

Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Forward Contract

Form 2007-4B (Two-sided Form)

¹Rule 2007 (e)(2)(l) requires that all agreements for a forward contract be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. If the buyer and/or seller requests confidentiality, the District will keep the identity of the buyer and/or seller confidential to the extent allowed by law until the contract has been exercised as a transfer of RTCs. This report is required to be submitted to the AQMD within five (5) business days of the agreement. This form is for reporting a forward contract only. For a contingent right to purchase, use Form 2007-4A.

If claiming (□ Buyer		of identity, check □Seller†		x and complete th h Buyert and Selle		se sides of this form:
Buyer Nar	net					Account ID#
Seller Nar			line if claiming confidentia			Account ID#
						Account ID#
Forward C	Contract to:	Purchase 🗌	Sell 🗆 S	wap	Premium paid f	or this contract; \$0
	r this report: 🏻 al, provide initia	Initial □	•	odification rcle items modified)		
		t was reached: 1			Date when the 1/20/11	RTCs are to be delivered: between
→ Attach a	agreement for con	ntingent right to pur	rchase		<u> </u>	
Pollutant:	NOx 🗵 or S	Ox [] (Identify	one pollutant only)		•	,
Is this an	Infinite-Year-Blo	ock RTCs transac	ction: Yes 🗌 [Total Value of Trar		
	Terms of RTC Tra	ansferred		<u> </u>	(A	ltlach a separate form if more spaces are needed)
Cycle	From Compliance Year(*)	To Compliance Year(*)	Original Zone (Coastal or Inland)	Maximum Quantity (Pounds/Year)	Price (\$/Pound)	Origin of Credits
1	12/31/2010	Single Year Trade	Coastal	4,000	\$.79/lb	Rule No. : XX
	1 1	11				Rule No.:
	11	1.1		<u> </u>	<u> </u>	Rule No.:
* In the transar column compli i understand does not ac accordance best of my h	"From Compliance Y ction, (2) perpetual sto n "Single Year Trade ance year. Use sepa d that this is only a r I as or replace the F with Rule 2007 with	Year Column, fill in the ream transaction, or (3 For a perpetual strate lines for transaction report to the AQMD of RTC Transaction Region five (5) business) multiple year transaction ream transaction, mark to ons of different RTCs, qua of the agreement of a fo	first comptiance year Ri n of RTCs of same zone his column "All Years At antities or prices. Inward contract for pure stand that a separate R a forward contract.	Cs. The 'To Complian, quantity, and price in a dier'. For a multiple year, sale, or swap of the Transaction Regional to the content of the co	moe Year Column is used to enter (1) single year a single line. For a single year transaction, mark this ear transaction, fill in the expiration date of the last of RTCs. I further understand that this report stration and associated forms are required in
Company	Name & Title:]	Tauber Oil Compar	ıy, VP	Date and Place	: Houston, TX	
SCAQM	D Disclaimer: This i	report will be made a	vailable to the public fo	or informational purpose	es only. The SCAQM	ID does not verify the content of this report.



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						e sides of this form:			
(Buyer	<u> </u>	☐Seller [†]	Both	Buyer† and Selle	r [†])				
Buyer Name [†]									
Seller Namet Account ID#									
		(Do not fill-out this	line if claiming confidentiali	ty of Seller - see revers	e side of form)				
If Intermediary: Intermediary NameAccount ID#									
Forward C	Forward Contract to: Purchase Sell Swap Premium paid for this contract: \$0								
	r this report:		,	dification le items modified)					
		agreement date			D. C. C. H. 5	770			
Date wher	i this agreemen	t was reached: 1	2/14/10		1/3/11 and 1/21	RTCs are to be delivered: between /11			
→ Attach a	greement for con	tingent right to pur	chase						
Pollutant:	NOx 🔄 or S	Ox [] (Identify	one pollutant only)						
Is this an I	nfinite-Year-Blo	ck RTCs transac	tion: Yes 🔲 [Te	otal Value of Tran					
	Terms of RTC Tra	farrad			(Att	iach a separale form if more spaces are needed)			
		llistetted	Original Zone	Maximum	Price *	o i i ga r			
Cycle	From Compliance Year(*)	To Compliance Year(*)	(Coastal or Inland)	Quantity (Pounds/Year)	(\$/Pound)	Origin of Credits			
1	12/31/2010	Single Year Trade	Inland, Coastal or Mixture	110,000	\$.63/lb	Rule No. : XX			
	11	11				Rule No.:			
	11	11				Rule No.:			
* In the transac column	"From Compliance Y ction, (2) perpetual st "Single Year Trade	'ear' Column, fill in the ream transaction, or (3) '. For a perpetual str) multiple year transaction eam transaction, mark thi	rst compliance year RT of RTCs of same zone, s column "All Years Af	Cs. The *To Complian	ound) column. nce Year Column is used to enter (1) single year single line. For a single year transaction, mark this ar transaction, fill in the expiration date of the last			
compliance year. Use separate lines for transactions of different RTCs, quantities or prices. I understand that this is only a report to the AQMD of the agreement of a forward contract for purchase, sale, or swap of RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. I also understand that accordance with Rule 2007 within five (5) business days of the exercise of a forward best of my knowledge.									
Reported E	By:Earl T	homas(Print n	oma)	_ Sig					
Company	Name & Title	rminn) Fauber Oil Compan		Date and Place	: Houston, TX	12/17/10			

