

SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT

Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

†Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or forward contracts be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. In the case of intermediary, the rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within five (5) business days of the agreement.

| Buyer Name [†] | | | | | | Account ID# | |
|--|-----------------------|---------------------------------|---|--|---------------------|---------------------------|--|
| Seller Name [†] | | | | | | Account ID# | |
| If Interm | • | | | | 4.54 50004 | | |
| Intermed | diary Name | Cantor Fitzgera | <u> ald – Trade # 6898</u> | ···· | / | Account ID# <u>700004</u> | |
| Type of contract: Contingent Right to: ☐ Purchase ☒ Sell ☐ Swap ☐ Forward Contract | | | | Premium paid for this contract: \$20,000.00 | | | |
| Date when this agreement was reached: 02/21/2007 | | | | Expiration Date or Date when the RTCs are to be delivered : 07/14/2007 | | | |
| → Attach forward contract or agreement for contingent right to purchase | | | | | | | |
| Pollutant: NOx X or SOx (Identify one pollutant only) | | | | | | | |
| (Attach a separate form if more spaces are needed) | | | | | | | |
| T Cycle | From Compliance | ansferred To Compliance Year(*) | Original Zone (Coastal or Inland) | Maximum Quantity (Pounds/Year) | Price (\$/Pound) | Origin of Credits | |
| 1 | Year(*) 12/31/2011 | 12/31/2022 | Coastal | 10,000 | \$5.00 | Rule No. : XX | |
| 1 | 12/31/2023 | All Years After | | 10,000 | \$5.00 | Rule No. : XX | |
| · | 1 1 | 11 | | | *** | Rule No.: | |
| | 1 1 | 1 1 | | | | Rule No.: | |
| | 1 1 | 11 | | | | Rule No. : | |
| | 1 1 | 1 1 | | | | Rule No. : | |
| | 11 | 1.1 | | | | Rule No. : | |
| | 1 1 | 11 | | | | Rule No.: | |
| | 1 1 | 1.1 | | | | Rule No. : | |
| | 1 1 | 1 1 | | | | Rule No. : | |
| * In the "From Compliance Year" Column, fill in the expiration date of the first compliance year RTCs. The "To Compliance Year" Column is used to enter (1) single year transaction, (2) perpetual stream transaction, or (3) multiple year transaction of RTCs of same zone, quantity, and price in a single line. For a single year transaction, mark this column "Single Year Trade". For a perpetual stream transaction, mark this column "All Years After". For a multiple year transaction, fill in the expiration date of the last compliance year. Use separate lines for transactions of different RTCs, quantities or prices. Transactions for all inclusive years between these two columns will be registered. I understand that this is only a report to the AQMD of the agreement of a forward contract or a contingent right to purchase, sale, or swap RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. I also understand that a separate RTC Transaction Registration and associated forms are required in accordance with Rule 2007 within five (5) business days of the actual RTC transact. I pertify that the statements are true, accurate, and complete to the best of my knowledge. | | | | | | | |
| Reported By: Christine Grandstaff (Print name) | | | | | re: | - / \// 1 | |
| Company Name & Title: Cantor Fitzgerald Broker Date and Place: 02/27/2007 San Juan Capistrano, CA | | | | | | | |

SCAQMD Disclaimer: This report will be made available to the public for informational purposes only. The SCAQMD does not verify the content of this report.

Submit this form to SCAQMD, RECLAIM Administration - RTC Transfers, P.O. 4830, Diamond Bar, CA 91765-0830

Form 2007-4 Rev. 12-03