

Rev. 7-24-01

SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT

Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

*Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or forward contracts be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. In the case of intermediary, the rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within five (5) business days of the agreement.

five (5) business days	of the agreement.			
Buyer Name*				Account ID#
Seiler Name*_	Account ID#			
If Intermediary: Intermediary Name	Account ID# 700004			
Type of contract:			Premium paid for thi	s contract:
Contingent Right to	: □X Purchase □ Set □ Lease Agreem		\$60,000	
Date when this agre	ement was reached:		Expiration Date or Date	ate when the RTCs are to be delivered
11/06/03			5/6/04	
→ Attach forward o	contract or agreement	t for contingen	t right to purchase	
Pollutant: NOx	_X_ or SOx (ld	dentify one pollut	ant only)	
				(Attach a separate form if more spaces are needed)
RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)	Price (\$/Pound)	Origin of Credits
12/2005	Coastal	2,608	\$3.00	Rule No.:XX
12/2006	Coastal	2,611	\$3.00	Rule No.:XX
12/2007	Coastal	2,611	\$3.00	Rule No.:XX
12/2008	Coastal	2,611	\$3.00	Rule No.:XX
12/2009	Coastal	2,611	\$3.00	Rule No.:XX
12/2010	Coastal	2,611	\$3.00	Rule No.:XX
12/ all years after 2010	Coastal	47,087	\$6.4377	Rule No.:XX
sale, or swap RTCs. understand that a se	I further understand that parate RTC Transaction ss days of the actual R	at this report do n Registration a	es not act as or replace and associated forms ar	ontract or a contingent right to purchase, the RTC Transaction Registration. I also e required in accordance with Rule 2007 is are true, accurate, and complete to the
Reported By:Josl	h Margolis (Print name		gnature:	
Company Name & Tit	le: <u>Cantor Fitzgerald, M</u>	lanaging Direct	or Date and Place <u>San</u>	Francisco, CA 11/11/03
SCAQME Form 2007-4), RECLAIM Administra	Submit thi	s form to sfers, P.O. 4830, Diamon	d Bar, CA 91765-0830



Regional CLean Air Incentives Market Trading Credits (RTCs) Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

*Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or forward contracts be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. In the case of intermediary, the rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within

five (5) business days o	-				A
•					Account ID#
					Account ID#
If Intermediary: Intermediary Name					Account ID# 700004
Type of contract:		***	Premiu	m paid for this	s contract:
	: □X Purchase □ S t □ Lease Agreem	•	None		
Date when this agre	ement was reached:		Expirat	ion Date or Da	ite when the RTCs are to be delivere
11/06/03			5/6/04		
→ Attach forward c	ontract or agreemen	t for contingen	t right to	purchase	
Pollutant: NOx	X_ or SOx (le	dentify one pollut	tant only)		
					(Attach a separate form if more spaces are needed
RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)		Price (\$/Pound)	Origin of Credits
6/2004	Coastal	229		\$3.00	Rule No.: XX
6/2005	Coastal	616		\$3.00	Rule No.: XX
6/2006	Coastal	619		\$3.00	Rule No.: XX
6/2007	Coastal	616		\$3.00	Rule No.: XX
6/2008	Coastal	616		\$3.00	Rule No.: XX
6/2009	Coastal	616		\$3.00	Rule No.: XX
6/2010	Coastal	616		\$3.00	Rule No.: XX
6/2011	Coastal	616		\$3.00	Rule No.: XX
6/all years after 2011	Coastal	14,174		\$6.4377	Rule No.: XX
understand that this sale, or swap RTCs. I understand that a sep	is only a report to the further understand the parate RTC Transactions as days of the actual R	at this report do n Registration a	es not ac and assoc	t as or replace t liated forms are	ntract or a contingent right to purchase the RTC Transaction Registration. I als e required in accordance with Rule 200 s are true, accurate, and complete to th
Reported By: Robi	n Langdon (Print name	e)	Signatur	e:	
Company Name & Titl	e: <u>Cantor Fitzgerald, V</u>	ice President	D	ate and Place_	
		Submit thi			
	, RECLAIM Administra	tion - RTC Trans	sfers, P.O	. 4830, Diamono	d Bar, CA 91765-0830
orm 2007-4 ev. 7-24-01					Page of page



Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

Intermediary Name CANTOR FITZGERALD Account ID# 700004 Type of contract:		Account ID#			
Contingent Right to:	f Intermediary: Intermediary Name	CANTOR FITZGERA	LD		Account ID# 700004
Date when this agreement was reached: 0/13/03	Contingent Right to			,	s contract:
Dollutant: NOx _X_ or SOx (Identify one pollutant only) RTC			EIIL	Expiration Date or Da	te when the RTCs are to be deliver
Pollutant: NOx _X_ or SOx (Identify one pollutant only) RTC	ŭ			,	
Pollutant: NOx _X_ or SOx (identify one pollutant only) RTC	→ Attach forward	contract or agreement	for contingen	t right to purchase	
Expiration Date (Month/Year) Coastal or Inland) (Pounds) (Pounds) Origin of Credits 12/2003 Coastal 3, 500 \$1.30 Rule No.: XX understand that this is only a report to the AQMD of the agreement of a forward contract or a contingent right to purch sale, or swap RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. I understand that a separate RTC Transaction Registration and associated forms are required in accordance with Rule within five (5) business days of the actual RTC transfer. I certify that the statements are true, accurate, and complete to east of my knowledge. Reported By: Robin Langdon Signature: (Print name) Company Name & Title: Cantor Fitzgerald, Vice President Date and Place 10/15/03 San Francisco, CA		•	_	•	(Attach a separate form if more spaces are needs
understand that this is only a report to the AQMD of the agreement of a forward contract or a contingent right to purch sale, or swap RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. I understand that a separate RTC Transaction Registration and associated forms are required in accordance with Rule 2 within five (5) business days of the actual RTC transfer. I certify that the statements are true, accurate, and complete to best of my knowledge. Reported By:Robin Langdon Signature:	Expiration Date	Origination Zone	Quantity	1	Origin of Credits
sale, or swap RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. I understand that a separate RTC Transaction Registration and associated forms are required in accordance with Rule 2 within five (5) business days of the actual RTC transfer. I certify that the statements are true, accurate, and complete to best of my knowledge. Reported By:	12/2003	Coastal	3, 500	\$1.30	Rule No.: XX
rale, or swap RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. I understand that a separate RTC Transaction Registration and associated forms are required in accordance with Rule 2 within five (5) business days of the actual RTC transfer. I certify that the statements are true, accurate, and complete to sest of my knowledge. Reported By:					
Submit this form to SCAQMD, RECLAIM Administration - RTC Transfers, P.O. 4830, Diamond Bar, CA 91765-0830	sale, or swap RTCs. understand that a so within five (5) busing pest of my knowledo	I further understand the eparate RTC Transaction ess days of the actual Rule. July 2015 Section 1 of the actual Rule.	at this report do n Registration a TC transfer. I c	es not act as or replace ind and associated forms are ertify that the statement	the RTC Transaction Registration. I all e required in accordance with Rule 20 s are true, accurate, and complete to t
SCAQMD, RECLAIM Administration - RTC Transfers, P.O. 4830, Diamond Bar, CA 91765-0830	Company Name & Ti	`		Date and Place	10/15/03 San Francisco, CA



Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

*Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or forward contracts be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. In the case of intermediary, the rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within five (5) business days of the agreement.

Buyer Name*				Account ID#	12121022200
Seller Name*	Account ID#				
f Intermediary: ntermediary Name	CANTOR FITZGERA	LD		Account ID#	<u>700004</u>
	: □ Purchase □ Sellet □ X Lease Agre	-	Premium paid fo	or this contract:	
	ement was reached:		Expiration Date	or Date when the RTCs	are to be delivered
)/5/03			9/5/05		
→ Attach forward c	ontract or agreement	for contingen	t right to purchas		
	X or SOx (la	•		,,	
onutant: NOX	_A_ 0/ 30X (/a	enary one poliul	ant only)	(Attach a separate form	if more spaces are needed)
RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)	Price (\$/Pour	l Ariain (of Credits
12/2004	Coastal	25, 000	\$0.0	Rule No.: XX	
12/2005	Coastal	25, 000	\$0.0	Rule No.: XX	
12/2006	Coastal	25, 000	\$0.0	Rule No.: XX	
12/2007	Coastal	25, 000	\$0.0	Rule No.: XX	
12/2008	Coastal	25, 000	\$0.0	Rule No.: XX	
12/2009	Coastal	25, 000	\$0.0	Rule No.: XX	
12/2010	Coastal	25, 000	\$0.0	Rule No.: XX	
12/All years after 2010	Coastal	25, 000	\$0.0	Rule No.: XX	
ale, or swap RTCs. Inderstand that a se	I further understand that parate RTC Transaction ss days of the actual R	at this report do n Registration a	es not act as or re and associated for	ard contract or a continger place the RTC Transaction ns are required in accorda ements are true, accurate, a	Registration. I also nnce with Rule 2007
Reported By:Robi	in Langdon		Signature:		
-	(Print name)			
'omnany Namo & Tit	le: <u>Cantor F</u> itzgerald, Vi	ica Pracidant	Date and D	ace <u>9/11/03 San Francisc</u>	o CA

