



South Coast Air Quality Management District
Form 2007-4A
Regional Clean Air Incentives Market Trading
Credits (RTCs) Report of Contingent Right to Purchase, Sell, or Swap RTCs

E-Mail To:
 reclaim_q&a@aqmd.gov
 OR
 SCAQMD, RECLAIM Administration – RTC Transfers
 P.O. Box 4830
 Diamond Bar, CA 91765-0830
 Tel: (909) 396-3119, www.aqmd.gov

Section I – Account Information

*Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs be reported by either the contracting parties, or by the agent, broker or other intermediary representing the contracting parties. In the case of intermediary, the rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within five (5) business days of the agreement. This form is only for reporting a contingent right to purchase, sell, or swap RTCs. For forward contracts, use Form 2007-4B.

Name of Buyer: _____ Account I.D. # _____
 Name of Seller: _____ Account I.D. # _____
 If Intermediary, Intermediary Name: Clear Energy Brokerage & Consulting LLC Account I.D. # 700204

Contingent Right to: Purchase Sell Swap
 Reason for this report: Initial Extension Modification
 (If not initial, provide initial agreement date): _____ (Circle items modified)
 Date when this agreement was reached: 01/05/2023
 Premium paid for this contract: \$1,800,000.00
 Expiration Date of Contingent Right Agreement: 01/19/2025

→ Attach agreement for contingent right to purchase.
 Pollutant: NOx or SOx (Identify only one pollutant)
 Is this an Infinite-Year-Block RTC transaction? Yes [Total Value of Transaction \$ _____] No
 (Attach a separate form if more spaces are needed.)

Terms of RTC Transferred			Original Zone (Coastal or Inland)	Maximum Quantity (Pounds/Year)	Price @ (\$/Pound)	Origin of Credits	
Cycle	From* Compliance Year	To* Compliance Year				Rule No:	Reg JX
2	<u>06/30/2023</u>	Single Year Trade	<u>Either</u>	33,668	TBD	Rule No:	Reg JX
1	<u>12/31/2023</u>	Single Year Trade	<u>Either</u>	66,332	TBD	Rule No:	Reg JX
1	<u>12/31/2024</u>	Single Year Trade	<u>Either</u>	125,000	TBD	Rule No:	Reg JX
						Rule No:	
						Rule No:	
						Rule No:	

* For Infinite-Year-Block transaction, report total value of transaction. For each transaction line enter "NA" under the Price (\$/Pound) column.
 * In the "From Compliance Year" column, fill in the expiration date of the first compliance year RTCs. The "To Compliance Year" column is used to enter (1) single year transaction, (2) perpetual stream transaction, or (3) multiple year transaction of RTCs of same zone, quantity, and price in a single line. For a single year transaction, mark this column "Single Year Trade". For a perpetual stream transaction, mark this column "All Years After". For a multiple year transaction, fill in the expiration date of the last compliance year. Use separate lines for transactions of different RTCs, quantities or prices.

I understand that this is only a report to the AQMD of the agreement of a forward contract for purchase, sale, or swap of RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. I also understand that within five (5) business days of the exercise of a forward contract, a separate RTC Transaction Registration and associated forms are required in accordance with Rule 2007. I certify that the statements are true, accurate, and complete to the best of my knowledge.

Christine Grandstaff
 Reported by (Print Name)
 Director
 Title
 Clear Energy Brokerage & Consulting LLC
 Company Name
 January 11, 2023
 Date and Place

SCAQMD Disclaimer: This report will be made available to the public for information purposes only. The SCAQMD does not verify the content of this report.