

Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

*Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or forward contracts be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. In the case of intermediary, the rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within five (5) business days of the agreement.

Buyer Name*					Account ID#
Seller Name*					Account ID#
f Intermediary: ntermediary Name	CANTOR FITZGERA	ALD			Account ID# <u>700004</u>
ype of contract:			Prer	nium paid for this c	ontract:
	☐ Purchase ☐ Sei	II □ Swap	\$0.0	0	
Forward Contract					
ate when this agree	ement was reached:		Exp	ration Date or Date	when the RTCs are to be deliv
2/19/02			1/15	/03	
Attach forward co	ontract or agreemen	t for contingen	t righ	t to purchase	
	Xor SOx	_	-	-	
		(1301111) 0110 pon			(Attach a separate form if more spaces are n
RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)		Price (\$/Pound)	Origin of Credits
12/2004	Coastal	7,102		\$2.75	Rule No.: Reg XX
12/2005	Coastal	7,102		\$2.75	Rule No.: Reg XX
12/2006	Coastal	7,102		\$2.75	Rule No.: Reg XX
12/2007	Coastal	7,102		\$2.75	Rule No.: Reg XX
12/2008	Coastal	7,102		\$2.75	Rule No.: Reg XX
12/2009	Coastal	7,102		\$2.75_	Rule No.: Reg XX
12/2010	Coastal	7,102		\$2.75	Rule No.: Reg XX
12/All Years After 2010	Coastal	7,102		\$2.75	Rule No.: Reg XX
ale, or swap RTCs. I nderstand that a sep	further understand the parate RTC Transactions are days of the actual R	at this report do n Registration a	es not nd as	act as or replace the sociated forms are re	ract or a contingent right to purce RTC Transaction Registration. equired in accordance with Rule re true, accurate, and complete t
leported By:I	Robin Langdon		Si	gnature:	
	(Print name	=)			
ompany Name & Title	e: <u>Cantor Fitzgerald, V</u>	ice President		Date and Place Sa al purposes only. The SCAQN	n Francisco, CA 12/23/02

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Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

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*Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or forward contracts be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. In the case of intermediary, the rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within five (5) business days of the agreement.

.yer Name*				Account ID#
eller Name*_				Account ID#
Intermediary: termediary Name	CANTOR FITZGERAL	. <u>D</u>		Account ID# <u>700004</u>
rpe of contract: ontingent Right to Forward Contract	: □ Purchase □ Sell		emium paid for this	s contract:
ate when this agre	ement was reached:	Ex	piration Date or Da	ate when the RTCs are to be delive
2/13/02		1/1	5/03	
Attach forward c	ontract or agreement f	or contingent rig	ht to purchase	
	Xor SOx (•	AND A
RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)	Price (5/Pound)	(Attach a separate form if more spaces are need Origin of Credits
12/2004	Coastal	93	\$3.25	Rule No.: Reg XX
12/2005	Coastal	93	\$3.25	Rule No.: Reg XX
12/2006	Coastal	93	\$3.25	Rule No.: Reg XX
12/2007	Coastal	93	\$3.25	Rule No.: Reg XX
12/2008	Coastal	93	\$3.25	Rule No.: Reg XX
12/2009	Coastal	93	\$3.25	Rule No.: Reg XX
12/2010	Coastal	93	\$3.25	Rule No.: Reg XX
12/All Years After 2010	Coastal	93	\$3.25	Rule No.: Reg XX
le, or swap RTCs. I derstand that a sep	further understand that parate RTC Transaction as days of the actual RTC	this report does n Registration and a	ot act as or replace issociated forms are	ontract or a contingent right to purcha the RTC Transaction Registration. I a e required in accordance with Rule 20 s are true, accurate, and complete to
eported By: <u>Robin I</u>	_angdon (Print name)		Signature:	

Submit this form to

SCAQMD, RECLAIM Administration - RTC Transfers, P.O. 4830, Diamond Bar, CA 91765-0830

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Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

Rule 2007 (e)(2)(C) requires that agreements for a contingent right to purchase RTCs or forward contract be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. Reports shall be submitted within five (5) business days of the agreement.