Form 2007-4

SCAQMD, RECLAIM Administration - RTC Transfers, P.O. 4830, Diamond Bar, CA 91765-0830

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five (5) business days of	of the agreement.			
Buyer Name*				Account ID#
Seller Name*		Account ID#		
If Intermediary: Intermediary Name	CANTOR FITZGER		Account ID# 700004	
	: □ Purchase □ Se t □ X Lease Agre		Premium paid for this	s contract:
	ement was reached:		Expiration Date or Da	ate when the RTCs are to be delivered
9/5/03			9/5/05	
-> Attach forward c	ontract or agreemen	t for contingen	nt right to nurchase	
	_	_	• •	
Pollutant: NOx _	_X_ or \$0x (le	dentify one pollut	ant only)	(Attach a separate form if more spaces are needed)
RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)	Price (\$/Pound)	Origin of Credits
12/2004	Coastal	25, 000	\$0.00	Rule No.: XX
12/2005	Coastal	25, 000	\$0.00	Rule No.: XX
12/2006	Coastal	25, 000	\$0.00	Rule No.: XX
12/2007	Coastal	25, 000	\$0.00	Rule No.: XX
12/2008	Coastal	25, 000	\$0.00	Rule No.: XX
12/2009	Coastal	25, 000	\$0.00	Rule No.: XX
12/2010	Coastal	25, 000	\$0.00	Rule No.: XX
12/All years after 2010	Coastal	25, 000	\$0.00	Rule No.: XX
sale, or swap RTCs. understand that a sep within five (5) busines best of my knowledge	I further understand the parate RTC Transaction is days of the actual Fig.	at this report do n Registration a ITC transfer. I o	pes not act as or replace and associated forms are certify that the statement	ontract or a contingent right to purchase, the RTC Transaction Registration. I also e required in accordance with Rule 2007 s are true, accurate, and complete to the
Reported By: Robi	n Langdon (Print name	e)	Signature:	
Company Name & Titl	le: Cantor Fitzgerald, V	ice President	Date and Place_	9/11/03 San Francisco, CA
SCAOMO	DECLASS Administra	Submit this	s form to	d Por CA 01765 0020



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five (5) business days	of the agreement.		•	'
Buyer Name*				Account ID#
Seller Name*	Account ID#			
If Intermediary:				Account ID# 700004
Type of contract:			Premium paid for this	s contract:
☐ Forward Contrac		·	\$0.225/RTC	
Date when this agre	ement was reached:		Expiration Date or Da	te when the RTCs are to be delivered
9/5/03			9/5/05	
→ Attach forward c	ontract or agreemen	t for contingen	t right to nurchase	
	_	•	•	
Pollutant: NOX	_X_ or SOx (/	dentify one pollut	ant only)	(Attach a separate form if more spaces are needed)
RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)	Price (\$/Pound)	Origin of Credits
12/2004	Coastal	25, 000	\$3.40	Rule No.: XX
12/2005	Coastal	25, 000	\$3.40	Rule No.: XX
12/2006	Coastal	25, 000	\$3.40	Rule No.: XX
12/2007	Coastal	25, 000	\$3.40	Rule No.: XX
12/2008	Coastal	25, 000	\$3.40	Rule No.: XX
12/2009	Coastal	25, 000	\$3.40	Rule No.: XX
12/2010	Coastal	25, 000	\$3.40	Rule No.: XX
12/All years after 2010	Coastal	25, 000	\$3.40	Rule No.: XX
sale, or swap RTCs. understand that a se	I further understand th parate RTC Transactio ss days of the actual F	at this report do n Registration a	es not act as or replace and associated forms are	ntract or a contingent right to purchase, the RTC Transaction Registration. I also e required in accordance with Rule 2007 s are true, accurate, and complete to the
Reported By: Rob	in Langdon (Print name	e)	Signature:	
Company Name & Tit	le: <u>Cantor Fitzgerald, V</u>	/ice President	Date and Place_	9/9/03 San Francisco, CA
SCAOME). RECLAIM Administra	Submit this	s form to	d Bar CA 91765-0830