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If claiming (□ Buyer		of identity, check ☐Seller†		ox and complete the h Buyert and Selle		se sides of this form:			
Buyer Nai	me [†]	(Do not fill-out this	line if daiming confidentia	ality of Ruyer — see revers	se side of form)	Account ID#			
Seller Nar	me†		line if claiming confidentia	,	•	Account ID#			
If Intermedia	diary: ary Name	(So not all out allo	and a duming domination			Account ID#			
Forward C	Forward Contract to: Purchase Sell Swap Premium paid for this contract: \$0								
	or this report:	Initial I agreement date	•	odification rcle items modified)					
		t was reached: 1			Date when the	RTCs are to be delivered: 12/10/10			
→ Attach a	agreement for con	itingent right to pur	chase		4				
Pollutant:	NOx or S	Ox [] (Identify	one pollutant only)						
ls this an	Infinite-Year-Blo	ock RTCs transac	tion: Yes 🔲 [Total Value of Trar					
	Terms of RTC Tra	unsferred		T	(At	ttach a separate form if more spaces are needed)			
Cycle	From Compliance Year(*)	To Compliance Year(*)	Original Zone (Coastal or Inland)	Maximum Quantity (Pounds/Year)	Price (\$/Pound)	Origin of Credits			
1	12/31/2010	Single Year Trade	Coastal	97,207	\$.75/lb	Rule No. : XX			
	11	1 1				Rule No.:			
	11	1 1			<u> L</u>	Rule No.:			
* In the transac column complia I understand does not act accordance best of my king Reported B	"From Compliance Y tion, (2) perpetual str "Single Year Trade" ince year. Use separthat this is only a reas or replace the R with Rule 2007 with nowledge. Y:Zor Ro	ear Column, fill in the earn transaction, or (3) . For a perpetual str trate lines for transactio eport to the AQMD of ITC Transaction Regi in five (5) business d othman(Print ne	multiple year transaction mark the sof different RTCs, qualified the agreement of a for istration. I also understays of the exercise of a summe)	irst compliance year RT of RTCs of same zone, is column "All Years Aff ntities or prices. ward contract for purci tand that a separate R a forward cont. Signatur	Cs. The "To Compilar quantity, and price in a ler". For a multiple year hase, sale, or swap of TC Transaction Regis	nce Year' Column is used to enter (1) single year is single line. For a single year transaction, mark this ar transaction, fill in the expiration date of the last of RTCs. I further understand that this report stration and associated forms are required in			

Page 1 of 2

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If claiming confidentiality of identity, check the appropriate box and complete the front and reverse sides of this form: (☐ Buyer [†] ☐ Seller [†] ☐ Both Buyer [‡] and Seller [‡])									
Buyer Name [†]									
Duyer Nai		(Do not fill-out this	line if claiming confidentia	lity of Buyer - see revers	e side of form)	Account 10#			
Seller Nar	met		·	روس <u>ن سريس</u> ي سريسون مو <u>شو سروس</u> ي		Account ID#			
(Do not fill-out this line if claiming confidentiality of Seller – see reverse side of form) If Intermediary:									
Intermediary NameAccount ID#									
Forward Contract to: Purchase Sell Swap Premium paid for this contract: \$0									
Reason fo	or this report:	Initial	Extension Mc	odification cle Items modified)					
		l agreement date): / /						
Date wher	n this agreemen	t was reached: 1	1/29/10		Date when the I	RTCs are to be delivered: 12/10/10			
→ Attach a	agreement for con	tingent right to pur	chase		k				
Pollutant:	NOx or S	Ox [] (Identify	one pollutant only)						
ls this an	Infinite-Year-Blo	ck RTCs transac	tion: Yes 🔲 🏻	Total Value of Tran					
(Attach a separate form if more spaces are needed) Terms of RTC Transferred									
Cýcle	From Compliance Year(*)	To Compliance Year(*)	Original Zone (Coastal or Inland)	Maximum Quantity (Pounds/Year)	Price [†] (\$/Pound)	Origin of Credits			
1	12/31/2010	Single Year Trade	Coastal	22,793	\$.75/lb	Rule No. : XX			
	1 1	1 1				Rule No.:			
	1 1	1 1				Rule No. :			
For Infinite-Year-Block transaction, report total value of transaction. For each transaction line enter "N/A" under the Price (\$/Pound) column. * In the "From Compliance Year" Column, fill in the expiration date of the first compliance year RTCs. The "To Compliance Year" Column is used to enter (1) single year transaction, (2) perpetual stream transaction, or (3) multiple year transaction of RTCs of same zone, quantity, and price in a single line. For a single year transaction, mark this column "All Years After". For a multiple year transaction, fill in the expiration date of the last compliance year. Use separate lines for transactions of different RTCs, quantities or prices. I understand that this is only a report to the AQMD of the agreement of a forward contract for purchase, sale, or swap of RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. I also understand that a separate RTC Transaction and associated forms are required in accordance with Rule 2007 within five (5) business days of the exercise of a forward contract to the statements-are true, accurate, and complete to the best of my knowledge. Reported By:Zor Rothman									



