan- ther undi gistratior	ction, (2) perpetual str tumm "Single Year Trampliance year. Use red. d that this is only erstand that this rand associated for	eam transaction, or (3) m ede". For a perpetual str separate lines for transa a report to the AQMD eport does not act as forms are required in	nultiple year transaction of earn transaction, mark thi ctions of different RTCs, coro of the agreement of a or replace the RTC Ti	RTCs of same zone, of scolumn "All Years Af quantities or prices. Treatment of the same a forward contract ransaction Registre 2007 within five (5	wantity, and price in a ter". For a multiple y ansactions for all incli or a contingent rig ation. Lalso under	e Year' Column is used to enter (1) single year a single line. For a single year transaction, mark ear transaction, fill in the expiration date of the usive years between these two columns will be that to purchase, sale, or swap RTCs. I stand that a separate RTC Transaction of the actual RTC transfer. I certify that the	
ported E	By: <u>Diarmui</u>	d McGarry (Print nan	ne)	Signatu	re:		
mpany i	Name & Title:		d, Office Manager_	_ Date an	d Place:5/18	3/05San Francisco	
			_			MD does not verify the content of this report.	
			Submit the	is form to		Postmank 5/2	
n 2007-4 12-03	SCAGND, K	EULAIM Adminis	tration - RTC Tran	sters, P.O. 4830	i, Diamond Bai	; CA 91765-0830	



Regional CLean Air Incentives Market Trading Credits (RTCs) Report of Contingent Right to Purchase RTCs and Forward Contract

#### Form 2007-4

†Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or forward contracts be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. In the case of intermediary, the rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within

nve (5) ot	usiness days of	ine agreement.				
Buyer N	lame†		Account ID#			
Seller N	ame†					Account ID#
If Interm	nediary: diary Name <u>C</u>		Account ID# 700004			
		IX Purchase 🗆 S	Sell □ Swap	Premium paid	for this contra	ict: \$0.00
	en this agreem	ent was reached:		Expiration Da 6/30/05	ite or Date whe	n the RTCs are to be delivered :
→ Attac	h forward cont	ract or agreemen	t for contingent rigi	ht to purchase		
Pollutani	t: NOxx	or SOx (I	dentify one pollutant	only)	ſ.	Attach a separate form if more spaces are needed)
Cycle	Compliance	To Compliance		Maximum Oranity (Pounds/Xear)	Price (S/Bound)	n - A 5 Originol Gredits
1	12/31/07	12/31/11	Coastal	56,800	\$5.40	Rule No. : XX
1	12/31/12	All Years After	Coastai	56,800	\$5.40	Rule No. : XX
		<del>                                     </del>			<u>                                     </u>	Rule No. :
						Rule No.:
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Reported	By:Josh M	arnolie	_	Cianatura	<u> </u>	
, · · <del>-</del>	J =	(Print nar	ne)	Signature: _	<u></u> j	
Company	Name & Title: _	Cantor Fitzgeral	d, Managing Director	r Date and Pla	ace:3/31/05	_San Francisco
						D does not verify the content of this report.
						" Postmank 3/31/
	COLOUR :		Submit this	s form to		•

SCAQMD, RECLAIM Administration - RTC Transfers, P.O. 4830, Diamond Bar, CA 91765-0830



Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

#### Form 2007-4

†Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or forward contracts be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. In the case of intermediary, the rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within five (5) business days of the agreement.