Regional CLean Air Incentives Market Trading Credits (RTCs)
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Buyer Name*				Account ID#
Seller Name*	Account ID#			
f Intermediary: ntermediary Name	CANTOR FITZGERA	LD		Account ID# <u>700004</u>
ype of contract:			Premium paid for this	s contract:
	: 🗆 X Purchase 🔲 S	ell □ Swap	\$0.225/RTC	
Forward Contract	eement was reached:		Evniration Date or Da	ate when the RTCs are to be deliver
-	ement was reached.		•	ate when the ATOS are to be deliver
/5/03			9/5/04	
Attach forward o	ontract or agreement	for contingen	t right to purchase	
ollutant: NOx	_X_ or SOx (ld	lentify one pollut	ant only)	
				(Attach a separate form if more spaces are need
RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)	Price (\$/Pound)	Origin of Credits
•				
12/2004	Coastal	25, 000	\$3.40	Rule No.: XX
12/2005	Coastal	25, 000	\$3.40	Rule No.: XX
12/2006 12/2007	Coastal	25, 000	\$3.40 \$3.40	Rule No.: XX
12/2007	Coastal Coastal	25, 000 25, 000	\$3.40	Rule No.: XX Rule No.: XX
12/2009	Coastal	25, 000	\$3.40	Rule No.: XX
12/2010	Coastal	25, 000	\$3.40	Rule No.: XX
12/All years	Coastal	25, 000	\$3.40	Rule No.: XX
ale, or swap RTCs. nderstand that a se	I further understand that parate RTC Transaction ss days of the actual R	at this report do n Registration a	es not act as or replace and associated forms are	entract or a contingent right to purchase the RTC Transaction Registration. I all the required in accordance with Rule 20 s are true, accurate, and complete to t
leported By: <u>Rob</u>	in Langdon (Print name)	Signature:	
ompany Name & Tit	le: <u>Cantor Fitzgerald, V</u>	ice President	Date and Place_	9/9/03 San Francisco, CA AGMD does not verify the content of this report.

Form 2007-4 Rev. 7-24-01



Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

If Intermediary:	Nata a sur a 110		Account ID#	
Intermediary Name	Natsource LLC			Account ID# <u>700066</u>
Type of contract: Contingent Right to ☐ Forward Contract	o: □ X Purchase □ So et	eli □ Swap	Premium paid for this \$.75/lb	s contract:
Date when this agre	eement was reached:		Expiration Date or Da	ate when the RTCs are to be delivere
6/03/03			2/23/04, 2/23/05, 2/23	/06
→ Attach forward o	contract or agreement	for contingen	t right to purchase	
	X or SOx (ld	_	-	(Attach a separate form if more spaces are needed
RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)	Price (\$/Pound)	Origin of Credits
12/03 or 6/04	Coastal	80,319	\$15.00/lb	Rule No.: XX
12/04 or 6/05	Coastal	84,636	\$15.00/lb	Rule No.: XX
12/05 or 6/06	Coastal	84,636	\$15.00/lb	Rule No.: XX
				Rule No.: XX
				Rule No.: XX
				Rule No.: XX
				Rule No.: XX
sale, or swap RTCs. understand that a se	I further understand that parate RTC Transaction as days of the actual RT	it this report do Registration a	es not act as or replace and associated forms are	ontract or a contingent right to purchase the RTC Transaction Registration. I als e required in accordance with Rule 200 is are true, accurate, and complete to the
Reported By:	David Oppenheimer (Print name))	_ Signature:	
Company Name & Tit	tle: Natsource LLC, Princ	cipal Da	ite and Place <u>June 9, 20</u>	003, New York, NY
SCAQMD Disclain	ner: This report will be made availa	ble to the public for in	formational purposes only. The SC	AQMD does not verify the content of this report.
2010	N DEGLAMA A L. C. C. C.	Submit this		
SCAQME Form 2007-4	J, HEULAIM Administrat	ion - HTC Trans	sfers, P.O. 4830, Diamon	d Bar, CA 91765-0830
Rev. 7-24-01				Page of page



