

Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

†Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or forward contracts be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. In the case of intermediary, the rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within five (5) business days of the agreement.

ive (5) bu	siness days of t	he agreement.				
Buyer Na	ame†					Account ID#
Seller Na	ame†					Account ID#
Interm ntermed	•	entor Fitzgerald				Account ID# 700004
ontinge	ontract: nt Right to: ☐ ward Contract	Purchase ☐ Se	II □ Swap	Premium paid	for this contrac	et: \$0.00
		ent was reached:		Expiration Da 3/30/04	te or Date when	the RTCs are to be delivered :
> Attact	forward contr	act or agreement	t for contingent ri	ght to purchase		
ollutant	: NOx x	or SOx (Id	dentify one pollutai	nt only)		
					(Ai	ttach a separate form if more spaces are needed)
T	erms of RTC Tr	ansferred	Original Zone	Maximum	i t	The second secon
Cycle	From Compliance Year(*)	To Compliance Year(*)	(Constal or Inland)	Carried the i	(\$/Pound)-	1 Onlaria of Condition
) <u> </u>	12/31/2005	12/31/2010	Coastal	1353	\$2.83	Reg xx
1	12/31/2011	All Years After	Coastal	1353	\$4.89	Reg xx
2	6/30/2005	Single Year Trade	Coastal	380	\$1.57345	Reg xx
2	6/30/2006	06/30/11	Coastal	380	\$2.83	Reg xx
2	6/30/2012	All Years After	Coastal	6822	\$4.89	Reg xx
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transar this co fast co registe inderstan	ction, (2) perpetual str fumn "Single Year Tra mpliance year. Use red. d that this is only lerstand that this r	eam transaction, or (3) nade". For a perpetual st separate lines for transacter are port to the AQMi eport does not act as	nultiple year transaction of ream transaction, mark the actions of different RTCs, D of the agreement of s or replace the RTC	of RTCs of same zone, qualities column "All Years After quantities or prices. Transaction Registration Registration of the property of the pro	iantity, and price in a si ar". For a multiple yea nsactions for all inclusi r a contingent right tion. I also underst	ear Column is used to enter (1) single year ingle line. For a single year transaction, mark in transaction, fill in the expiration date of the ve years between these two columns will be to purchase, sale, or swap RTCs. I and that a separate RTC Transaction the actual RTC transfer. I certify that the
atements	are true, accurate	, and complete to the	e best of my knowled	ge.	business days or t	ne actual RTC transfer. I certify that the
eported	By:Jo	osh Margolis (Print na	me)	Signature:		
		_ Cantor Fitzgerald	_Managing Direct			2004, San Francisco
SCAQMI	D Disclaimer: This r	eport will be made ava	ailable to the public for	informational purposes	only. The SCAQML	O does not verify the content of this report.
				this form to		Ont air 1

SCAQMD, RECLAIM Administration - RTC Transfers, P.O. 4830, Diamond Bar, CA 91765-0830

Form 2007-4 Rev. 12-03



Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

Buyer Na	ıme [†]			· · · · · · · · · · · · · · · · · · ·	A	ccount ID#
Seller Na	me [†]				A	ccount ID#
If Interme						
Intermed	iary Name Ca	intor Fitzgerald			A	ccount ID# 700004
		Purchase □ Se	ell 🗆 Swap	Premium paid	for this contract	: \$0.00
		ent was reached:		Expiration Da 3/30/04	te or Date when t	he RTCs are to be delivered :
→ Attach	forward contr	act or agreemen	t for contingent ri	ght to purchase		
Pollutant:	NOx _x	or SOx (i	dentify one pollutar		(Atta	ch a separate form if more spaces are needed)
Te	erms of RTC Tr	ansferred 4	Original Zone	Maximum	THE RESERVE	for the state of t
Cycle	From Compliance Year(*)	To Compliance Year(*)	(Corntal of niand)	Quantity (Pounds/Year)	Pitice (\$/Pound)	Origin of Credits
$\int 1$	12/31/06	12/31/2010	Coastal	4500	\$3.50	Reg xx
1	12/31/11	All Years After	Coastal	4500	\$3.50	Reg xx
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transact this cold last con register I understand further under Registration	tion, (2) perpetual str umn "Single Year Tra npliance year. Use ed. d that this is only erstand that this ra a and associated f	eam transaction, or (3) ade". For a perpetual s separate lines for trans a report to the AQM eport does not act a forms are required in	multiple year transaction of tream transaction, mark the actions of different RTCs, actions of different RTCs, ID of the agreement of as or replace the RTC.	of RTCs of same zone, qualistic column "All Years Afti quantities or prices. Tra a forward contract of transaction Registra te 2007 within five (5)	uantity, and price in a sing er". For a multiple year to ansactions for all inclusive or a contingent right to tion. I also understan	or Column is used to enter (1) single year gle line. For a single year transaction, mark ransaction, fill in the expiration date of the years between these two columns will be to purchase, sale, or swap RTCs. I ad that a separate RTC Transaction exctual RTC transfer. I certify that the
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Reported E	By:Jo	osh Margolis Print na	ime)	_ Signature:		(00
Company !	Name & Title:	Cantor Fitzgerald	d ,_Managing Direct	or, Date and Pl	ace:12/20/20	004, San Francisco
)SCAQME) Disclaimer: This r	eport will be made av	ailable to the public for	informational purpose	s only. The SCAQMD (does not verify the content of this report.
Form 2007-4	SCAQMD, F	RECLAIM Admini	Submit t stration - RTC Tra	this form to Insfers, P.O. 4830), Diamond Bar, C	A 91765-0830 Postmark 12/20/04

AMENIO

SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT

Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

Buyer Na	ıme [†]	•			Ad	count ID#
Seller Na						count ID#
f Interme	ediary:		ıld			ccount ID# 700004
		Purchase 🗆 S	ell 🗆 Swap	Premium pai	d for this contract:	\$0.00
		ent was reached		Expiration D 3/30/04	ate or Date when th	ne RTCs are to be delivered :
→ Attach	forward contr	act or agreemer	it for contingent ri	ght to purchase		
ollutant:	NOx _x	or SOx (Identify one polluta	nt only)	(Attac	ch a separate form if more spaces are needed)
To	erms of RTC Tr	ansferred	Original Zone	Maximum		
Cycle	From Compliance Year(*)	To Compliance Year(*)	(Coastal or Inland)	Quantity (Pounds/Year)	Price (S/Pound)	Origin of Credits
1	12/31/05	Single Year Trade	Coastal	3500	\$1.75	Reg xx
1	12/31/06	12/31/2010	Coastal	4000	\$3.30	Reg xx
1	12/31/2011	All Years After	Coastal	4000	\$3.30	Reg xx
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transac this col last cor register understan orther under egistration	tion, (2) perpetual str umn "Single Year To npliance year. Use ed. d that this is only erstand that this r n and associated if	ream transaction, or (3) ade". For a perpetual a separate lines for trans a report to the AQN report does not act a forms are required it.	multiple year transaction of stream transaction, mark to sactions of different RTCs, and of the agreement of the sor replace the RTC	of RTCs of same zone, his column "All Years A , quantities or prices. T f a forward contract Transaction Registr ile 2007 within five (quantity, and price in a sing fter". For a multiple year tr ransactions for all inclusive or a contingent right to ation. I also understan	r" Column is used to enter (1) single year le line. For a single year transaction, mark ansaction, fill in the expiration date of the years between these two columns will be p purchase, sale, or swap RTCs. I d that a separate RTC Transaction actual RTC transfer. I certify that the
Reported F	Зу:Jo	osh Margolis		Signature:	•	
	_	(Print na	,		Place:12/17/20	104, San Francisco
J SCAQMI	Disclaimer: This r	report will be made as	vailable to the public for	informational purpos	es only. The SCAQMD o	does not verify the content of this report.
		•	Submit	this form to	0, Diamond Bar, C	mark 1
orm 2007-4 ev. 12-03						Page of pages



Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

Attach forward contract or agreement for contingent right to purchase Contingent right to purchase	termed	ediary: lary Name	**************************************		· · · · · · · · · · · · · · · · · · ·	6	Account ID#	···
te when this agreement was reached: Expiration Date or Date when the RTCs are to be delivered: 12/20/04	ntinge	nt Right to: 🛘	Purchase 🗆 S	ell 🗆 Swap	Premium pa	d for this contr	acti \$0	
Terms of RTC Transferred Constitution Compliance Constitution Constituti			ent was reached			ate or Date whe	n the RTCs are to be delivere	d :
Terms of RTC Transferred Constitution Compliance Constitution Constituti	Attach	forward contr	act or agreemer	nt för contingent ri	ight to purchase			
From Compliance Year(*) 2 6/30/2005 Single/Year Trade Coastal 3,300 0 Rule No.: Reg XX / / / / / / / / Rule No.: / / / / / / Rule No.: / / / / / / / Rule No.: / / / / / / / Rule No.: / / / / / / / Rule No.: / / / / / / / Rule No.: / / / / / / / Rule No.: / / / / / / / Rule No.: / / / / / / / Rule No.: / / / / / / / Rule No.: / / / / / / / / Rule No.: / / / / / / / / Rule No.: / / / / / / / / / Rule No.: / / / / / / / / / Rule No.: / / / / / / / / / Rule No.: / / / / / / / / / / Rule No.: / / / / / / / / / / Rule No.: / / / / / / / / / / Rule No.: / / / / / / / / / / Rule No.: / / / / / / / / / / Rule No.: / / / / / / / / / / Rule No.: / / / / / / / / / / / Rule No.: / / / / / / / / / / / Rule No.: / / / / / / / / / / / / Rule No.: / / / / / / / / / / / / / Rule No.: / / / / / / / / / / / / / / Rule No.: / / / / / / / / / / / / / / / Rule No.: / / / / / / / / / / / / / / / / / Rule No.: / / / / / / / / / / / / / / / / / Rule No.: / / / / / / / / / / / / / / / / / / /						***	(Attach a separate form if more spaces are	neoded
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	2		Single Year Trade	Coastal	3,300	0	Rule No. :Reg XX)
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Rule No.:		/ /	11				Rule No.:	
Rule No.: Rule No.: Rule No.:		1 1	11				Rule No.:	
In the "From Compliance Year" Column, fill in the expiration date of the first compliance year RTCs. The "To Compliance Year" Column is used to enter (1) single year transaction, (2) perpetual stream transaction, or (3) multiple year transaction of RTCs of same zone, quantity, and price in a single line. For a single year transaction, mark this column "Single Year Trade". For a perpetual stream transaction, mark this column "All Years After". For a multiple year transaction, fill in the expiration date of the last compliance year. Use separate lines for transactions of different RTCs, quantities or prices. Transactions for all inclusive years between these two columns will be registered. derstand that this is only a report to the AQMD of the agreement of a forward contract or a contingent right to purchase, sale, or swap RTCs. I her understand that this report does not act as or replace the RTC Transaction Registration. I also understand that a separate RTC Transaction istration and associated forms are required in accordance with Rule 2007 within five (5) business days of the actual RTC transfer. I certify that the ements are true, accurate, and complete to the best of my knowledge. Michael Bimmer		17	11				Rule No. :	
In the "From Compliance Year" Column, fill in the expiration date of the first compliance year RTCs. The "To Compliance Year" Column is used to enter (1) single year transaction, (2) perpetual stream transaction, or (3) multiple year transaction of RTCs of same zone, quantity, and price in a single line. For a single year transaction, mark this column "Single Year Trade". For a perpetual stream transaction, mark this column "All Years After". For a multiple year transaction, fill in the expiration date of the last compliance year. Use separate lines for transactions of different RTCs, quantities or prices. Transactions for all inclusive years between these two columns will be registered. In the "From Compliance Year" Column is used to enter (1) single year transaction, mark this column "Single Year" Column is used to enter (1) single year transaction, fill in the expiration date of the expiration of the expiration and associated forms are required in accordance with Rule 2007 within five (5) business days of the actual RTC transfer. I certify that the ements are true, accurate, and complete to the best of my knowledge. Michael Bimmer		1.1	1.1				Rule No.:	
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ported By: Michael Bimmer Signature: (Print name)	transac this col- last cor register derstand	tion, (2) perpetual str umn "Single Year Tr upliance year. Use ad. d that this is only erstand that this r	ream transaction, or (3) ade. For a perpetual a separate lines for trans a report to the AQN report does not act	multiple year transaction of stream transaction, mark to sactions of different RTCs, ID of the agreement of as or replace the RTC	of RTCs of same zone, on the column "All Years All quantiles or prices. To a forward contract Transaction Registr.	manily, and price in a ter. For a multiple ye ansactions for all inclu or a contingent rigi ation. I also under	single line. For a single year transaction, m ar transaction, fill in the expiration date of its sive years between these two columns will not to purchase, sale, or swap RTCs, stand that a separate RTC Transaction	erk he be L
(Print name)	_		•		•) busineds days or	A CONTRACTOR	; uie
	ported l	By: <u>Michae</u>			Signature:	1 -		<u> </u>
		Name & Title:			ar SHEA Date a	nd Place: (5-/A	6/2021 HUNTINGTO	N



Rev. 12-03

SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT

Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or forward contracts be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. In the case of intermediary, the rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within five (5) business days of the agreement.

