South Coast Air Quality Management District Form 2007-4B

Regional Clean Air Incentives Market Trading Credits (RTCs) Report of Forward Contract

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(Two-sided Form)

Mail To: SCAQMD, RECLAIM Administration - RTC Transfers P.O. Box 4830 Diamond Bar, CA 91765-0830

> Tel: (909) 396-3119 www.aqmd.gov

> > eport.

†Rule 2007 (e)(2)(I) requires that all agreements for a forward contract be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. If the buyer and/or seller requests confidentiality, the District will keep the identity of the buyer and/or seller confidential to the extent allowed by law until the contract has been exercised as a transfer of RTCs. This report is required to be submitted to the AQMD within five (5) business days of the agreement. This form is for reporting a forward contract only. For a contingent right to purchase, use Form 2007-4A.

		of identity check the				ront an	d reverse sides of this for	·m:	
) Buyer	O Seller		Buyer and Selle		TOTAL GIT	4 10 10 10 0 0 14 0 0 1 1 1 10 10 10 10 10 10 10 10 10 10		
	,			,					
Name o	of Buyer†	(Do not fill-out this line if clai	ming confidentiality of F	Quyar - saa rayarsa sida s	of form)		Account I.D. #		
Name o	of Seller†	(Do not fill-out this line if class	ming confidentiality of t	ouyer – see reverse side d	n iorni)		Account I.D. #		
	nediary:	(Do not fill-out this line if clai	ming confidentiality of S	Seller – see reverse side o	f form)		71000dil(1.D. 11		
Interme	diary Name_Evo	olution Markets, In	C.				Account I.D. #	700117	
Forwar	d Contract to:	Purchase) Sell	O Swap		Premi	ium paid for this contract:		
Reaso	n for this report:	Initial) Extension	O Modificatio					
(If not i	nitial provide init	ial agreement date)		(Circle items mod	dified)	\$0.0	0		
		ent was reached:				Date	when the RTCs are to be	delivered:	
			04/02/2015	5	_	estin	nated 04/30/2015		
→ Atta	ch agreement for c	ontingent right to pure	hase						
Pollutar	nt: O NOx or	SOx (Identify on	e pollutant only)						
ls this a	n Infinite-Year-Bl	ock RTCs transaction	on? • Yes [Total Value of Tr	ansact	ion \$_2	2,157,896.00	O No	
e kore	T (DTO T			FOR THE BUILDING	-4-1-12		(Attach a separate form if	more spaces are neede	
Cycle	Terms of RTC Tr From * Compliance	To * Compliance	Original Zone (Coastal or Inland)	Maximum Quantity (Pounds/year)		rice round)	Origin of Credits		
	Year	Year							
1	12/31/2015	Single Year Trade	Coastal	82846	٨	I/A	Rule No.: XX		
1	12/31/2016	Single Year Trade	Coastal	82846		I/A	Rule No.: XX		
1	12/31/2017	All Year After	Coastal	82996		I/A	Rule No.: XX		
φ Γο	Infinite Vees Black to	nsaction, report total value	of transaction. For	and transaction line as	-t "NI/A"	dos.4b.a	Rule No.:		
trai ma of t	nsaction, (2) perpetual irk this column "Single" the last compliance yea	stream transaction, or (3)	multiple year transaction transaction of the agreemen	ction of RTCs of same on, mark this column "A ent RTCs, quantities of nt of a forwal	zone, qu All Years	uantity, an	npliance Year" Column is used to e nd price in a single line. For a sing or a multiple year transaction, fill in	le year transaction,	
associa stateme	ted forms are requi nts are true, accura	red in accordance wit ite, and complete to th	h Rule 2007 with e best of my know	in five (5) bu				the	
	tine Grandstaff d by (Print Name)	Broker Title		<u>s</u>					
	tion Markets, In	C.		O					
Compar	iy Haille			Di					