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five (5) business days	of the agreement.			
Buyer Name*		Account ID#		
Seller Name*		Account ID#		
If Intermediary:	CANTOR FITZGER			Account ID# 700004
Type of contract:			Premium paid for thi	s contract:
Contingent Right to ☐ Forward Contract	: □ X Purchase □ \$ t	Seli □ Swap	\$0.05/RTC	
Date when this agre	ement was reached:	·	Expiration Date or Date	ate when the RTCs are to be delivered:
6/04/03			6/04/04	
→ Attach forward c	ontract or agreemen	t for contingen	t right to purchase	
Pollutant: NOx	X_ or SOx (/	dentify one pollut	ant only)	(Attach a separate form if more spaces are needed)
RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)	Price (\$/Pound)	Origin of Credits
12/2005	Coastal	25, 000	\$5.00	Rule No.: XX
12/2006	Coastal	25, 000	\$5.00	Rule No.: XX
12/2007	Coastal	25, 000	\$5.00	Rule No.: XX
12/2008	Coastal	25, 000	\$5.00	Rule No.: XX
12/2009	Coastal	25, 000	\$5.00	Rule No.: XX
12/2010	Coastal	25, 000	\$5.00	Rule No.: XX
12/ All years after 2010	Coastal	25,000	\$5.00	Rule No.: XX
sale, or swap RTCs. understand that a se	l further understand th parate RTC Transactio ss days of the actual F	at this report do n Registration a	es not act as or replace and associated forms ar	ontract or a contingent right to purchase, the RTC Transaction Registration. I also e required in accordance with Rule 2007 as are true, accurate, and complete to the
Reported By:	Robin Langdon (Print name		Signature:	
Company Name & Tit	le: <u>Cantor Fitzgerald, V</u>	ice President	Date and Place_	6/4/03
SCAQMD Disclain	er. This report will be made avail	able to the public for in	formational purposes only. The SC	AQMD does not verify the content of this report.
SCAQMD), RECLAIM Administra	Submit this	s form to sfers, P.O. 4830, Diamon	nd Bar, CA 91765-0830



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Buyer Name*				Account ID#	
Seller Name*				Account ID#	
f Intermediary:		_		Account ID# 700004	
Type of contract:			Premium paid for th	is contract:	
Contingent Right ☐ Forward Contr	to: □ X Purchase □ \$ act	Sell □ Swap	\$0.235/RTC		
Date when this ag	reement was reached:		Expiration Date or D	ate when the RTCs are to be delivered	
5/14/03			5/14/04		
	contract or agreemen	t for contingon			
	_	-			
Pollutant: NOx	X_ or SOx (le	dentify one pollut	ant only)	(Attach a separate form if more spaces are needed)	
RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)	Price (\$/Pound)	Origin of Credits	
12/2005	Coastal	25, 000	\$3.40	Rule No.: XX	
12/2006	Coastal	25, 000	\$3.40	Rule No.: XX	
12/2007	Coastal	25, 000	\$3.40	Rule No.: XX	
12/2008	Coastal	25, 000	\$3.40	Rule No.: XX	
12/2009	Coastal	25, 000	\$3.40	Rule No.: XX	
12/2010	Coastal	25, 000	\$3.40	Rule No.: XX	
12/ All years after 2010	Coastal	25,000 \$3.40 Rule No.: XX			
sale, or swap RTCs understand that a s	 I further understand the separate RTC Transactioness days of the actual R 	at this report do n Registration a	es not act as or replace and associated forms ar	ontract or a contingent right to purchase the RTC Transaction Registration. I also re required in accordance with Rule 2007 ts are true, accurate, and complete to the	
Reported By:	Robin Langdon		Signature:		
Company Name & 1	Print name) Fitle: Cantor Fitzgerald, V		Date and Place	5/15/03 San Francisco, CA	
traine at	<u>- vantor i rasgorala, T</u>	i iogiaem		O TO SO CALL LIABOURO OA	
SCAOMD Disci	aimer. This report will be made await	able to the public for inf	omational numbers only. The CC	AQMD does not verify the content of this report.	



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five (5) business days	of the agreement.	•	·	·	
Buyer Name*	Account ID#				
Seller Name*	Account ID#				
If Intermediary: Intermediary Name	Account ID# 700004				
Type of contract: Contingent Right to ☐ Forward Contract	: □ X Purchase □ \$ t	Sell □ Swap	Premium paid for thi	s contract:	
Date when this agre	ement was reached:		Expiration Date or D	ate when the RTCs are to be delivered :	
2/10/03			5/31/03		
→ Attach forward c	ontract or agreemen	t for contingen	t right to purchase		
	X or SOx (/	_			
		-		(Attach a separate form if more spaces are needed)	
RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)	Price (\$/Pound)	Origin of Credits	
12/2006	Coastal	30,000	\$3.00	Rule No.: XX	
12/2007	Coastal	30,000	\$3.00	Rule No.: XX	
12/2008	Coastal	30,000	\$3.00	Rule No.: XX	
12/2009	Coastal	30,000	\$3.00	Rule No.: XX	
12/2010	Coastal	30,000	\$3.00	Rule No.: XX	
12/All years after 2010	Coastal	30,000	\$3.00	Rule No.: XX	
sale, or swap RTCs. understand that a se	I further understand th parate RTC Transactions ss days of the actual F	at this report do n Registration a	es not act as or replace and associated forms ar	ontract or a contingent right to purchase, the RTC Transaction Registration. I also re required in accordance with Rule 2007 ts are true, accurate, and complete to the	
Reported By: Robin Langdon Signature:					
Company Name & Tit	le: <u>Cantor Fitzgerald, \</u>	/ice President	Date and Place_	2/13/03 San Francisco, CA	
SCAQMD Disclain	ner. This report will be made avai	lable to the public for int	formational purposes only. The SC	AQMD does not verify the content of this report.	
		Submit this	s form to		