five	(5) bu	isiness days of t	he agreement.			,			
Buy	yer Na	amer				Account ID#			
							Account ID#		
if ir	iterm	ediary:	intor Fitzgerald				Account ID# 700004		
Type of contract:  Contingent Right to: □X Purchase □ Sell □ Swap  □ Forward Contract					Premium paid	for this contrac	xt: \$0.00		
			ent was reached:		Expiration Da 6/30/05	te or Date when	the RTCs are to be delivered :		
→ ,	Attact	forward contr	act or agreemen	t for contingent rig	ht to purchase	· · · · · · · · · · · · · · · · · · ·			
Pol	lutant	: NOxx	or SOx (le	dentify one pollutant	t only)	/Ан	deals a consente form if troop concerns an anadadi		
	ycleva	erms of RTG III	Insterred	Gonginal Zone s (Constalion de l'Inland)		MALE CONTRACTOR STATES	ach a separate form if more spaces are needed)  Origin of Gredits!		
E IEEE T	7 <u>( ) 1764 (**)</u> 2	6/30/2006	Single Year Trade	Coastal	10,000	\$4.125	Rule No. : XX		
	2	6/30/2007	6/30/2011	Inland	10,000	\$4.125	Rule No. : XX		
	2	6/30/2012	All Years After	Inland	10,000	\$4.125	Rule No.:		
							Rule No.:		
		1					Rule No.:		
							Rule No.:		
							Rule No. :		
							Rule No.:		
In the "From Compliance Year" Column, fill in the expiration date of the first compliance year RTCs. The "To Compliance Year" Column is used to enter (1) single year transaction, (2) perpetual stream transaction, or (3) multiple year transaction of RTCs of same zone, quantity, and price in a single line, For a single year transaction, mark this column "Single Year Trade". For a perpetual stream transaction, mark this column "All Years After". For a multiple year transaction, fill in the expiration date of the last compliance year. Use separate lines for transactions of different RTCs, quantities or prices. Transactions for all inclusive years between these two columns will be registered.									
I understand that this is only a report to the AQMD of the agreement of a forward contract or a contingent right to purchase, sale, or swap RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. I also understand that a separate RTC Transaction Registration and associated forms are required in accordance with Rule 2007 within five (5) business days of the actual RTC transfer. I certify that the									
state	statements are true, accurate, and complete to the best of my knowledge.								
Rep	Reported By: Signature:								
_			(Print nar	,		1	<del></del>		
Com	ipany	Name & Title:	Cantor Fitzgeral	ld, Managing Directo	r Date and Pla	ice:3/31/05	San Francisco		
S	CAQME	Disclaimer: This re	port will be made ava	ilable to the public for ini	formational purposes	only. The SCAQMD	does not verify the content of this report.		
							Postmark 3/21/05		

Submit this form to

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Form 2007-4 Ray, 12-03



Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

#### Form 2007-4

†Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or forward contracts be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. In the case of intermediary, the rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within five (5) business days of the agreement.

Ruver Na	Buyer Name <sup>†</sup> Account ID#								
						ccount ID#			
If Interm					A	ecount ID#			
	•	ntor Fitzgerald			Α	ccount ID# 700004			
Type of c			<del></del>	Premium paid	for this contract	; \$0.00			
	nt Right to: □: ward Contract	X Purchase ☐ \$	Sell □ Swap	•					
Date wne 03/25/05	n this agreeme	nt was reached:		Expiration Da 6/30/05	te o <mark>r Date w</mark> hen t	he RTCs are to be delivered :			
→ Attach	forward contri	act or agreemen	t for contingent rig	ht to purchase					
Pollutant	: NOx x	or SOx //	dentify one pollutan	t only)					
		,	, ,	a en la companya de l	Atte North modern Charles	ch a separate form if more spaces are needed)			
	erms of RAC Ir	insterred at the	Original/Zone	Maximun	Price				
	From . II	To Compliance	(GOISTIBOLES	Ountity	(S/Round)	Originol Oreditation			
Cycle	Compliance	To Compliance Year()	(filand)	(Poundsayear)					
2	6/30/2006	Single Year Trade		10,000	\$4.125	Rule No. : XX			
2	6/30/2007	6/30/2011	inland	10,000	\$4.125	Rule No. : XX			
2	6/30/2012	All Years After	Inland	10,000	\$4.125	Rule No.:			
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* In the "From Compliance Year" Column, fill in the expiration date of the first compliance year RTCs. The "To Compliance Year" Column is used to enter (1) single year transaction, (2) perpetual stream transaction, or (3) multiple year transaction of RTCs of same zone, quantity, and price in a single line. For a single year transaction, mark this column "Single Year Trade". For a perpetual stream transaction, mark this column "All Years After". For a multiple year transaction, fill in the expiration date of the last compliance year. Use separate lines for transactions of different RTCs, quantities or prices. Transactions for all inclusive years between these two columns will be registered.									
I understand that this is only a report to the AQMD of the agreement of a forward contract or a contingent right to purchase, sale, or swap RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. I also understand that a separate RTC Transaction Registration and associated forms are required in accordance with Rule 2007 within five (5) business days of the actual RTC transfer. I certify that the statements are true, accurate, and complete to the best of my knowledge.									
Reported I	Reported By: Josh Margolis Signature:								
Company :	Name & Title:	Cantor Fitzgera	ld, Managing Directo	r Date and Pla	.l ice: 3/31/05 \$	San Francisco			
	Company Name & Title:Cantor Fitzgerald, Managing Director Date and Place:3/31/05San Francisco  SCAQMD Disclaimer: This report will be made available to the public for informational purposes only. The SCAQMD does not verify the content of this report.								