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If claiming confidentiality of identity, check the appropriate box and complete the front and reverse sides of this form: (Buyer† Seller† Both Buyer† and Seller†)									
	Buyer Name [†] Account ID#Account ID#								
Seller Name† Account ID#									
(Do not fill-out this line if claiming confidentiality of Seller – see reverse side of form)									
If Intermediary: Intermediary NameAccount ID#									
Forward Contract to: Purchase Sell Swap Premium paid for this contract: \$0									
Reason for this report: Initial									
		l agreement date t was reached: 1			Date when the f	RTCs are to be delivered: 1/14/11			
Bato mior	ramo agroomori				Bato William	Cros dro to 20 domestod. In this			
→ Altach a	greement for con	tingent right to pur	chase	······································	<u> </u>				
Pollutant:	NOx 🗷 or S	Ox [] (Identify	one pollutant only)						
ls this an I	nfinite-Year-Blo	ock RTCs transac	tion: Yes 🗌 [1	otal Value of Tran					
Т	Terms of RTC Tra	nsferred	<u> </u>		(At	tach a separate form if more spaces are needed)			
Cycle	From Compliance Year(*)	To Compliance Year(*)	Original Zone (Coastal or Inland)	Maximum Quantity (Pounds/Year)	Price [†] (\$/Pound)	Origin of Credits			
1	12/31/2010	Single Year Trade	Inland	15,600	\$.60/lb	Rule No. : XX			
	1.1	1 1				Rule No.:			
	11	1 1		<u> </u>	<u> </u>	Rule No.:			
* In the transac column complia I understand does not act accordance best of my k Reported E	"From Compliance Y titon, (2) perpetual studen, (2) perpetual students and year. Use separate that this is only a ras or replace the F with Rule 2007 with nowledge.	Year Column, fill in the ream transaction, or (3 and 15 feel of the AGMD of th	multiple year transaction eam transaction, mark those of different RTCs, qualif the agreement of a for pistralion. I also unders days of the exercise of a ame)	irst compliance year RT of RTCs of same zone, is column *All Years Afinities or prices. rward contract for purc tand that a separate R a forward contract. Loc	Cs. The To Compliar quantity, and price in a ter. For a multiple year hase, sale, or swap of TC Transaction Regis	and column. The Year Column is used to enter (1) single year single line. For a single year transaction, mark this ar transaction, fill in the expiration date of the last of transaction. Fill in the expiration date of the last transaction, and associated forms are required in accurate, and complete to the			
SCAQM	vanie & Title: D Disclaimer: This i	eport will be made a	uthorized Signatory vallable to the public for	Date informational purpose	s only. The SCAQMI	Taylor of this report.			