Intermediary:	greem						-1
Intermediary:	•		•	•			count ID# 700105
mitingent Right to: Purchase Sell X Swap Forward Contract the when this agreement was reached: 12/8/04 Expiration Date or Date when the RTCs are to be delivered: 2007-2s will be sent to Energy Initiative Group on February 1 2005. Attach forward contract or agreement for contingent right to purchase silutant: NOx _X or SOx _X (Identify one pollutant only) Terms of RTC Transferred Original Zone (Constal or Inland) Price Group WILL DELIVER 1 12/31/2004 Single Year Trade Coastal or Inland, or a combination of Coastal or Inland, or a combination of Coastal or Inland, or a combination of Coastal or Inland Cement WILL DELIVER 2 6/30/2005 Single Year Trade Coastal or Inland, or a Coastal or Inland, or a Coastal or Inland Cement WILL DELIVER 1 12/31/2004 Single Year Trade Coastal or Inland, or a Coastal or Inland, or a Coastal or Inland Cement WILL DELIVER 2 6/30/2005 Single Year Trade Coastal or Inland, or a Coastal or Inland, or a Coastal or Inland Cement WILL DELIVER 2 6/30/2005 Single Year Trade Coastal or Inland Cement WILL DELIVER Coastal or Inland Cement WILL DELIVER A 0,000 lbs of S.25/lb Rule No.: Reg XX SOX RTCs SOX RTCs Trade Coastal or Inland Cement WILL DELIVER A 0,000 lbs of S.875/lb Rule No.: Reg XX SOX RTCs Trade Coastal or Inland Cement WILL DELIVER A 0,000 lbs of S.875/lb Rule No.: Reg XX SOX RTCs In the "From Compliance Year" Column, (ii) in the expination date of the first compliance year RTCs. The To Compliance Year Column is used to enter (1) single year transaction, (2) supplied stream transaction, (3) in the expination and associated forms are required in a francyclamace with a fluxbus years between these two columns will be registered. Interms of RTC transaction Registration and associated forms are required in a flar subcaye year stream between these two columns will be registered. Interms of RTC					LOWING. THE CONTRACT OF THE CO		
Expiration Date or Date when the RTCs are to be delivered: 2007-2s will be sent to Energy initiative Group on February 1: 2005. Attach forward contract or agreement for contingent right to purchase contract or agreement for Contract or agreement for contingent right to purchase contract or agreement for Contract or Indiana. Terms of RTC Transferred Original Zone Constal or Indiana (Quantity (Pounds/Year) d) Energy Iniative Group WILL DELIVER Coastal Coastal Adv. (2000) by Contract Contract or Contract Contract or Contract	ontlin	igent Right to: 🔲	Purchase □S		Premium paid for this	contract:	
Contract			nt was reache		2007-2s will be sent to		
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1 12/31/2004 Single Year Tade Combination of Coastal and Inland In Return California Portland Cement WILL DELIVER 2 6/30/2005 Single Year Tade Coastal 40,000 lbs of \$.875/lb Rule No.: Reg XX In the "From Compliance Year" Column, fill in the expiration date of the first compliance year RTCs. The "To Compliance Year" Column is used to enter (1) single year transaction, (2) perpetual stream transaction, or (3) multiple year transaction of RTCs of same zone, quantity, and price in a single line. For a single year transaction, mark this column "Single Year Trade". For a perpetual stream transaction, mark this column "All Years Affer". For a multiple year transaction, fill in the expiration date of the last compliance year. Use separate lines for transactions of different RTCs, quantities or prices. Transactions for all inclusive years between these two columns will be negistered. Inderstand that this is only a report to the AQMD of the agreement of a forward contract or a contingent right to purchase, sale, or vap RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. I also understand that a parate RTC Transaction Registration and associated forms are required in accordance with Rule 2007. within five (5) husiness days of a actual RTC transfer. I certify that the statements are true, accurate, and columns and the statements are true, accurate, and columns and the statements are true, accurate, and columns and the statements are true, accurate. [Print name] [Print na	1	12/31/2004	, .	Coastal		\$.75/lb	Rule No. : Reg XX
Portland Cement WILL DELIVER 2 6/30/2005 Single Year Tade Coastal 40,000 lbs of 5.875/lb Rule No.: Reg XX In the "From Compliance Year" Column, fill in the expiration date of the first compliance year RTCs. The "To Compliance Year" Column is used to enter (1) single year transaction, (2) perpetual stream transaction, or (3) multiple year transaction of RTCs of same zone, quantity, and price in a single line. For a single year transaction, mark this column "Single Year Trade". For a perpetual stream transaction, mark this column "All Years After". For a multiple year transaction, fill in the expiration date of the last compliance year. Use separate lines for transactions of different RTCs, quantities or prices. Transactions for all inclusive years between these two columns will be registered. Inderstand that this is only a report to the AQMD of the agreement of a forward contract or a contingent right to purchase, sale, or wap RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. I also understand that a parate RTC Transaction Registration and associated forms are required in accordance with Rule 2007, within five 15 husiness days of a actual RTC transfer. I certify that the statements are true, accurate, and column with Rule 2007, within five 15 husiness days of a certification and associated forms are required in accordance with Rule 2007, within five 15 husiness days of a certific transfer. I certify that the statements are true, accurate, and column and accordance with Rule 2007, within five 15 husiness days of a certific transfer. I certify that the statements are true, accurate, and column and the report of this report will be made available to the public for informational purposes only. The SCAQMD does not verify the content of this report.	1	12/31/2004		combination o	<u>. 1 mg 3</u> 4 p m - 1mm - 1mm	\$.25/lb	Rule No. : Reg XX
In the "From Compliance Year" Column, fill in the expiration date of the first compliance year RTCs. The "To Compliance Year" Column is used to enter (1) single year transaction, (2) perpetual stream transaction, or (3) multiple year transaction of RTCs of same zone, quantity, and price in a single line. For a single year transaction, mark this column "Single Year Trade". For a perpetual stream transaction, mark this column "All Years After". For a multiple year transaction, fill in the expiration date of the last compliance year. Use separate lines for transactions of different RTCs, quantities or prices. Transactions for all inclusive years between these two columns will be registered. Inderstand that this is only a report to the AQMD of the agreement of a forward contract or a contingent right to purchase, sale, or wap RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. I also understand that apparate RTC Transaction Registration and associated forms are required in accordance with Rule 2007, within five (5) husiness days of actual RTC transfer. I certify that the statements are true, accurate, and columns are supported By:				Portland Cemen	· •		
transaction, (2) perpetual stream transaction, or (3) multiple year transaction of RTCs of same zone, quantity, and price in a single line. For a single year transaction, mark this column "Single Year Trade". For a perpetual stream transaction, mark this column "All Years After". For a multiple year transaction, fill in the expiration date of the last compliance year. Use separate lines for transactions of different RTCs, quantities or prices. Transactions for all inclusive years between these two columns will be registered. Inderstand that this is only a report to the AQMD of the agreement of a forward contract or a contingent right to purchase, sale, or wap RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. I also understand that a parate RTC Transaction Registration and associated forms are required in accordance with Rule 2007, within five (5) husiness days of actual RTC transfer. I certify that the statements are true, accurate, and coleporated By:Samantha Unger	2	6/30/2005		Coastal		\$.875/lb	Rule No. : Reg XX
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CAQMO Disclaimer. This report will be made available to the public for informational purposes only. The SCAQMD does not verify the content of this report. Submit this form to	-	• -	(Print	name)	(*********
watering the later of the							
		SCAQMD, F	RECLAIM Adm			nd Bar, CA	Rec'd 12/16/0

Page ____ of ___ pages



Regional CLean Air Incentives Warket Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

	ame ¹⁻ Georgia					Account ID# <u>010055</u>
Seller N	Vame ^{t-} Thums L	Long Beach Con	rpany			Account ID# <u>129497</u>
If Interm	ediary:					
	-	Α				Account ID#
		Purchase □ S	ell X Swap	Premium pai N/A	d for this con	tract:
		nt was reached		Expiration D 01/04/05	ate or Date wi	nen the RTCs are to be delivered :
→ Attacl	h forward contr	act or agreemen	nt for contingent rig	ht to purchase		
Pollutant	t: NOx_X (or SOx (Identify one pollutant	t only)		(Attach a separate form if more spaces are needed)
1	12/31/2004	Single Year	Coastal	13,000	N/A	Rule No. : XX
	1 1	1 1				Rule No.:
	1 1	1 1				Rule No. :
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transa this co last co registe I understar further und Registratio	action, (2) perpetual str olumn "Single Year Tra ompliance year. Use ered. Ind that this is only derstand that this r on and associated i	eam transaction, or (3) ade*. For a perpetual separate lines for tran a report to the AQI eport does not act forms are required	multiple year transaction of stream transaction, mark this sactions of different RTCs, quality of the agreement of a as or replace the RTC Tr	RTCs of same zone, os column "All Years Al uantities or prices. Tree forward contract cansaction Registra 2007 within five (5	uantity, and price in iter. For a multiple ansactions for all in or a contingent ri ation. I also unde	ce Year' Column is used to enter (1) single year a single line. For a single year transaction, mark year transaction, fill in the expiration date of the clusive years between these two columns will be light to purchase, sale, or swap RTCs. I erstand that a separate RTC Transaction of the actual RTC transfer. I certify that the
Reported	By: Linda Arser	nault		Signatu	178	- 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1
			ame)			
Company Long Bea		Thums Long E	Beach Company Reg	ulatory Environm	ental Coord.	Date and Place: November 30, 2004
Fотта 2007-4		RECLAIM Admin	Submit th	is form to ssfers, P.O. 483	0, Diamond B	Postmank 12/1/14 ar, CA 91765-0830
Rev. 12-03						Page of pages



Regional CLean Air Incentives Market Trading Credits (RTCs) Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