Submit this form to

SCAQMD, RECLAIM Administration - RTC Transfers, P.O. 4830, Diamond Bar, CA 91765-0830

POSI mark 3/31/



Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

†Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or forward contracts be reported by	either the
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rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQN	AD within
five (5) business days of the agreement.	

rive (b) bus	siness days or	me agreement.						
Buyer Na	ıme†				A	account ID#		
eller Na	met				A	account ID#		
Intermed	•	antor Fitzgerald				sccount ID# <u>700004</u>		
		X Purchase ☐ S	ieli □ Swap	Premium paid (	for this contract	:: \$0.00		
		ent was reached:		Expiration Date or Date when the RTCs are to be delivered : 4/18/05				
			t for contingent rig dentify one pollutan	-				
		0/ 00X (/	dentity one political		(Afte	ach a separate form if more spaces are needed)		
T	ermspf-RTC Ti	ansferred :	Original Zone	Waximum	-	a dia		
Cycle 1	From Compliance Year(*)	Fo Compilance Year(*)	(Coastal or	Quantity (Pounds/Year)	Price (\$/Pound)	Origin of Credits		
) <sub>2</sub>	06/30/2007	6/30/2011	Coastal	3,605	\$4.40	Rule No. : XX		
2	6/30/2012	All Years After	Coastal	3,605	\$4.40	Rule No. : XX		
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	SCAQMD, I	RECLAIM Admini	Submit the stration - RTC Train	nis form to nsfers, P.O. 4830,	Diamond Bar, C	·		
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Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

TRule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or forward contracts be reported by either the
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five (5) business days of the agreement.

	<u>-</u>	the agreement.			٨	Account ID#			
Interme	ediary:					Account ID#Account ID# <u>700004</u>			
		Purchase 🗆 Se	II □ Swap	Premium paid	for this contract	t: \$0.00			
		ent was reached:		Expiration Da 6/30/05	Expiration Date or Date when the RTCs are to be delivered : 6/30/05				
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Tr. To	erms of RPG ":	pristerzzó			(Alla	ach a separate form if more spaces are needed)			
Cycle	From Compilance Year(*)	To Compliance Year(*)	Original Zone (Constal or Inland)	Westingum Quantity (Pounds/Year)	Price (\$/Poand)	Origin of Credits			
) 1	12/31/05	12/31/10	Coastal	2,000	\$4.10	Rule No. : XX			
1	12/31/11	All Years After	Coastal	2,000	\$4.10	Rule No.: XX			
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		-	ld, Office Manager silable to the public for it			San Francisco			
)						Postmok 3/t			
			Submit th	nis form to		10311110-			
onn 2007-4	SCAQMD, I	RECLAIM Adminis	stration - RTC Trai		, Diamond Bar, C	CA 91765-0830			
ev. 12-03						Page of pages			



