

South Coast Air Quality Management District

## Form 2007-4B Regional Clean Air Incentives Market Trading Credits (RTCs) Report of Forward Contract

(Two-sided Form)

SCAQMD, RECLAIM Administration - RTC Transfers P.O. Box 4830 Diamond Bar, CA 91765-0830

> Tel: (909) 396-3119 www.aqmd.gov

†Rule 2007 (e)(2)(I) requires that all agreements for a forward contract be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. If the buyer and/or seller requests confidentiality, the District will keep the identity of the buyer and/or seller confidential to the extent allowed by law until the contract has been exercised as a transfer of RTCs. This report is required to be submitted to the AQMD within five (5) business days of the agreement. This form is for reporting a forward contract only. For a contingent right to purchase, use Form 2007-4A.

If claimi	ing confidentiality	of identity, check the	ne appropriate i	oox and comple	te the f	ront and	reverse sides of this	form:
C	) Buyer	O Seller	<b>⊙</b> Both	Buyer and Sell-	er			
Name o	f Buyer†	(Do not fill-out this line if clai	ming confidentiality of B	uyer – see reverse side	of form)		Account I.D. #	
Name o	f Sellert	·			,		Account I.D. #	
If Interm	nediary:	(Do not fill-out this line if clai	ming confidentiality of S	eller – see reverse side (	f form)			
	diary Name		<u></u>				Account I.D. #	
Forwar	d Contract to:	Purchase (	O Sell	O Swap		Premiu	m paid for this contr	act:
	n for this report: (		) Extension	O Modificatio	n dified)	***		
		ıl agreement date)	<u></u>		_	\$0.00		
Date w	hen this agreemer	nt was reached:	10/06/2017				hen the RTCs are to ated 12/15/2017	be delivered:
→ Attac	ch agreement for co	ntingent right to purc	chase					
Pollutan	it:    NOx or C	SOx (Identify on	e pollutant only)					
Is this a	n Infinite-Year-Blo	ck RTCs transacti	on? •Yes[T	otal Value of Tr	ansact	ion \$ 42	2,913.50	) O No
	<del> </del>		1	<del> </del>				m if more spaces are needed)
	Terms of RTC Trai	·	Original Zone	Maximum	,	rice +		
Cycle	From * Compliance Year	To * Compliance Year	(Coastal or Inland)	Quantity (Pounds/year)	_	lound)	Origia	n of Credits
1 🔽	12/31/2017	All Year After	Coastal 🔻	15,959	٨	I/A	Rule No.: REG XX	
	•						Rule No.:	
							Rule No.:	-
							Rule No.:	
≠ In t trar ma	the "From Compliance Yensaction, (2) perpetual st rk this column "Single Ye	ream transaction, or (3)	piration date of the fi multiple year transac ual stream transactio	rst compliance year F tion of RTCs of same n, mark this column "	TCs. The zone, qu All Years	e "To Comp uantity, and	Price (\$/Pound) column. Nance Year" Column is use price in a single line. For a a multiple year transaction,	single year transaction,
that this	report does not act ted forms are require	as or replace the RT	C Transaction Re h Rule 2007 withi	gistration. I also n five (5) busines	undersi	and that a	e, sale, or swap of RTCs a separate RTC Transa rcise of a forward conf	ction Registration and
	el Taylor	Presid	ent			nr	<u> </u>	
Reporte	d by (Print Name)	Title		Signati	ire	-	<i></i>	
Emiss	ion Advisors Inc			10/6/	2017,	Housto	n, Texas	
Compar	ny Name			Date ar	d Place			
		eport will be made ava District, Form 2007-4B (201	•	for informational po	irposes (	only. The	SCAQMD does not verify	the content of this report.  Pageof



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tRule 2007 (e)(2)(1) requires that all agreements for a forward contract be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. If the buyer and/or seller requests confidentiality, the District will keep the identity of the buyer and/or seller confidential to the extent allowed by law until the contract has been exercised as a transfer of RTCs. This report is required to be submitted to the AQMD within five (5) business days of the agreement. This form is for reporting a forward contract only. For a contingent right to purchase, use Form 2007-4A.