TRute 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or forward contracts be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. In the case of intermediary, the rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within

five (5) but	siness days of t	he agreement.			- -	
Buyer Na	me [†] Energy	Initiative Group	o, L.P			Account ID# 700105
Seller Na If Interme						Account ID# 40196Account ID#
		Purchase □Se	II X Swap	Premium paid	for this contrac	t:
		ent was reached:	11/3/04			the RTCs are to be delivered : yer on or before January 15, 2005.
→ Attach	forward contr	act or agreemen	t for contingent ri	ght to purchase		,
Pollutant:	NOx _X_	or SOx(dentify one pollutar	nt only)	3.41	
Ť,	erms of RTC Tr	andferred	The space of the state of the s		(Att	ach a separale form if more spaces are needed)
Cycle	From Compliance Year(*)	To Compliance Year(*)	Original Zone (Coastal or Inland)	Maxigum Quantity (Pounds/Year)	Price (S/Pound)	Origin of Credits
			Energy Iniative Group WILL DELIVER			No. 443 11 11 11 11 11 11 11 11 11 11 11 11 11
1 .	12/31/2004	Single Year Trade	Coastal or Inland, or a combination of Coastal and Inland	30,000	\$.70/Ib	Rule No. : Reg XX
	·		IN RETURN Guardian will deliver			Rule No.:
2	6/30/2005	Single Year Tade	Coastal	19,000	\$1.105263/lb	Rule No. : Reg XX
	1 1	1 1				Rule No.:
transec this col- last cor- register I understar swap RTC separate R the actual	tion, (2) perpetual str umn "Single Year Tr npliance year. Use ed. nd that this is of s. I further unde tTC Transaction RTC transfer. I	ream transaction, or (3) ande. For a perpetual sy separate lines for trans rily a report to the erstand that this re Registration and certify that the sta	multiple year transaction of stream transaction, mark the sactions of different RTCs. AQMD of the agreed aport does not act a associated forms at a terments are true, as	I RTCs of same zone, quis column "All Years Aft quantities or prices. Trament of a forward os or replace the RT re required in accoccu.	uantity, and price in a sin or - For a multiple year insactions for all inclusiv contract or a contil IC Transaction Rec	ar Column is used to enter (1) single year gle line. For a single year transaction, mark transaction, fill in the expiration date of the e years between these two columns will be nigenit right to purchase, sale, or gistration. I also understand that a 1007 within five (5) business days of inowledge.
Reported I	By: <u>Sama</u>	NTVALVU (Print no	15er Signatur	re: <u> </u> 	na desigh company and a second of the second	
						tovember 5, 2004 White Plains, NY es not verify the content of this report.
			. Submit t	his form to		م الم

SCAQMD, RECLAIM Administration - RTC Transfers, P.O. 4830, Diamond Bar, CA.91765-0830

Form 2007-4 Rev. 12-03



Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

TRule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or forward contracts be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. In the case of intermediary, the rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within five (5) business days of the agreement.

five (5) but	siness days of t	he agreement.			-	
Buyer Na	ame†				Α	Account ID#
Seller Na	ıme†			· · · · · · · · · · · · · · · · · · ·	A	account ID#
If Interme	ediary: Evolu	tion Markets L	LC			Account ID# 700117
		Purchase □Se	II □ Swap	Premium pai	d for this contract	••••••••••••••••••••••••••••••••••••••
Date whe	n this agreeme	nt was reached	: 11/1/04		e sent to the Buy	the RTCs are to be delivered : rer and Sellers no later than
→ Attach	forward contr	act or agreemer	t for contingent r	ight to purchase		
		,	Identify one polluta			
- Onotain		,, oox	Contra Cine pondia	in only)	(Alte	ach a separate form if more spaces are needed)
Cycle	From Compliance Year(*)	To Compliance Year(*)	Original Zone (Constal or, Inland)	Maximum Quantity (Pounds/Year)	Price (\$/Pound);	Origin of Credits
2	6/30/2007	6/30/2011	Inland	30,000	\$3.00/lb	Rule No. : Reg XX
2	6/30/2012	All Years After	Inland	30,000	\$3.00/16	Rule No. : Reg XX
	1 1	1.1				Rule No.:
	1.1	11			,	Rulé No.:
	1 1	[]				Rule No.:
	11	11				Rule No.:
L	1 1	11				Rule No.:
transactis collast con registral I understa swap RTC separate F the actual Reported I	tion, (2) perpetual str turn 'Single Year Tr mpliance year. Use red. Ind that this is on is. I further under RTC Transaction RTC transfer. I By:	eam transaction, or (3) ade. For a perpetual is separate lines for transitive are	multiple year transaction of tream transaction, mark to sactions of different RTCs. AQMD of the agree eport does not act a associated forms a atements are true, a company. Signaturanted Director of California	of RTCs of same zone, q this column. All Years Af , quantities or prices. To ament of a forward as or replace the R are required in account	uantity, and price in a sing ter. For a multiple year in ansactions for all inclusive contract or a contin TC Transaction Reg ordance with Rule 2	ar Column is used to enter (1) single year ple line. For a single year transaction, mark transaction, fill in the expiration date of the a years between these two columns will be agent right to purchase, sale, or jistration. I also understand that a color within five (5) business days of nowledge. 1004 White Plains, NY as not verify the content of this report.
	SCAOMD F	RECLAIM Admin	· Submit istration - RTC Tra	this form to	0. Diamond Bar (Postmark 11/9/04

Form 2007-4 Rev. 12-03

Page ____ of ___ pages



Regional CLean Air incentives Market Trading Credits (RTCs) Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

†Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or forward contracts be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. In the case of intermediary, the rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within

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ntingen		Purchase Sell	☐ Swap	Premuni paid	for this contra	ict: \$0.00	
te when 10/26/	•	ent was reached:		Expiration Da 01/21/05	te or Date wher	n the RTCs are to	be delivered :
Attach	forward contr	act or agreement	for contingent ri	ght to purchase	····-··		
ollutant:	NOx _X_	or SOx (Id	entify one pollutar	nt only)			
wan and to the first of the	ODSTABLE OF SALE	AND THE SERVICE	renavalie (Caranita	aren elektrone.	(A	Attach a saparata form if i	
	initial in the second			in in the second of the second			
34/-1.77	ighidiye la		THE WAY ST				
	12/31/04	Single Year Trade	Coastal	5.000	\$0.50/lb.	Rule No. :	STATEMAN VV
	1201104	Oligio real riddo		,		Rule No. :	18.28
	1 1	11				Rule No. :	
	1.1	11		· · · · · · · · · · · · · · · · · · ·	···	Rule No. :	
	11	1 1				Rule No.:	
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norted B	v: David Oppo	enhelmer		Signature	:		

Submit this form to SCAQMD, RECLAIM Administration - RTC Transfers, P.O. 4830, Diamond Bar, CA 91765-0830

Form 2007-4 Rev. 12-03



Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

†Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or forward contracts be reported by either	the
contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. In the case of intermediary,	the
rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD with	ithin
five (5) business days of the agreement.	

Buyer Na	siiiess days ui t	he agreement.				
	ame† Multifuel	s, L.P				_Account ID# 700073
Seller Na	ame [†] Naverus	, Inc				Account ID# 135860
						Account ID#
] Forv	nt Right to: ward Contract	Purchase X Se			aid for this contr	
ate whe	n this agreeme	nt was reached	;			n the RTCs are to be delivered :
/27/04				January 10	, 2005	
> Attach	forward contr	act or agreemer	t for contingent	right to purchas	e	,
ollutant	: NOx _X	or SOx (identify one pollul	tant only)		
PM:4530	entrate de la companya de la company		Company of the second		er in an andrews	(Attach a separate form if more spaces are needed)
\$						
			7.7			
	1			7 1		19 公共党部 计连续数据
PALESANO.	164 13 4 200 3 9 8 4 3	contact of Page 4				
1	12/31/2011	All Years After	Coastal	41,000	\$4.75/lb	Rule No. : Reg XX
2	6/ 30 /2012	All Years After	Coastal	9,000	\$4.75/lb	Rule No. : Reg XX
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Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

Expiration Date or Date when the RTCs are to be delivered: 2/15/05			ase 🗆 Sell 🗖	Swap	Premium paid for this contract: \$0.	nt ID# 700004
Attach a separate form it more spaces are need	te when this ag		reached:		· · ·	Cs are to be delivered :
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	ported By:	Josh Marg	(Print name)		Signature:	<u> </u>
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Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

ve (5) business days	•			Append ID#			
•				Account ID#			
leller Name* Intermédiary:			Account ID#				
	Cantor Fitzgerald			Account ID# 700004			
ype of contract: contingent Right to	o: XX Purchase 🗆 S		Premium paid for t	his contract:			
Forward Contract The state of the second of	eement was reached:		Expiration Date or 12/31/2004	Date when the RTCs are to be delivered :			
Attach forward	contract or agreemen	t for contingen	t right to purchase				
	X_or SOx	_	-				
				(Attach a separate form if more spaces are needed)			
RTC Expiration Date (Month/Year)	RTC Origination Zone (Constal or Inland)	Maximum Quantity (Pounds)	Price (S/Pound)	Origin of Credits			
12/31/2004	Coastal	58,499	\$4	Rule No. :XX			
12/31/2005	Coastal	41,501	\$4	Rule No. :XX			
/							
eale, or swap RTCs. Inderstand that a sevithin five (5) busine Inderstand that a sevithin five (5) busine Inderstand the sevithin five (5) Indeed the sevithin fi	I further understand the parate RTC Transaction ess days of the actual Fige. (Print name)	at this report do n Registration a tTC transfer. I o	es not act as or repla and associated forms certify that the statem Signature:				
	itle: ANDL ITTE		Date and F	SCAQMD does not verify the content of this report.			
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		Submit thi		Post mauk 9/23 nond Bar, CA 91765-0830			



Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

Selier Name Account D#	Seller Name¹	
Intermediary Name Cantor Fitzgerald	Intermediary Name Cantor Fitzgerald Account ID# Type of contract: Contingent Right to: X Purchase Sell Swap Forward Contract Expiration Date or Date when the RTCs are to 2/15/05 Attach forward contract or agreement for contingent right to purchase Pollutant: NOx_x_ or SOx (Identify one pollutant only) Attach forward contract or agreement for contingent right to purchase Rule NO.: XX 12/31/2004 Coastal 58,499 \$4 Rule NO.: XX 12/31/2005 Coastal 41,501 \$4 Rule NO.: XX Rule NO.: XX 12/31/2005 Coastal 41,501 \$4 Rule NO.: XX Rule NO.: XX 12/31/2005 Coastal 41,501 \$4 Rule NO.: XX Rule NO.: XX Rule No.: XX XX XX XX XX XX XX XX	
ype of contract: Contingent Right to: X □ Purchase □ Sell □ Swap □ Forward Contract: Sold provided Co	Intermediary Name	··
Company Name & Title:Cantor Fitzgerald _ Managing Director, Date and Place:	Contingent Right to: X □ Purchase □ Seli □ Swap □ Forward Contract Date when this agreement was reached: 2/15/05 Attach forward contract or agreement for contingent right to purchase Pollutant: NOx x or SOx (Identify one pollutant only) (Attach a separate form) (Attach a separate form) 12/31/2004	700004
Date when this agreement was reached:	Date when this agreement was reached: 2/15/05 Attach forward contract or agreement for contingent right to purchase Pollutant: NOx _x _ or SOx (Identify one pollutant only) (Attach a separate form) (Attach a separate form) 12/31/2004	
Pollutant: NOx _x _ or SOx (Identify one pollutant only) 12/31/2004	12/31/2004 Coastal 58,499 \$4 Rule NO. : XX 12/31/2005 Coastal 41,501 \$4 Rule NO. : XX	o be delivered :
12/31/2004 Coastal 58,499 \$4 Rule NO.: XX 12/31/2005 Coastal 41,501	12/31/2004 Coastal 58,499 \$4 Rule NO.: XX 12/31/2005 Coastal 41,501 \$4 Rule NO.: XX I understand that this is only a report to the AQMD of the agreement of a forward contract or a contingent right to purchase, sale, further understand that this report does not act as or replace the RTC Transaction Registration. I also understand that a separate Registration and associated forms are required in accordance with Rule 2007 within five (5) business days of the actual RTC transtatements are true, accurate, and complete to the best of my knowledge. Reported By:	
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Company Name & Title:Cantor Fitzgerald _ Managing Director, Date and Place:12/27/2004, San Francisco	Company Name & Title:Cantor Fitzgerald _Managing Director, Date and Place:12/27/2004, San Franci	RTC Transaction sfer. I certify that the
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	SCAQMD Discialiner: This report will be made available to the public for informational purposes only. The SCAQMD does not verify the	
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	Submit this form to	- Lunguk
Submit this form to	SCAQMD, RECLAIM Administration - RTC Transfers, P.O. 4830, Diamond Bar, CA 91765-0830	Poson 9/2
Submit this form to SCAQMD, RECLAIM Administration - RTC Transfers, P.O. 4830, Diamond Bar, CA 91765-0830 Postumble 17	Form 2007-4	_
SCAQMD, RECLAIM Administration - RTC Transfers, P.O. 4830, Diamond Bar, CA 91765-0830	Rev. 12-03	Page of pages



Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

				Account ID#
ntermediary: termediary Name <u>C</u>	antor Fitzgerald			Account ID# 700004
pe of contract: entingent Right to: Forward Contra	X□ Purchase □	Sell □ Swap	Premium paid for this	contract:
	ement was reached		Expiration Date or Dat 12/31/2004	e when the RTCs are to be delivered
Attach forward co	ontract or agreemer	it for contingen	t right to purchase	, , , , , , , , , , , , , , , , , , ,
oliutant: NOx	X_or SOx	(Identify one po	llutent only)	
	- 1 PAT, DATE SANDAROTE	I main man makan panakai sa		(Atlach a separete form if more spaces are needed)
RTC Expiration Date (Mouth/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)	Price (\$/Poued)	Origin of Credits
6/30/2006	Inland	31,998	\$4	Rule No. :XX
6/30/2007	Inland	31,998	\$4	Rule No. :XX
6/30/2008	Inland	31,998	\$4	Rule No. :XX
6/30/2009	Inland	31,998	\$4	Rule No. :XX
6/30/2010	Inland	31,998 .	\$4	Rule No. :XX
6/30/2011	Inland	31,998	\$4	Rule No. :XX
All Years After 2011	Inland	31,998 .	\$4	Rule No. :XX
/				Rule No. :
1				Rule No. :
1				Rule No.:
7				Rule No. :



Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

Interme	me†		<u></u>	***	/	Account ID#
ntermed		tsource, LLC _			/	Account ID#700066
		Purchase ☐ Sell	□ Swap	Premium paid	for this contrac	t: \$8,600.00
ate wher 9/2/04	_	nt was reached:		Expiration Da 2/1/05	te or Date when	the RTCs are to be delivered :
→ Attach	forward contr	act or agreement	for contingent rig	ht to purchase	<u> </u>	· · · · · · · · · · · · · · · · · · ·
'ollutant:	NOx_X_	or SOx (ld	entify one pollutant	t only)		
	The state of the s	3.*		an and a second	(Att	ach e separate form if more spaces are needed
1	12/31/04	Single Year Trade	Coastal	86,000	\$1.25/lb.	Rule No.: XX
_ `		J				Rule No. :
	1 1	1 1				Rule No. :
	1 1	1 1				Rule No. :
	1 1	1 1				Rule No. :
	1 1	1 1				Rule No. :
	1 1	1.1				Rule No. :
	1 1	1 1				Rule No. :
	1.1	1.1 -				Rule No. :
	1 1	1.1				Rule No. :
* In the "		eam transaction, or (3) made. For a perpetual str	ultiple year transaction of earn transaction, mark this	RTCs of same zone, que column "All Years After	uantity, and price in a sin er". For a multiple year	ear Column is used to enter (1) single year igle line. For a single year transaction, mark transaction, fill in the expiration date of the
transac this con last con register I understand further under Registration	umn "Single Year Tr mpliance year. Use red. d that this is only erstand that this r n and associated i	a report to the AQMD eport does not act as forms are required in	of the agreement of a or replace the RTC T	a forward contract o ransaction Registra 2007 within five (5)	r a contingent right tion. I also understa business days of th	to purchase, sale, or swap RTCs. I and that a separate RTC Transaction is actual RTC transfer. I certify that the
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Rev. 12-03

SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT

Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

eller Na Intermed termedi /pe of cc ontinger I Forw ate wher 8/11/0	metediary: iary Name ontract: nt Right to: □ rard Contract n this agreeme		□ Swap			Account ID# Account ID# Account ID#
Intermeditermedical period on the continger of the continue of the con	ediary: iary Name ontract: ot Right to: □ vard Contract of this agreeme	Purchase 🗆 Sell			,	Account ID#
termeditype of coontinger Forwate wher 8/11/0 Attach	iary Name contract: Int Right to: Int Right t	Purchase 🗆 Sell				
ontinger Forwate wher 8/11/0 Attach	nt Right to: vard Contract n this agreeme		□ Swap	Premium pale	for this contrac	:t: \$0.00
8/11/0 Attach	14	nt was reached:		ļ.		
	fa			Expiration Da 10/25/04	te or Date when	the RTCs are to be delivered :
	torward contr	act or agreement	for contingent rig	ht to purchase		
			entify one pollutan		(At	itach a separate form if more spaces are neede
		Spinist .		us antique		
5,31				17.85		
1	12/31/04	Single Year Trade	Coastal	75,000	\$0.90/lb.	Rule No.: XX
1	12/31/04	Outrie Legi Hade	Company	.5,000	40.50/10.	Rule No.: XX
	11	. , ,				Rule No. :
		, ,				Rule No. :
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	1 1	11				Rule No. :
	11	11				Rule No. :
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-		•	best of my knowledg	• •		ne actual KTC transfer. Toeruny mat me
aported E	By: David Oppe	nheimer(Print nan	ne)	Signatur	e:	**************************************
ompany I	Name & Title: Na	atsource LLC, Prin	cipal	Date and Pl	ace: 8/17/04 New	v York, NY
SCAQME	Disclaimer: This r	eport will be made eva	ilable to the public for in	nformational purpose	s only. The SCAQMD	O does not verify the content of this report.
			Submit th	his form to		~ am
	SCAQMD. F	RECLAIM Adminis	tration - RTC Tra), Diamond Bar. (CA 91765-0830 Posto



Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

five (5) bus	siness days of t	he agreement.				
Buyer Na	met California	a Portland Cen	nent Company _			Account ID# 800181
Seller Na	met Multifuel	s LP				Account ID#: 700073
if Intermed				•		Account ID#
		Purchase □ Se	ell 🗆 Swap	Premium pai	d for this contra	ct: \$0
		nt was reached:			ate or Date wher , 2004 initiate de	the RTCs are to be delivered :
→ Attach	forward contra	act or agreemen	t for contingent ri	ght to purchase		
Pollutant:	NOx X	or SOx //	dentify one pollutar	nt-only)		
				**.	<u>, , , , , , , , , , , , , , , , , , , </u>	tiach a separale form if more spaces are needed)
Te	rms of RTC Tri	insferred	Original Zone	Maximum		
Cycle	From Compliance Year!*)	To Compliance Year(*)	(Coestal or Inland)	Quantity (Pounds/Year)	Price (\$/Paund)	. Usigin of Credita
1	12/31/04	Single Year Trade	Coastal, Inland, or mixture of both	60,000	\$.90/lb	Rule No. : Reg XX
	1.1	1.1				Rule No. :
	11	1.1			l ,	Rule No.:
	1 1	1 1				Rule No. :
	1.1	1.1			1	Rule No. :
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	1 1	1 1				Rule No. :
		1.1				Rule No. :
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<u>L</u>	11	11	<u> </u>	L.,	L.,	Rule No. :
transac fhis cot last cor register i understan further und Registration	tion, (2) perpetual strum "Single Year Tra inphance year. Use red. d that this is only eratand that this re n and associated f	eam transaction, or (3) ade". For a perpetual s separate lines for trans a report to the AQN eport does not act a forms are required if	multiple year transaction or tream transaction, mark to actions of different RTCs. ID of the agreement of us, or replace the RTC	f RTCs of same zone, q is column "All Years Af quantities or prices. Tr a forward contract of Fransaction Registra e 2007 within five (5	pantity, and price in a s ler". For a mutiple yez ansacțions for all inclus or a contingent righ aflon). Laiso undersi	rear Coum is used to enter (1) single year implement, for a single year transaction, mark or transaction. Din the expiration date of the tive years between these two countrs will be to purchase, sale, or swap RTCs. I tand that a separate RTC Transaction the action PTC and I certify that the
Reported i	By:Sam	antha Unger (Print n	anic)	Signatu	ıre	
Company	Name & Title: Ev			omia Environmen	tah	wana macon orway, wew York
SCAQMI	D Disclaimer, This r	eport will be made at			es only. The SCAQM	D does not verify the content of this report.
Form 2007-4 Res. 12-03	SCAQMD, R	RECLAIM Admin	Submit istration - RTC Tra	this form to Insfers, P.O. 483	0, Diamond Bar,	CA 91765-0830 Postmank ekker



Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

*Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or forward contracts be reported by either the
contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. In the case of intermediary, the
rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within
five (5) business days of the agreement.

five (5) bu	siness days of	the agreement.					
Buyer N	ame†					Account ID#	
Seller Na	amet					Account ID#	•
If Interm	ediary:	antor Fitzgeral				Account ID#	700004
	ontract: rit Right to: XI ward Contract	□ Purchase □	Sell 🗆 Swap	Premium paid	i for this contra	ct: \$0.00	
		ent was reached	# ·	Expiration Da July 1, 2004	ite or Date when	the RTCs are	to be delivered :
→ Attacl	forward contr	act or agreemer	nt for contingent r	ght to purchase	· · · · · · · · · · · · · · · · · · ·	·	
Poliutant	: NOx X	or SOx	identify one poliute	nt oñiv).			*
					(A	ttach a seperate form	if more spaces are needed
	erms of RTC Tr From Compliance	snaferred To Compliance	Original Zone (Constal or Tolund)	Maximum Quantity (Pounds/Year)	Price (5/Pound)	Онр	n of Credits
Cycle	Yoar(*)	Year(')				Transfer 'S	
1	12/31/2004	Single Year Transaction	Coastal	24,972	\$1.25	Rule No. :	
						Rule No. :	
	1.1	11				Rule No. :	
	11.	11				Rule No. :	
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	11	1.1				Rule No. :	
	11	11				Rule No. :	ĭ.
fensor file co- lost co- registe I understan further und Registratio statements	tion, (2) perpetual at turn "Single Year Tr impitance your." Use rod. d that this is only erstand that this r n and associated i are true, accurate	eam transaction, or (3) add'. For a perpetual is separata lines for trans a report to the AQM eport does not act forms are required it, and complete to the	uphation data of the first multiple year transaction, mark inscription, mark inscription of different RTCs. ID of the agreement of us or replace the RTC in accordance with Rune best of my knowled	of RTCs of same zone, on the country of the country	usitity, and price in a si er. For a multiple yea insactions for all inclusi- er a contingent right tion. I also underst business days of ti	ngle line. For a single transaction, fill in the re yours between the to purchase, sale and that a separat he actual RTC trans	year transection, mark expiration date of the se two columns will be , or swap RTCs. I a RTC Transaction
· · · · · · · · · · · · · · · · · · ·		(Print n	enc)	- Oiginato			
Company	Name & Title:	Carriero	Directon	Date and PI	SCO: 5/25/6	y Sun	Frucker 1A
			vallable to the public for				
Form 2007-4 Rev. 12-03	SCAQMD, F	RECLAIM Admin	Submit Istration - RTC Tra	this form to ensfers, P.O. 4830), Diamond Bar,		Pastmark 6/25/04



Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

	ame [†]					Account ID#
ieller N	ame†	· · · · · · · · · · · · · · · · · · ·	·			Account ID#
	ediary:	1	_			
nterme	diary Name Ca	entor Fitzgerali	1	***************************************		Account ID# 700004
	contract:			Premium pal	d for this contrac	t: \$1.629/RTC
		Purchase 🗆 S	eli 🗆 Swap			
	ward Contract	int was reached	· · · · · · · · · · · · · · · · · · ·	Evalentian Dr	do os Dele videe	the RTCs are to be delivered :
4/20/04	ui mië eficonic	mir avvə reaction	•	June 21, 2004		the KICS are to be belivered :
	n famusta cante	art or gordono	nt for contingent ri			<u> </u>
		•	•	•		
ollutant	:: NOx <u>X</u> :	or 50x (identify one polluter	nt only)	(As	och a separate form if more spaces are needed)
7	orms of RIC Tr	ansierred			. i	
	From		Original Zone	Maximum Quantity	Price	Origin of Credity
Cycle	Compliance	To Compliance Year(*)	inlend)	(Pounds/Year)	(SiPound)	
1	Year(*) 12/31/2011	All Yours After	Constal	9,196	\$6.44	Rule No. : XX
	(200,001)	74 TOME THE		7,		Rule No. :
***********	1 , ,	,,				Rule No. ;
	11	17				Rule No. :
	11	. 1 1				Rule No. :
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this co last co registo inderstan other und registratio	tumn 'Single Year Tri mplance year. Use red: id that this is only lerstand that this n n and associated f	ide". For a perpetual a separate fines for trans a report to the AQM aport does not act a orms are required if	tream immedica, mark the actions of different RTCs, the agreement of the agreement of the or replace the RTC 1	vis column "All Yeers Aft quantities or prices. Tra e forward contract o fransaction Registral e 2007 within five (5)	or. For a multiple year insactions for all inclusive ir a contingent right t tion. I also understa.	gle the For a single year transaction, mark transaction, fill in the expension date of the years between these two countries will be years between these two countries will be operated RTC transaction actual RTC transfer. I certify that the
anatted:	Ву;	h Margolis		Signature: (
epoite .		(Print m	•	•		Way is
•	Blama P Title: C	antor Fitzgerald,	Managing Director	Date and Pla	ace: San Francisc	o.CA 4/2/024
•	CHARLIE DE STATES					



Form 2007-4 Rev. 12-03

SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT

Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

uyer Na	met					Account ID#
						Account ID#
Interme	ediary:					Account ID# 700004
Fant	nt Right to: 🚉	Purchase □ S		Premium paid	for this contra	et: \$0.125/RTC
ata wher 1/05/04	this agreeme	nt was reached	**************************************		ite or Date when and March 4, 2	the RTCs are to be delivered : 006
		-	nt for contingent r identify one poliute		(A	lisch e seperate form if more speces are needs
Ţ(rms of RTC To	insferred	Original Zone	Михітит	Price	
Gycle	From Compliance Year(*)	To Compliance Year(*)	(Constal or)	Quantity (Pounds Year)	(%Tound)	Origin of Credita
2	06/30/2005	Single Year Transaction	Coastal	34,000	\$ 5.00	Rule No. : XX
2	06/30/2006	Single Year Transaction	Constal	45,000	\$5.00	Rule No. : XX
	1.1	11				Rule No. :
	1 1	1 1				Rule No. :
	1.1	1 1				Rule No. :
	1 1	1 1		<u> </u>		Rule No. :
	1 1	1.1				Rule No. :
	1 1	1 1	<u> </u>			Rule No. :
ranset this cuk tast con register theratum ther undi gistration tements	tion, (2) perpobalish ann "Single Year Tra nphance year. Use ed. d that this is only erstand that this re a and associated f are true, accurate,	tens transaction, or (3) tide". For a perpetual of separate fines for trans a report to this AQA aport does not act orms are required to and complete to the complete to the complete to the complete to the complete control or the complete to the complete to the complete control or the control o	multiple year transaction, mark is sections of different RTCs. AD of the agreement of as or replace the RTC in accordance with Ru he best of my knowled	of RTGs of same zone, anha column "All Years An, quantiles or prices. The factorists of the forward contract of Transaction Registra 18 2007 within five (5) the.	unity, and price in a sice. For a multiple yearnactions for all inclusion a contingent rightition. I also underst business days of the	eat Column is used to enter (1) single year night less. For a single yeak reneation, mark reansaction, fill in the expression date of the ve years between these two columns will be to purchase, sale, or swap RTCs. I and that a separate RTC Transaction he actual RTC transfer. I certify that the
sported E	ay: <u>Kobu</u>	n Langdo	me)	Signatu	ro:	
mpany l	Name & Title: 👤	unter this	gerain, VI	Date and Pi	ace: 3/11/1	<u> </u>



Regional CLean Air Incentives Market Trading Credits (RTCs) Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

Traile 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or forward contracts be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. In the case of intermediary, the rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within five (5) business days of the agreement.

GON ROGO U	ays of the agree	ment.				
Suyer Na	met			**** · · · · · · · · · · · · · · · · ·	/	Account ID#
						Account ID#
i interme ntermedi		dwater LP				Account ID# 700071
		Purchase 🖸 Şell	C Swap	Promium paid f	or this contract:	\$0.00
	this egreemer	nt was reached:		Expiration Date 30, 2004	or Date when th	ne RTCe ere to be delivered : April
> Attach	forward contre	ct or agreement f	or contingent right	t to purchase		
Pollutant:	NOx X	or SOx de	entify one pollutent o	salv)		
						(Attach a separate form if more spaces are needed)
	erms of RTC Tr	um ferred	Original Zone	Maximum		
Cycle	From Compliance Year(1)	To Compliakes	(Counts) in Juliand)	Quantity (Poxunds/Year)	Prior (S/Produk)	Orlgan of Credin
1	12/31/2011	All years after	Coastal	1000	\$6.00	Rule No. :Reg XX
	1.1	11				Rule No. :
	11	1.1				Rule No. :
	1 1	1.1				Rule No. :
	11	11				Rule No. :
	11	1.1				Rule No. :
	11	11				Rule No. :
	11	11				Rule No. :
	11	1 /				Rule No. :
	1.1	1 1				Rule No. :
perpoter Traces, for trans understand understand (ol stream frontection, For a perputical stream actions of different RT I that this he only a that this report do	tr (3) mailiple year began n Francicion, mark this co Ca. quantities or prices. To report to the AQMO o se not act se or replace	ction of RTCs of same con lumn 'All years Affer', For a armictions for all includive; if the agreement of a for the RTC Transaction	e, quintly, and price in a ci a multiple year transaction, fill years between trace two cos inward contract or a cos i Registration. I also un	nge fine. For a cingle y lin the expiration data of unma will be registered. attingent right to pure denate in that a sepa	is used to order (1) entity year twestation, (2) ear twestation, mark this column Single Year of the last compliance year. Use separate lines chiese, sale, or eviap RTCs. I further earth RTC Transaction Registration and certify that the statements are true.
		best of my knowledge				;
Reported E	ly: _J. Jay Bur	ock(Print on		Signature		The second of th
		(Print na	(nc)	-	2.00	6.1
Company N	lame & Title:	_Boldwater LP, Em	issions Broker	Date and I	lace:02/17/20	04 Houston, Taxas
924	intin haddan 1	. عادي والمناز المناز المناز المناز والمناز		fribanisticiat riime	al The country a	ses not worlly the content of this report.
JUA		का (कोराह अस्त तब शास्त्रक) (rymann as are pulsas for	enerumannen parposes o	ing. 100 SUPURED OC	,
						Postmark 4/17/0

Submit this form to SCAQMD, RECLAIM Administration - RTC Transfors, P.O. 4830, Diamond Bar, CA 91765-0230



SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT

Regional CLean Air Incentives Market Trading Credits (RTCs)

Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

all agreements for a contingent right to purchase RTCs or incovard contracts be recorded by either the