Rev. 12-03

# SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT

Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

TRule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or forward contracts be reported by either the
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five (5) business days of the agreement.

ive (5) bu	siness days of	the agreement.				
Buyer Namet						Account ID#
Seller Namer						Account ID#
Intermed	ediary: liary Name <u>Ca</u>	Account ID# 700004				
ontinger ] Forv	ward Contract	IX Purchase □ S	eli □ Swap	Premium paid	for this contrac	t: \$0.00
te whe	n this agreeme	ent was reached:		Expiration Da 4/18/05	te or Date when	the RTCs are to be delivered :
Attach	forward conti	ract or agreement	for contingent rig	ht to purchase		
llutant:	: NOxx	or SOx (ld	lentify one pollutar	nt only)	(Att	ach a separate form if more spaces are needed)
B	erms of RTC Tr	musferred	Original Zone	Maylana		
Cycle'	From Compliance (	To Compliance	(Constal ox inland)	Quantity (Pounds/Year)	Price (\$(Pound)	Origin of Chedits
) 2	06/30/2006	Singe Year Trade	Coastal	295	\$4.30	Rule No. : XX
2	06/30/2007	Singe Year Trade	Coastal	295	\$4.30	Rule No. : XX
2	06/30/2008	Singe Year Trade	Coastal	295	\$4.30	Rule No. :
2	06/30/2009	Singe Year Trade	Coastal	295	\$4.30	Rule No. :
2	06/30/2010	Singe Year Trade	Coastal	295	<b>\$</b> 4.30	Rule No. :
2	06/30/2011	Singe Year Trade	Coastal	295	\$4.30	Rule No. :
2	06/30/2012	All Years After	Coastal	295	\$4.30	Rule No. :
transac this col last con register inderstant rther unde egistratlor	tion, (2) perpetual st lumn "Single Year Tr mpliance year. Use red. d that this is only erstand that this in and associated	ream transaction, or (3) neads. For a perpetual standard separate lines for transaction a report to the AQMI report does not act as forms are required in	nultiple year transaction of ream transaction, mark th ctions of different RTCs, or of the agreement of	RTCs of same zone, quis column 'All Years Afti quantities or prices. Tra a forward contract of ransaction Registrate a 2007 within five (5)	uantity, and price in a siner. For a multiple year insactions for all inclusive r a contingent right tition. I also understa	ar' Column is used to enter (1) single year gle line. For a single year transaction, mark transaction, fill in the expiration date of the e years between these two columns will be to purchase, sale, or swap RTCs. Indithat a separate RTC Transaction
ported (	By: Dia	armuid McGarry (Print nar	ne) .	Signatur	re:	
			d, Office Manager		_	
SCAQME	Disclaimer: This i	report will be made ave	ilable to the public for in	nformational purposes	only. The SCAQMD	does not verify the content of this report
)						Postmark 3/17/
			Submit th	nis form to		- JUSIMAN - 5/11/
m 2007-4	SCAQMD, F	RECLAIM Adminis	stration - RTC Trai		, Diamond Bar, C	CA 91765-0830

# A SOMO

# SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT

Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

TRule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or forward contracts be reported by either the
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five (5) business days of the agreement.