five (5) business days	of the agreement.			
Buyer Name*				Account ID#
Seller Name*				Account ID#
If Intermediary: Intermediary Name Natsource LLC				Account ID# 700066
Type of Contract:			Premium paid for t	this contract:
Contingent Right to □Forward Contrac	:: □Purchase □Sell t	XSwap	250 lbs of Zone 1 o	or 2, Cycle 1 or 2, vintage 2001 NOx
Date when this agre 1/10/02	eement was reached			Date when the RTCs are to be must be executed no later than
→ Attach forward o	ontract or agreemer	nt for contingent rig	ht to purchase	
Pollutant: NOx_	X_or SOx _X_	(Identify one pollut	ant only)	(Attach a separate form if more spaces are needed)
RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Quantity (Pounds)	Price (\$/Pound)	Origin of Credits
06/02	Coastal	Up to 6,666 SOx	5.00	Rule No. : XX
12/01 or 6/02	Either	Up to 10,000 NO	x 3.33	Rule No. : XX
/				Rule No. :
/	** fixed ratio of	1.5 NOx RTC pe	r SOx RTC**	Rule No. :
7				Rule No.:
/				Rule No. :
1				Rule No.:
7				Rule No.:
/				Rule No.:
/				Rule No.:
contract and does Fransaction Registra	not act as or replace tion and associated for fer. I certify that the st Oppenheimer	e the RTC Transacti orms are required in atements are true, ac	on Registration. I accordance with Rul	ent right to purchase RTCs or forward also understand that a separate RTC le 2007 within five (5) business days of to the best of my knowledge.
. ,	(Print nam	ne)	· · · · · · · · · · · · · · · · · ·	
Company Name & Tit	tie: Natsource LLC, Pri	ncipal	Date and Place:	New York, NY
SCAQMD	Disclaimer: This report The SCAQI	will be made available MD does not verify the	•	national purposes only.
SC A OBBI	D, RECLAIM Administra	Submit this form		t Par CA 01765.0930

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Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

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Buyer Name*				Account ID#
Seller Name*	· · · · · · · · · · · · · · · · · · ·			Account ID#
f Intermediary: ntermediary Name	Boldwater, LP		···	Account ID# 700071
ype of contract:			Premium paid for this co	ontract:
Contingent Right to Forward Contract	: 🗆 Purchase 🗆 Sel	I □ Swap	#0.00) (NO PREMIUM) 998
	ement was reached:		Expiration Date or Date April 15, 2002	when the RTCs are to be delivered
> Attach forward c	ontract or agreement	for contingen	t right to purchase	
	C or SOx	-		(Attach a separate form if more spaces are neede
RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)	Price (\$/Pound)	Origin of Credits
12/2002	Coastal	957	\$8.00	Rule No.: REG XX
/				Rule No. :
				Rule No.:
7				Rule No. :
		<u> </u>		Rule No. :
/				Rule No. :
/				Rule No. :
/		· · · · · · · · · · · · · · · · · · ·		Rule No. :
				Rule No. :
1				Rule No. :
ale, or swap RTCs. I	further understand that parate RTC Transaction ss days of the actual RT	it this report do Registration	pes not act as or replace the and associated forms are r	ract or a contingent right to purchas PATC Transaction Registration. I all equired in accordance with Rule 20 are true, accurate, and complete to t
Reported By:	Jay Burack		Signature:	
ompany Name & Tit	(Print name	, ,	Date and Place:	01/15/02 Houston, TX
SCAQMD Disclaim	er. This report will be made availa	ble to the public for in Submit thi	•	ID does not verify the content of this report.

SCAQMD, RECLAIM Administration - RTC Transfers, P.O. 4830, Diamond Bar, CA 91765-0830



Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

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rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within
five (5) business days of the agreement.

/pe of contract:				Account ID#
ontingent Right to Forward Contract	o: □ Purchase □ Se	F	Premium paid for this c	ontract:
	eement was reached:		Expiration Date or Date To be determined	when the RTCs are to be delivered
	contract or agreemen _Xor SOx	_	•	(Attach a separate form if more spaces are neede
RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)	Price (\$/Pound)	Origin of Credits
6/2002	Coastal	Up to 3,710	\$2.00 to \$25.00*	ALLOC
6/2003	Coastal	Up to 3,335	\$2.00 to \$25.00*	ALLOC
6/2004	Coastal	Up to 2,959	\$2.00 to \$25.00*	ALLOC
C/2005	Coastal	Up to 2,959	\$2.00 to \$25.00*	ALLOC
6/2005		11.4.0.050	\$2.00 to \$25.00*	ALLOC
6/2005	Coastal	Up to 2,959	42.00 00 420.00	
	Coastal Coastal	Up to 2,959	\$2.00 to \$25.00*	
6/2006				
6/2006 6/2007	Coastal	Up to 2,959	\$2.00 to \$25.00*	ALLOC
6/2006 6/2007 6/2008	Coastal Coastal	Up to 2,959 Up to 2,959	\$2.00 to \$25.00* \$2.00 to \$25.00*	ALLOC ALLOC

Reported By: Martin Ledwitz

Signature: 2

Company Name & Title: So. Cal. Edison Co., Manager,

Date and Place: 02/08/2002, Rosemead, CA /

Air Quality Compliance

SCAQMD Disclaimer: This report will be made available to the public for informational purposes only. The SCAQMD does not verify the content of this report.