SCAQMD, RECLAIM Administration - RTC Transfers, P.O. 4830, Diamond Bar, CA 91765-0830

Form 2007-4 Rev. 7-24-01



Regional CLean Air Incentives Market Trading Credits (RTCs) Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

Seller Name* DJJW Holding Corporation Account ID# 700059 If Intermediary: Intermediary: Account ID#	Buyer Name* Fontana Paper Mills, Inc.					Account ID# <u>11716</u>	
Type of contract: Contingent Right to: Purchase X Sell Swap So.00						Account ID# <u>700059</u>	
Contingent Right to: □ Purchase □ X Sell □ Swap □ \$ 0.00 □ Forward Contract Date when this agreement was reached: □ Expiration Date or Date when the RTCs are to be delivered: □ 02/10/2003 → Attach forward contract or agreement for contingent right to purchase Pollutant: NOx _X_ or SOx (Identify one pollutant only) RTC	•					Account ID#	
□ Forward Contract □ Date when this agreement was reached: □ 02/10/2003 □ Attach forward contract or agreement for contingent right to purchase □ Attach forward contract or agreement for contingent right to purchase □ Pollutant: NOx _X_ or SOx (Identify one pollutant only) □ (Attach a separate form if more spaces are needed) □ RTC				Premi	ım paid for this	contract:	
Date when this agreement was reached: 02/10/2003 → Attach forward contract or agreement for contingent right to purchase Pollutant: NOx _ X_ or SOx (Identify one pollutent only) RTC			iell 🗆 Swap	\$ 0.00			
→ Attach forward contract or agreement for contingent right to purchase Pollutant: NOx _X_ or SOx (Identify one pollutant only) RTC RTC Maximum Price (SPound) Origin of Credits				Expira	tion Date or Da	te when the RTCs are to be delivered	
Pollutant: NOx _X_ or SOx (Identify one pollutant only) RTC	02/10/2003			02/22/	2003		
RTC Expiration Date (Month/Year) (Coastal or Inland) (Pounds) (S/Pound) (Pounds) (Pounds) 6/2003 Inland 18,200 1.25 Rule No.XX I understand that this is only a report to the AQMD of the agreement of a forward contract or a contingent right to purchase, sale, or swap RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. I also understand that a separate RTC Transaction Registration and associated forms are required in accordance with Rule 2007 within five (5) business days of the actual RTC transfer. I certify that the statements are true, accurate, and complete to the best of my knowledge. Reported By:	→ Attach forward	contract or agreemen	t for contingen	t right t	o purchase		
RTC Expiration Date (Month/Year) Crigination Zone (Coastal or Inland) Reprice (S/Pound) Price (S/Pound) Origin of Credits 6/2003 Inland 18,200 1.25 Rule No.XX I understand that this is only a report to the AQMD of the agreement of a forward contract or a contingent right to purchase, sale, or swap RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. I also understand that a separate RTC Transaction Registration and associated forms are required in accordance with Rule 2007 within five (5) business days of the actual RTC transfer. I certify that the statements are true, accurate, and complete to the best of my knowledge. Reported By: Daren E. Jorgensen for Signature: Print name Company Name & Title: DJJW Holding Corporation, President Date and Place 2/12/03 SCAQMD Disclaimer: This report will be made available to the public for informational purposes only. The SCAQMD does not verify the content of this report. Submit this form to SCAQMD, RECLAIM Administration - RTC Transfers, P.O. 4830, Diamond Bar, CA 91765-0830	Pollutant: NOx _	_X_ or SOx (/	dentify one pollut	ant only)	•		
Expiration Date (Month/Year) Origination Zone (Coastal or Inland) (Pounds) (S/Pound) Origin of Credits 6/2003 Inland 18,200 1.25 Rule No.XX I understand that this is only a report to the AQMD of the agreement of a forward contract or a contingent right to purchase, sale, or swap RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. I also understand that a separate RTC Transaction Registration and associated forms are required in accordance with Rule 2007 within five (5) business days of the actual RTC transfer. I certify that the statements are true, accurate, and complete to the best of my knowledge. Reported By:						(Attach a separate form if more spaces are needed)	
I understand that this is only a report to the AQMD of the agreement of a forward contract or a contingent right to purchase, sale, or swap RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. I also understand that a separate RTC Transaction Registration and associated forms are required in accordance with Rule 2007 within five (5) business days of the actual RTC transfer. I certify that the statements are true, accurate, and complete to the best of my knowledge. Reported By:	Expiration Date	Origination Zone	Quantity			Origin of Credits	
sale, or swap RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. I also understand that a separate RTC Transaction Registration and associated forms are required in accordance with Rule 2007 within five (5) business days of the actual RTC transfer. I certify that the statements are true, accurate, and complete to the best of my knowledge. Reported By:	6/2003	Inland	18,200		1.25	Rule No.XX	
sale, or swap RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. I also understand that a separate RTC Transaction Registration and associated forms are required in accordance with Rule 2007 within five (5) business days of the actual RTC transfer. I certify that the statements are true, accurate, and complete to the best of my knowledge. Reported By:							
sale, or swap RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. I also understand that a separate RTC Transaction Registration and associated forms are required in accordance with Rule 2007 within five (5) business days of the actual RTC transfer. I certify that the statements are true, accurate, and complete to the best of my knowledge. Reported By:							
(Print name) Company Name & Title: DJJW Holding Corporation, President Date and Place 2/12/03 SCAQMD Disclaimer: This report will be made available to the public for informational purposes only. The SCAQMD does not verify the content of this report. Submit this form to SCAQMD, RECLAIM Administration - RTC Transfers, P.O. 4830, Diamond Bar, CA 91765-0830 Form 2007-4	sale, or swap RTCs. understand that a se within five (5) busine	I further understand the parate RTC Transactions days of the actual F	at this report do n Registration a	es not a and asso	ct as or replace t ciated forms are	the RTC Transaction Registration. I also required in accordance with Rule 2007	
Company Name & Title: <u>DJJW Holding Corporation</u> , <u>President</u> SCAQMD Disclaimer: This report will be made available to the public for informational purposes only. The SCAQMD does not verify the content of this report. Submit this form to SCAQMD, RECLAIM Administration - RTC Transfers, P.O. 4830, Diamond Bar, CA 91765-0830	Reported By:Dare			_	Signature:		
SCAQMD Disclaimer: This report will be made available to the public for informational purposes only. The SCAQMD does not verify the content of this report. Submit this form to SCAQMD, RECLAIM Administration - RTC Transfers, P.O. 4830, Diamond Bar, CA 91765-0830 Form 2007-4		·					
Submit this form to SCAQMD, RECLAIM Administration - RTC Transfers, P.O. 4830, Diamond Bar, CA 91765-0830 Form 2007-4	Company Name & Tit	tle: DJJW Holding Corp	oration, Preside	ent	Date and Plac	e <u>2/12/03</u>	
SCAQMD, RECLAIM Administration - RTC Transfers, P.O. 4830, Diamond Bar, CA 91765-0830	SCAQMD Disclair	ner: This report will be made avai	lable to the public for in	formational p	urposes only. The SCA	AQMD does not verify the content of this report.	
SCAQMD, RECLAIM Administration - RTC Transfers, P.O. 4830, Diamond Bar, CA 91765-0830							
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Regional CLean Air Incentives Market Trading Credits (RTCs) Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