five (5) bu	siness days of t	he agreement.							
Buyer Na	ame†				^	Account ID#			
Seller Na	amet					Account ID#			
Interm ntermed		intor Fitzgerald	l		A	Account ID# <u>700004</u>			
		X Purchase □ \$	Sell □ Swap	Premium paid	I for this contract	t: \$0.00			
		nt was reached:		Expiration Da 2/01/06	Expiration Date or Date when the RTCs are to be delivered : 2/01/06				
→ Attacl	forward contr	act or agreemen	t for contingent ri	ght to purchase					
ollutant	: NOx	or \$0x _x	(Identify one pollute	ant only)	(Atte	ach a separate form if mare spaces ere needed)			
Cycle	From Compliance	To Compliance	Original Zone (Canstal or. Inland)	Maximum Quantity (Pounds/Year)	1. 大海市市 新港	Market Criginal Credits			
- <u> </u>	Yes (*) 12/31/2008	12/31/2013	Coastal	7,000	\$1.80	Rule No. : XX			
1	12/31/2014	All Years After	Coastal	7,000	\$1.80	Rule No. : XX			
1	12/31/2008	12/31/2013	Coastal	33,705	\$2.00	Rule No.:			
l	12/31/2014	Ali Years After	Coastal	33,705	\$2.00	Rule No.:			
						Rule No. :			
						Rule No. :			
						Rule No. :			
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·	-	(Print na	,			Ca			
			ald, Managing Direct		d Place:3/16/05 s only. The SCAQMD	does not verify the content of this report.			
)						,			
	SCAOMD E	PECLAIM Admini	Submit t	his form to	) Diamond Ras (	POSIMAUK 3,			
Form 2007-4 Rev. 12-03	SCAWIND, N	LEGLAIM AUMIN	auduon • KTO ITā	nsiets, r.U. 403(	i, Diamono bar, C	-A 91/05-0830			

# TOMIO 1

### SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT

Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

# Form 2007-4

ouge, ive	ame†				Α	ccount ID#
Seller Na	ıme†				A	Account ID#
f Intermed		antor Fitzgerald			A	Account ID# <u>700004</u>
		X Purchase 🗆 S	ell 🗆 Swap	Premium pai	d for this contract	: \$0.00
Date whe 03/2/05	n this agreeme	ent was reached:		Expiration Da 6/30/05	ate or Date when t	the RTCs are to be delivered :
→ Attach	forward contr	act or agreement	for contingent rig	ht to purchase		
Pollutant	: NOxx	or SOx (le	dentify one pollutan	t only)	(Atte	ach a separate form if more spaces are needed)
Terms of RTC Transferred		To Compliance	Original Zone (Constal or Inland)	Maximum Quantity	Price	Origin of Credits
Cycle	Compliance Year(*)	Year(*)		(Pounds/Year)		D-1-N
_ <u>}</u> 1	12/31/2005	Single Year Trade	Coastal	1353	\$4.00	Rule No. : XX
1	12/31/2006	Single Year Trade	Coastal	1353	\$4.00	Rule No. : XX
1	12/31/2007	Single Year Trade	Coastal	1195	\$4.00	Rule No. :
1	12/31/2008	Single Year Trade	Coastal	1158	\$4.00	Rule No. :
1	12/31/2009	Single Year Trade	Coastal	1122	\$4.00	Rule No. :
1	12/312010	Single Year Trade	Coastal	1085	\$4.00	Rule No. :
1	12/31/2011	All Years After	Coastal	1049	\$4.00	Rule No. :
transac this col last col register	tion, (2) perpetual str umn "Single Year Tr mpliance year. Use red.	ream transaction, or (3) n ade*. For a perpetual st separate lines for transa	nutliple year transaction of ream transaction, mark thi actions of different RTCs, o	RTCs of same zone, of scolumn "All Years Af quantities or prices. Tr	juantity, and price in a sing ter". For a multiple year t ansactions for all inclusive	ar Column is used to enter (1) single year gle line. For a single year transaction, mark transaction, fill in the expiration date of the years between these two columns will be
urther und Registration	erstand that this r n and associated i	eport does not act as forms are required in	or replace the RTC T	ransaction Registra 2007 withIn five (5	ition. I also understar	o purchase, sale, or swap RTCs. ( nd that a separate RTC Transaction a actual RTC transfer. I certify that the
Reported (	By: Josh Margo	olis (Print no	ne)	Signature		(23
Company	Name & Title:	Cantor Fitzgera	ld, Managing Directo	or Date an	d Place:3/8/05_	San Francisco
						does not verify the content of this report.