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		of a contingent right				front an	d reverse sides of this form:	
) Buyer	O Seller		Buyer and Selle		TOTIL GIT	d 10 volde sides of this form.	
	of Buyer†						Account I.D. #	
Jama s	of Callert	(Do not fill-out this line if clair	ming confidentiality of E	Buyer – see reverse side o	f form)		Account I.D. #_	
	of Seller†	(Do not fill-out this line if clair	ming confidentiality of S	Seller – see reverse side o	f form)		Account I.D. #	
nterme	nediary: diary Name Evo	olution Markets, In	C.				Account I.D. #700117	
) Sell	O Swap		Prem	ium paid for this contract:	
Reaso	n for this report:	● Initial) Extension	O Modification	n dified)			
(If not	initial, provide init	ial agreement date)		or years of recourt so, man decoration	_	\$0.0	0	
Date w	hen this agreeme	ent was reached:	04/06/2015	5		The state of the s	when the RTCs are to be delivered: mated 05/07/2015	
		O SOx (Identify on ock RTCs transaction	,		ansact	tion \$ 2	2,692,908.00 O No	
7 (1110 0		1. V. 15. 17. 15. 19. 19. 19. 19. 19. 19. 19. 19. 19. 19					(Attach a separate form if more spaces are need	
	Terms of RTC Tr		Original Zone	Maximum	_	Price	Origin of Credits	
Cycle	From * Compliance Year	To * Compliance Year	(Coastal or Inland)	Quantity (Pounds/year)		Pound)		
	12/31/2015	Single Year Trade	Coastal	32683	1	N/A	Rule No.: XX	
	12/31/2016	Single Year Trade	Coastal	34183	1	N/A	Rule No.: XX	
	12/31/2017	All Year After	Coastal	35433	1	N/A	Rule No.: XX	
							Rule No.:	
* In tra ma	the "From Compliance" nsaction, (2) perpetual ark this column "Single"	stream transaction, or (3)	piration date of the f multiple year transa ual stream transaction	irst compliance year R ction of RTCs of same on, mark this column "A	TCs. The zone, q	e "To Con uantity, ar	e Price (\$/Pound) column. Inpliance Year" Column is used to enter (1) single year and price in a single line. For a single year transaction, or a multiple year transaction, fill in the expiration date	
that this associa stateme	report does not ac ted forms are requi	ly a report to the AQME at as or replace the RT ired in accordance with tte, and complete to the Broker	C Transaction Reh Rule 2007 with best of my kno	egistratior in five (5)			rstand on and eat the	
Reporte	d by (Print Name)	Title						
	The second secon							
Evolu	tion Markets, In	C.						
	tion Markets, In ny Name	C.						



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	ing confidentiality	of identity, check the	ne appropriate	box and complet	e the f	front an	d reverse sides of this form:		
(O Buyer	O Seller	● Both	Buyer and Selle	er				
lame o	of Buyer†		10 -				Account I.D. #		
		(Do not fill-out this line if clair	ming confidentiality of B	Buyer – see reverse side o	f form)				
	of Seller†	(Do not fill-out this line if clai	ming confidentiality of S	Seller – see reverse side o	f form)		Account I.D. #		
Interr terme	nediary: diary Name_Evo	lution Markets, In	C.				Account I.D. #7001	17	
orwa	rd Contract to:	Purchase) Sell	O Swap		Prem	ium paid for this contract:		
Reaso	n for this report:	Initial	O Extension	O Modification (Circle items mod	n dified)				
		al agreement date)	:			\$0.0			
)ate v	hen this agreeme	ent was reached:	04/02/2015	i		13-10-10-0	when the RTCs are to be deliver nated 04/30/2015	red:	
	Terms of RTC Tra	ock RTCs transaction				•	(Attach a separate form if more spe	aces are nec	
Cycle	From* Compliance Year	To * Compliance Year	Original Zone (Coastal or Inland)	Maximum Quantity (Pounds/year)		Price Pound)	Origin of Credits	Origin of Credits	
1	12/31/2015	Single Year Trade	Coastal	65366	١	N/A	Rule No.: XX		
	12/31/2016	Single Year Trade	Coastal	68366	١	N/A	Rule No.: XX		
	12/31/2017	All Year After	Coastal	70866	١	I/A	Rule No.: XX		
							Rule No.:		
* In tra ma	the "From Compliance Y nsaction, (2) perpetual s rk this column "Single Y	stream transaction, or (3)	piration date of the fi multiple year transaction al stream transaction	rst compliance year Rotion of RTCs of same n, mark this column "A	TCs. Th zone, q	e "To Con uantity, ar	e Price (\$/Pound) column. Inpliance Year" Column is used to enter (1) so Ind price in a single line. For a single year tr In a multiple year transaction, fill in the expir	ansaction,	
	tand that this is only	y a report to the AQMI	C Transaction Re	egistratic				erstand ion and	
unders hat this associa stateme	report does not acted forms are require	red in accordance with te, and complete to the Broker	e best of my know					that the	
unders hat this ssocia tateme Chris	report does not ac ted forms are requir nts are true, accurat	ed in accordance wit te, and complete to the	e best of my know					that the	
unders hat this ssocia tateme Chris	s report does not acted forms are requirents are true, accurate tine Grandstaff d by (Print Name)	red in accordance with te, and complete to the Broker Title	e best of my know					that the	
unders hat this ssocia tateme Chris Reporte	report does not ac ted forms are requir ents are true, accurat tine Grandstaff	red in accordance with te, and complete to the Broker Title	e best of my know					that the	