2/10/03 → Attach forward contract or agreement for contingent right to pure Pollutant: NOxX_ or SOx (Identify one pollutant only) RTC RTC Maximum Origination Tone Origination Tone Operation	d for this	
☐ Forward Contract Date when this agreement was reached: Expiration D 2/10/03 Attach forward contract or agreement for contingent right to pure Pollutant: NOx _X_ or SOx (Identify one pollutant only) RTC RTC RTC RTC RTC RTC Origination Tone Overline Tone Overline Tone Overline Tone Overline Tone		
2/10/03 ⇒ Attach forward contract or agreement for contingent right to pure Pollutant: NOxX_ or SOx (Identify one pollutant only) RTC RTC Maximum Expiration Data Origination Zone Quantity		
→ Attach forward contract or agreement for contingent right to pure Pollutant: NOxX_ or SOx (Identify one pollutant only) RTC RTC Maximum Expiration Data Origination Zone Quantity	hase	(Attach a separate form if more spaces are neede
Pollutant: NOxX_ or SOx (Identify one pollutant only) RTC RTC Maximum Expiration Data Origination Zone Quantity	hase	(Altach a separate form if more spaces are neede
RTC RTC Maximum Expiration Data Origination Zone Quantity		(Attach a separate form if more spaces are needed
Expiration Data Origination Zone Quantity		Attach a separate form a more spaces are need
(Month/Year) (Coastal or Inland) (Pounds) (\$7	Price Pound)	Origin of Credits
6/2003 Inland 20,000 \$	1.25	Rule No.: Reg XX
understand that this is only a report to the AQMD of the agreement of a fale, or swap RTCs. I further understand that this report does not act as o inderstand that a separate RTC Transaction Registration and associated within five (5) business days of the actual RTC transfer. I certify that the sest of my knowledge. Reported By: Robin Langdon Signature:	r replace to forms are tatements	the RTC Transaction Registration. I al e required in accordance with Rule 20
, ,		0 - 1 010 11100
company Name & Title: <u>Cantor Fitzgerald, Vice President</u> Date ar	d Place	San Francisco, CA 2/11/03
SCAQMD Disclaimer: This report will be made available to the public for informational purposes	only. The SCA	AQMD does not verify the content of this report.
Submit this form to	D:	J.D., 04 04705 0000
SCAQMD, RECLAIM Administration - RTC Transfers, P.O. 4830 prm 2007-4 av. 7-24-01	, piamono	District Graph