liiver Na	ıme!		,			Account ID#
						Account ID#
Interm		i principio minoralimenti			,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	Account ION
termed	iary Name Na	tsource LLC				Account ID# 700066
V2344 - E -	and a section of the	- W 98				t; 30,000 RTCs
ype or c	ontract. of Right to: IX	Purchase 🗆 Sell		, roman para		7: 20:000 K108
1 mi	المحمد فحجم النشيب		•			
ate who	n this agreeme	nt was reached:	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			the RTCs are to be delivered :
21104		•		12/15/05	1.0	
> Attach	forward contr	act or agreement f	or contingent ri	ght to purchase		دد ده.
olfutant:	NOxx_	or SOx (Ide	ntify one political	nt only)		and the second second
						tach a separate form if more abaces are needed)
		ransferred :	Original Conc	Maximum	Price	
	From Compliance	To Compliance	(Coastal or foland)	Quantity (Pounds/Vear)	(\$/Pound)	Origin of Credits
Cycle	Year(*)	Year(')	mandy	(Foundaries)		
	12/31/07	Single Year Trade	Either	150,000		Rule No. :XX
	1.1	11				Rule No. :
·	OR					
	11	11				Rulé No. :
	8/30/08	Single Year Trade	Either	150,000		Rule No. :XX
	11	11			, , , , , , , , , , , , , , , , , , , 	Rule No. :
	11	1.7			Carlo de Basa de Maria de Carlo	Rule No. :
	<i>J [</i>					Rule No. :
	11	1.1			·	Rule No. :
·~~~	************	· · · · · · · · · · · · · · · · · · ·		I		- interior in the second secon
trensac this col last col registe	:0og. (2) perpeluisi sh umn "Singla Year Tr mpijanco year. Usa red.	reum transaction, or (3) mu ade", For a perpetual atre I separate Ches for transact	Hipto year transaction o am transaction, mark t ions of different RTCs.	of RTCs of same zone, quanto column "All Years Atlan- quantitias or prices. Tran	ntây, and price în e si r. For a mutipla yea soctions for all inclusi	ear' Column is used to enter (1) single year right line. For a single year transaction, mark remascation, (2) in the expression date of the tre years between these two columns will be
inderstan	d that this is only	a report to the AQMD	of the agreement of	a forward contract or	a contingent right	to purchase, sale, or swap RTCs. I
mner und Egistrátió	erstand that this i n and associated	report does not act as forms are required in a	or replace the KIC scordance with Ru	ransaction registrati le 2007 within five (5) l	on, calso underst	and that a separate RTC Transaction he actual RTC transfer. I certify that the
		, and complete to the				Annual Supplement of the State
nhadad	Bur David One	nnkimar		Signatur	,	end of the second second second
oponea	ву: нами Орр	onhimer(Print cam	c)	- Jugitature	نار مانداد است. در استان	
ompany	Name & Title: N	atsource LLC		Date and Pla	ce: 2/2/04 New Y	ork, NY
			•	,		D does not venfy the content of this report.



Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

¹ Rule 2007 (e)(2)(C) requires that all agreements for a	a contingent right to pur	rchase RTCs or forward co	ontracts be reported by either the
contracting parties, or by the agent, broker, or other i	intermediary representir	ng the contracting parties.	In the case of intermediary, the
rule allows that the identities of actual trading parties	need not be disclosed.	This report is required to	be submitted to the AQMD within
five (5) business days of the agreement.			

		ities of actual trad the agreement.	ing parties need n	ot be disclosed. T	his report is requi	ired to be submitted to the AQMD within		
Buyer Na	ame¹			· · · · · · · · · · · · · · · · · · ·		Account ID#		
						Account ID#		
if interm	ediary:			•				
Intermed	liary Name <u>C</u>	antor Fitzgerald			· · · · · · · · · · · · · · · · · · ·	Account ID# <u>700004</u>		
Type of c					for this contrac	and the second s		
Continge	nt Right to: 🔯	Purchase 🗆 S	ell 🗆 Swap		₹0.00			
☐ Fon	ward Contract	•						
Date whe 1/23/04	Date when this agreement was reached: 1/23/04			Expiration Da 1/13/2005	Expiration Date or Date when the RTCs are to be delivered : 1/13/2005			
→ Attach	n forward conti	ract or agreemen	t for contingent r	ight to purchase				
Pollutant	: NOx X	or SOx (dentify one polluta	nt only)		•		
					(Al	tach a separata form if more spaces are meded)		
1 2 3		ansferred -	Original Zone	Maximum				
4 8	From	To Compliance	(Constal or	- Quantity	Price (5/Pound)	Origin of Credits		
Cycle	Compliance Year(*)	Year(*)	luland)	(Pounds/Year)	3.7 %	Origin of Credits		
1	12/31/2011	All Years After	Coastal	36,781	\$5.437489	Rule No. : XX		
	F I	11				Rule No. :		
	. 1 1	11				Rule No. :		
	1.1	1.1				Rule No. :		
	11	1 1				Rule No. :		
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fransac inis col lesi col regista I understan further und Registratio	tion, (2) perpetual statum "Single Year Tr mpdance year. Use red. id that this is only terstand that this in and associated.	ream transaction, or (3) ade". For a perpetual a separate lines for trans a report to the AQM report does not act a forms are required I	multiple year transaction, mark is actions of different RTCs D of the agreement of as or replace the RTC n accordance with Ru	of RYCs of same zone, quis column 'All Years At quantities or prices. Tre f a forward contract of Transaction Registra ile 2007 within five (5)	vantity, and price in a size. For a multiple year insactions for all inclusion or a contingent right tion. I also understa	pair Column is used to enter (1) single year note the For a single year transaction, mark transaction, fit in the expiration date of the re years between these two columns will be to purchase, sale, or swap RTCs. I and that a separate RTC Transaction to actual RTC transfer. I certify that the		
			e best of my knowled					
Reported	By: Kubir	1 Langdoi	une)	Signatu	re: . !	The state of the s		
Company	Name & Title:	anter Fitz	gerald.	Date and Pl	ace: 1/27/04	San Francisco, CA		
SCAQMI	D Disclaimer: This i	ve ebern ed lifw hoger	ailable to the public for	informational purpose	s only: The SCAOME	does not verify the content of this report.		
				this form to		111		
	SCAQMD, F	RECLAIM Admin	stration - RTC Tra	ansfers, P.O. 4830), Diamond Bar,	CA 91765-0830 1/27/04		
Form 2007-4 Rev. 12-03						Pageof pages		



Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

contracting rule allows five (5) but	g parties, or by s that the identi- siness days of I	the agent, broke lies of actual trad the agreement.	r, or other intermedi	ary representing	the contracting p	ward contracts be reported by either parties. In the case of intermediary ired to be submitted to the AQMD v	, the	
Buyer Na	ame [†]					Account ID#	4	
Seller Na If Interm	amet ediary:	······································				Account ID#	-	
Intermed	liary Name <u>Ca</u>	intor Fitzgerald	1			Account ID# <u>700004</u>	+	
Type of contract: Contingent Right to:			Premium paid for this contract: • \$0.00					
1/23/04	n uns agreeme	ili was reactied	•	1/13/2005	Expiration Date or Date when the RTCs are to be delivered:			
	forward contr	act or agreemen	it for contingent rig	ht to nurchase		· · · · · · · · · · · · · · · · · · ·		
		_	Identify one pollutant	•	<u>(</u> Al	tach a saparata form if more spaces are needed	ŋ	
Cyclu	From Compliance Year()	To Compliance Year(*)	Original Yone (Constal or totand)	Maximum Quantity (Pounds/Year)	Price (S/Paund)	Origin at Credit		
1	12/31/2011	All Years After	Coastal	36,781	\$5.437489	Rule No. : XX		
	11	1 1				Rule No. :	1!	
	1 1	1.1				Rule No. :	1!	
	1.1	1.1				Rule No. :] `	
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	1, 1	1 1				Rule No. :	_ ՝	
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transac Ihis coi	tion, (2) perpetual str umn "Single Year Tra npliance year, Use	eam transaction, or (3) ide*. For a perpetual s	multiple year transection of F tream transaction, mark this	RTCs of same zone, que column "All Years Aft	rantity, and price in a sir er'. For a multiple year	sar Column is used to enter (1) single year ngle the. For a single year transaction, mark transaction, fill in the expiration date of the re years between these two columns will be	i i	
further und	erstand that this r	eport does not act a	is or replace the RTC Tr	ansaction Registra	tion. I also understa	to purchase, sale, or swap RTCs. I and that a separate RTC Transaction te actual RTC transfer. I certify that the	i	
statements	are true, accurate	and complete to th	e best of my knowledge	h. ,		The state of the s	h •	
Reported !	By: <u>Robin</u>	Langdon	Оппе)	Signatu	re:			
Company	Name & Title: C	anter fitz	gerald	Date and Pl	ace: 1/27/04	San Francisco, CA	- ;	
SCAOME	O Disclaimer: This n	eport will be made av	railable to the public for in:	formational purpose:	s only. The SCAQMD	does not verify the content of this report.	1	
	SCAQMD, R	ECLAIM Admin	Submit thi Istration - RTC Tran	s form to sters, P.O. 4830), Diamond Bar, (CA 91765-0830 1/27/04	1	
Form 2007-4 Rev. 12-03						Page of pages	٠.	