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If claim	ing confidentiality	of identity, check t	he appropriate	box and comple	te the	ont and reve	erse sides of th	nis form:	
() Buyer	O Seller	Both	Buyer and Selle	er		_		1 11
lame o	of Buyer†					Acco	ount I.D. #		
		(Do not fill-out this line if cla	iming confidentiality of E	Buyer – see reverse side o	of form)				
ame c	of Seller†	(Do not fill-out this line if clai	iming confidentiality of S	Collor con royama sida a	f form)	Acco	ount I.D. #		
	nediary:			Schol - See levelse side (i ioiii)				
		olution Markets, Ir					ount I.D. #		17
orwar	d Contract to:	Purchase	O Sell	O Swap		Premium pa	aid for this con	tract:	
Reaso	n for this report:	Initial	Extension	O Modificatio	n dified)				
lf not i	nitial, provide init	tial agreement date)	:	1-10-0-0-0-0-0-0-0-0-0-0-0-0-0-0-0-0-0-		\$0.00			
		ent was reached:					the RTCs are	to be deliver	ed:
14.000		ontingent right to pure	04/06/2015)		estimated	05/07/2015		
uns a	n Intinite-Year-Bi	ock RTCs transaction	on? • Yes[I	otal value of 1 r	ansact		948.00 ttach a separate f	- 1	No ces are need
From* To * Cycle Compliance Compliance		Original Zone (Coastal or Inland)	Maximum Quantity (Pounds/year)		ce ound)	Origin of Credits			
	Year	Year					PARTY SEE STORY		
	12/31/2015	Single Year Trade	Coastal	41423			No.: XX		
	12/31/2016	Single Year Trade	Coastal	41423	١	A Rule I	Rule No.: XX		
	12/31/2017	All Year After	Coastal	41498	Ŋ	A Rule !	No.: XX		
						Rule			
* In t trar ma	he "From Compliance" nsaction, (2) perpetual rk this column "Single"	nsaction, report total value Year" Column, fill in the exp stream transaction, or (3) Year Trade". For a perpet r. Use separate lines for the	piration date of the firmultiple year transactional stream transaction	rst compliance year R tion of RTCs of same n, mark this column "A	TCs. Th zone, quality	"To Compliance antity, and price i	Year" Column is us n a single line. For	a single year tra	nsaction.
nat this ssociat tateme	report does not ac ed forms are requi	y a report to the AQME as or replace the RT red in accordance with te, and complete to the Broker	C Transaction Re h Rule 2007 withi e best of my know	gistration n five (5)					rstand on and nat the
	d by (Print Name)	Title		_					
Evolut	ion Markets, In	c.							
ompan	y Name								
SCAQI	MD Disclaimer: This	report will be made avai	lable to the public	for inform					nis report.
South Coa	ast Air Quality Managemer	nt District, Form 2007-4B (2009	0.04)						