Rev. 7-24-01

SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT

Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

*Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or forward contracts be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. In the case of intermediary, the rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within five (5) business days of the agreement.

iive (a) business days c	or the agreement.						
Buyer Name*					Account ID#		
Seller Name*_					Account ID#		
If Intermediary: Intermediary Name Natsource LLC							
Type of contract:				Premium paid for this contract:			
Contingent Right to: ☐ Purchase ☐ Sell X☐ Swap ☐ Forward Contract			\$0				
Date when this agre	ement was reached:		Expiration Date or Date when the RTCs are to be delivered				
1/16/03			February 26th, 2003				
→ Attach forward c	ontract or agreemen	t for contingen	t righ	nt to purchase			
Pollutant: NOx	X_ or SOx (I	dentify one pollut	ant on	nly)			
					(Attach a separate form if more spaces are needed)		
RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)		Price (\$/Pound)	Origin of Credits		
12/02	Either	Up to 300,000		\$1.00/lb	Rule No.: Reg XX		
6/03	Inland	Up to 181,818		\$1.65/lb	Rule No.: Reg XX		
Fixed ratio of 1.65 cycle 1 RTC per each cycle 2 NOx RTC							
sale, or swap RTCs. I understand that a ser	further understand the carate RTC Transactions days of the actual F	at this report do n Registration a	es no ind as	it act as or replace the ssociated forms are re	ract or a contingent right to purchase, e RTC Transaction Registration. I also equired in accordance with Rule 2007 are true, accurate, and complete to the		
Reported By:	David Oppenheimer			Signature:			
	(Print name	e)					
Company Name & Titl	e: Natsource LLC, Prin	ncipal Da	te and	d Place <u>New York, N</u>	Y 1-22-03		
SCAQMD Disclaim	er: This report will be made avail	lable to the public for int Submit this			AD does not verify the content of this report.		
SCAQMD	, RECLAIM Administra				Bar, CA 91765-0830		
Form 2007 4							



Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

Buyer Name*					Account ID#	
Seller Name*					Account ID#	
f Intermediary: Intermediary Name	Natsource LLC				Account ID# 700066	
Type of contract:				Premium paid for this contract:		
Contingent Right to: ☐ Purchase ☐ Sell ☐X Swap ☐ Forward Contract			\$.25/lb			
	ement was reached:		Expiration Date or Date when the RTCs are to be delivered			
/10/03			February 26th, 2003			
	ontract or agreemen	t for continuer	<u> </u>			
	_	_	•	•		
-onutant: NOX	_ or SOxX_	(laentiny one poli	utant on	'y) 	(Attach a separate form if more spaces are needed)	
RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)		Price (\$/Pound)	Origin of Credits	
12/02	Either	Up to 25,000		00 4.00	Rule No.: Reg XX	
6/03	Coastal	Up to 25,0	00	4.00	Rule No.: Reg XX	
**Fixed ratio of						
1 cycle 1 RTC per 1 cycle 2						
SOx RTC**						
sale, or swap RTCs. I Inderstand that a sep	further understand the parate RTC Transactions as days of the actual R	at this report do n Registration a	es not a and asso	ect as or replace ociated forms are	ontract or a contingent right to purchase the RTC Transaction Registration. I also a required in accordance with Rule 2007 is are true, accurate, and complete to the	
Reported By:	David Oppenheimer			Signature:		
	(Print name	e)				
	e: Natsource LLC, Prir er: This report will be made avail		formational ,	Place New York, purposes only. The SC	NY 1-14-03 AQMD does not verify the content of this report.	
_	, RECLAIM Administra					