	) Buyer	O Seller		Buyer and Seiler		front and reverse sides of this form:
Name o	of Buyer†					Account I.D. #
		(Do not fill-out this line if	claiming confidentiality of B	luyer - see reverse side of fo	om)	
vame c	of Seller†	(Do not fill-out this line if	daiming confidentiality of S	eller - see reverse side of fo	om)	Account I.D. #
	nediary: diary Name	, , , , , , , , , , , , , , , , , , , ,	y		,	Account I.D. #
Forwar	rd Contract to:	<ul><li>Purchase</li></ul>	O Sell	O Swap		Premium paid for this contract:
Reaso	n for this report:	● Initial	O Extension	O Modification (Circle items modifie	ied)	
(if not i	initial, provide initi	al agreement dat	e):		_	\$0.00
Date w	hen this agreeme	nt was reached:				Date when the RTCs are to be delivered:
			10/06/2017	· · · · · · · · · · · · · · · · · · ·	_	Estimated 12/15/2017
→ Attac	ch agreement for co	entingent right to pu	rchase			
'ollutar	nt:	SOx (Identify	one pollutant only)			
s this a	ın Infinite-Year-Bl	ock RTCs transac	tion? <b>⊙</b> Yes ∏	Total Value of Tran	nsacti	tion \$ 107,086.50
			•			(Attach a separate form if more spaces are
Terms of RTC Transferred		Original Zone	Maximum		<b>*</b>	
Cual-	From * Compliance Year	To * Compliance Year	(Coastal or Inland)	Quantity (Pounds/year)		Price Origin of Credits
Cycle	Lear			+		
	12/31/	All Year After	Coastal 🔻	4,041	N	N/A Rule No.: REG XX
<u> </u>		All Year After	Coastal 🔻	4,041	N	N/A Rule No.: REG XX Rule No.:
		All Year After	Coastal 🔻	4,041	N	
	12/31/					Rule No.: Rule No.:
Fo     In tra	r Infinite-Year-Block tran the "From Compliance \nsaction, (2) perpetual ark this column "Single \text{\text{the last compliance year}}	saction, report total val fear" Column, fill in the stream transaction, or ( fear Trade". For a perp Use separate lines f	ue of transaction. For e expiration date of the fi 3) multiple year transactio etual stream transactio or transactions of differe	each transaction line enter inst compliance year RTC ction of RTCs of same z in, mark this column "All ent RTCs, quantities or p	er "N/A" Cs. The cone, qu Years prices.	Rule No.:  Rule No.:  Rule No.:  A" under the Price (\$/Pound) column.  The "To Compliance Year" Column is used to enter (1) single ye quantity, and price in a single line. For a single year transaction s After". For a multiple year transaction, fill in the expiration da
Fo     In tra     ma     of understhat this associal statement	r Infinite-Year-Block tran the "From Compliance \ nsaction, (2) perpetual \ ark this column "Single \ the last compliance year stand that this is onle s report does not ac ted forms are requi- ents are true, accura	saction, report total validers of the stream transaction, or (lear Trade". For a perpication of the separate lines for a report to the AQ tas or replace the red in accordance value, and complete to	ue of transaction. For e expiration date of the fi 3) multiple year transaction retual stream transaction or transactions of different MD of the agreement RTC Transaction Revith Rule 2007 with the best of my know	each transaction line enterst compliance year RTC ction of RTCs of same zon, mark this column "All ent RTCs, quantities or part of a forward contragistration. I also unin five (5) business of	er "N/A" Cs. The cone, qu Years prices. act for	Rule No.:  Rule No.:  Rule No.:  Rule No.:  A" under the Price (\$/Pound) column.  he "To Compliance Year" Column is used to enter (1) single ye quantity, and price in a single line. For a single year transactios After". For a multiple year transaction, fill in the expiration da