Page 1 of 2 Postmark 12/13/10



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if claiming	confidentiality	of identity check	thá annronriata ha	v and complete the	o front and rovers	e sides of this form:
(□ Buyer		on identity, check □Seller†		h Buyert and Selle		e sides of this lottit.
1 Dayor		[]Ocher.	<u> </u>	ii buyer and oene		
Buver Nar	net					Account ID#
-u, 01 (10)		(Do not fill-out this	line if dalming confidentia	lity of Buyer - see revers	e side of form)	
Seller Nar	ne†					Account ID#
		(Do not fill-out this	line if claiming confidentia	ality of Seller - see revers	e side of form)	, toodatt (Bit
If Intermed						
Intermedia	ary Name					Account ID#
Forward C	Contract to:	Purchase [Sell S	wap	Premium paid for	or this contract: \$0
Daggar fo	a dhia nanari.	Total C	Tretonoino (TIME	alification		
Reason id	or this report:	indiai _	Extension 🔲 Mo	Odnication rde items modified)		
(If not initi	al, provide initia	l agreement date	١,			
		t was reached: 1			Date when the	RTCs are to be delivered: 1/14/11
·	_					
→ Attach a	agreement for con	ntingent right to put	rchase	<u> </u>		
Dollutant	NOV M or 9	Ov [] (Idantifu	one pollutant only)			
r Unutant,	NOX WOOD	OV [] (Ideililly	оне рониван онгу)			
ls this an	Infinite-Year-Blo	ock RTCs transac	ction: Yes 🔲 [Total Value of Trar	nsaction \$] ⁺ or No 🕎
					(A	ttach a separate form if more spaces are needed)
'	Terms of RTC Tra	ansferred	Original Zone	Maximum		
	From		(Coastal or	Quantity	Price	Origin of Credits
Cycle	Compliance	To Compliance	Inland)	(Pounds/Year)	(\$/Pound)	
 	Year(*)	Year(*)			A 60 m	
1	12/31/2010	Single Year	Inland	17,600	\$.60/lb	Rule No. : XX
}		Trade	04-1	1220	\$ CO/II-	Rule No. : XX
1	12/31/2010	Single Year Trade	Coastal	238	\$.60/lb	Rule No. : XX
	1 , ,	/ / /				Rule No. :
L						
⊕ Fortni	linite-Year-Block trans	action, report total valu	e of transaction. For each	n transaction line enter "N	VA" under the Price (\$/P	ound) column.
						nce Year' Column is used to enter (1) single year a single line. For a single year transaction, mark this
						ear transaction, fill in the expiration date of the last
			ons of different RTCs, qua			STORE I freshance descend that this year of
does not ac	o mar mis is only a r ct as or replace the f	report to the AQMD (RTC Transaction Re	or the agreement or a to distration. It also under:	orward contract for pure stand that a separate R	chase, sale, or swap o RTC Transaction Redi	of RTCs. Lifurther understand that this report stration and ass
accordance	with Rule 2007 with	hin five (5) business	days of the exercise of	a forward		d complete to the
best of my						
Reported	By:Zor R	othman	iame)	Slgr		
						na landa
Company	Name & Title:(Grey K Environme	ntal Fund, L.P., Author	orized Signatory	_Date and Place:	New York, NY 13/10/16 To does not verify the content of this report.
SCAQM	ו טוגכופוmer: This ו	repoπ will be made a	ivaliable to the public to	or intormational purpose	es only. The SCAQM	וט aces not venty the content of this report.



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If claiming (□ Buyer				x and complete the		ne sides of this form:
Buyer Nar	ne†		line if claiming confidentia	lity of Buyer see revers	a side of form)	Account ID#
Colleg May		(Do not im-out this	inte ir dairining corniderida	mily of ouyer — see revers		Associat ID#
Seller Nar	ne:	(Do not fill-out this	line if claiming confidentia	lity of Seller - see revers	e side of form)	Account ID#
If Intermedia	•					Account ID#
Forward C	Contract to:	Purchase 🗆	Sell S	wap	Premium paid f	or this contract: \$0
(If not initi		Initial I agreement date t was reached: 1	e): / /	odification role items modified)	Date when the	RTCs are to be delivered: 1/14/11
→ Attach a	agreement for con	tingent right to pur	rchase		.L.,	
			one pollutant only)			
	,		ction: Yes [Total Value of Tran		iftech a separale form if more spaces are needed)
	Terms of RTC Tra	nsferred			1	departure value value vision opaces are necessary
Cycle	From Compliance Year(*)	To Compliance Year(*)	Original Zone (Coastal or Inland)	Maximum Quantity (Pounds/Year)	Price (\$/Pound)	Origin of Credits
1	12/31/2010	Single Year Trade	Coastal	21,727	\$.60/lb	Rule No. : XX
1	12/31/2010	Single Year Trade	Inland	26,064	\$.60/lb	Rule No. : XX
	1 1	1 1				Rule No.:
* In the transa column compli i understandoes not ac accordance best of my I	"From Compliance Y ction, (2) perpetual st n "Single Year Trade ance year. Use sep d that this is only a it t as or replace the f with Rule 2007 with	Year Column, fill in the ream transaction, or (3 For a perpetual standarde lines for transactive port to the AQMD of RTC Transaction Require (5) business	I) multiple year transaction ream transaction, mark to ons of different RTCs, qua of the agreement of a for gistration. I also unders days of the exercise of	first compliance year RT n of RTCs of same zone his column "All Years Al antities or prices. Inward contract for pure stand that a separate R	rCs. The "To Complia , quantity, and price in a fter". For a multiple ye chase, sale, or swap o	Pound) column. Ince Year" Column is used to enter (1) single year a single line. For a single year transaction, mark this ear transaction, fill in the expiration date of the last of RTCs: Iffurther understand that this report strailion and associated forms are required in ', and complete to the
Company SCAOM	Name & Title: D Disclaimer: This i	Olduvai Gorge, LLC	C. Authorized Signato	ory Date and P	Place: New York, es only. The SCAOM	NY 12/10/10 ID does not verify the content of this report.