Fo In tra ma of unders hat this ssocia	r Infinite-Year-Block trar the "From Compliance vark this column "Single vark this column "Single vark this column the last compliance year stand that this is only a report does not accepted forms are requirents are true, accurated Taylor	saction, report total validation, report total validation of Column, fill in the stream transaction, or (Coar Trade". For a perpart Use separate lines for a report to the AQ tas or replace the line of the secondance with the s	Le of transaction. For expiration date of the fi 3) multiple year transaction or transactions of different transactions of different transactions of the agreement TC Transaction Revith Rule 2007 with	ach transaction line enterist compliance year RTC stion of RTCs of same zon, mark this column "All ent RTCs, quantities or part of a forward contraggistration. I also unin five (5) business awledge.	er "N/A" Cs. The cone qualifiers orices. act for inderst days (	Rule No.:  Rule No.:  Rule No.:  A" under the Price (\$i/Pound) column.  the "To Compliance Year" Column is used to enter (1) single ye quantity, and price in a single line. For a single year transaction at After". For a multiple year transaction, fill in the expiration date or purchase, sale, or swap of RTCs. I further understated that a separate RTC Transaction Registration at the separate RTC Transaction RTC T
* Fo * In tra max of unders hat thick ssociatateme Michae	r Infinite-Year-Block trar the "From Compliance on saction, (2) perpetual ark this column "Single of the last compliance year stand that this is only a report does not accepted forms are required to the same true, accurated the traylor and by (Print Name)	saction, report total validers of Column, fill in the stream transaction, or (lear Trade". For a party.  Use separate lines for a report to the AQ tas or replace the red in accordance was and complete to Pres	ue of transaction. For e expiration date of the fi 3) multiple year transaction retual stream transaction or transactions of different MD of the agreement RTC Transaction Revith Rule 2007 with the best of my know	each transaction line enterest compliance year RTC cition of RTCs of same zon, mark this column "All ent RTCs, quantities or part of a forward contraegistration. I also unin five (5) business awledge.	er "N/A" Cs. The cone, qui Years orices. act for inderst days	Rule No.:  Rule No.:  Rule No.:  A" under the Price (\$/Pound) column.  The "To Compliance Year" Column is used to enter (1) single yequantity, and price in a single line. For a single year transaction s After". For a multiple year transaction, fill in the expiration date of the exercise of a forward contract. I certify that
Fo     In transfer of the transfer this associal statement of the transfer of transfer of the transfer of transfe	r Infinite-Year-Block trar the "From Compliance vark this column "Single vark this column "Single vark this column the last compliance year stand that this is only a report does not accepted forms are requirents are true, accurated Taylor	saction, report total validers of Column, fill in the stream transaction, or (lear Trade". For a party.  Use separate lines for a report to the AQ tas or replace the red in accordance was and complete to Pres	ue of transaction. For e expiration date of the fi 3) multiple year transaction retual stream transaction or transactions of different MD of the agreement RTC Transaction Revith Rule 2007 with the best of my know	each transaction line enterest compliance year RTC cition of RTCs of same zon, mark this column "All ent RTCs, quantities or part of a forward contraegistration. I also unin five (5) business awledge.	er "N/A" Cs. The Cone, qu  Years Act for act f	Rule No.:  Rule No.:  Rule No.:  Rule No.:  A" under the Price (\$/Pound) column.  The "To Compliance Year" Column is used to enter (1) single ye quantity, and price in a single line. For a single year transaction and the expiration day.  For a multiple year transaction, fill in the expiration day.  To purchase, sale, or swap of RTCs. I further understated that a separate RTC Transaction Registration are of the exercise of a forward contract. I certify that Houston, Texas

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