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Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

A	ccount ID#
	CCOUIL ID#
	Account !D# 700004
	700004
Premium paid for this contra \$0.00	ict:
1 -	n the RTCs are to be delivered
2/26/02	
ent right to purchase	
- ·	
	ch a separate form if more spaces are needed)
y (S/Pound)	Grigin of Credits
\$4.25 Ru	le No.: RegXX
	ile No. :
	le No. :
	ile No. :
	ile No. :
	ile No. :
Ru	ile No. :
Ru	ile No. :
Ru	le No. :
Ru	ile No. :
e untit di	Premium paid for this contra \$0.00 Expiration Date or Date when 2/26/02 gent right to purchase e pollutant only) (Attalliant Sity ds) \$4.25 Ru Ru Ru Ru Ru Ru Ru

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Regional CLean Air Incentives Market Trading Credits (RTCs) Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

Contingent Right to: ☑ Purchase ☐ Sell ☐ Swap \$0.00 ☐ Forward Contract	Account ID# 700004
Intermediary Name CANTOR FITZGERALD Type of contract: Prem Contingent Right to: Purchase □ Sell □ Swap \$0.00 □ Forward Contract	ium paid for this contract:
Contingent Right to: ☑ Purchase ☐ Sell ☐ Swap \$0.06 ☐ Forward Contract	
Contingent Right to: ☐ Purchase ☐ Sell ☐ Swap \$0.06 ☐ Forward Contract	
Date Wisen tille agreement mas reaction. Expir	ration Date or Date when the RTCs are to be delivered :
2/13/02	3/02
→ Attach forward contract or agreement for contingent right	to purchase
Pollutant: NOx X_or SOx (Identify one pollutant of	Only)(Attach a separate form if more spaces are needed)
RTC Maximum Expiration Date Origination Lone Quantity (Month/Year) (Constal or Ipland) (Pounds)	Price (S/Pound)
12/2002 Coastal 1,000	\$4.25 Rule No.: RegXX
	Rule No.:
/	Rule No. :
/	Rule No.:
/	Rule No.:
/	Rule No. :
/	Rule No.:

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RTC RTC Origination Zone Quantity (Pounds) Price (S/Pound) Origin of Credits	Buyer Name*		·		Account IDS
Intermediary Name Boldwater, LP	Seller Name*				Account ID\$
Type of contract: Contingent Right to: □ Purchase □ Sell □ Swap X Forward Contract Date when this agreement was reached: February 18, 2002 → Attach forward contract or agreement for contingent right to purchase Pollutant: NOx _X _ or SOx (Identify one pollutant only) RTC	If Intermediary:				· ·
Contingent Right to: Purchase Sell Swap X Forward Contract Date when this agreement was reached:	Intermediary Name I	Boldwater, LP			Account IDØ 700071
Date when this agreement was reached: February 18, 2002 Attach forward contract or agreement for contingent right to purchase Pollutant: NOx _X_ or SOx (Identify one pollutant only) RTC	Contingent Right to		II □ Swap	Premium paid for this	contract: \$0.00
Pollutant: NOx _X _ or SOx (Identify one pollutant only) RTC	Date when this agre			; •	e when the RTCs are to be deliver
Pollutant: NOx _X _ or SOx (Identify one pollutant only) RTC	→ Attach forward c	ontract or agreement	for contingen	t right to purchase	
RTC RTC Maxbrum Price Origination Zone (Month/Year) (Coastal or Inland) (Pounds)		_	•	•	
Expiration Date (Month/Year) Origination Zone (Coastal or Inland) Originatity (Pounds) Origin of Credits					(Attach a separate form if more spaces are need
Rule No. :	Expiration Date	Origination Zone	Quantity		Origin of Credits
Rule No. :	06/2002	Coastal	4,500	\$4.25	Rule No. : Reg XX
/ Rule No.:	7				
Rule No. :	1				Rule No. :
Rule No. :	1				Rule No.:
/ Rule No. :	/				Rule No. :
/ Rule No. : / Rule No. : / Rule No. : / Rule No. :	1				Rule No.:
/ Rule No. : / Rule No. :	/				Rule No.:
/ Rule No. :	7				Rule No.:
	1				Rule No. :
1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	7				Rule No. :
I understand that this is only a report to the AQMD of the agreement of a forward contract or a contingent right to pursale, or swap RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. understand that a separate RTC Transaction Registration and associated forms are required in accordance with Rule within five (5) business days of the actual RTC transfer. I carrier that the statements are true, accurate, and complete	/ / / / / / / / / / / understand that this sale, or swap RTCs. understand that a se	s is only a report to the I further understand the parate RTC Transaction	AQWD of the agat this report don Registration a	greement of a forward co bes not act as or replace t and associated forms are	Rule No.: required in accordance with Rule
within five (5) business days of the actual RTC transfer. I certify that the statements are true, accurate, and complete best of my knowledge. Reported By:_Jay Burack	best of my knowledge	e. ırack			are true, accurate, and complete to t
Company Name & Title: _Boldwater, LP & Emissions Broker Date and Place:February 18, 2002	Company Name & Tit	ie: _Boldwater, LP & En	nissions Broker	Date and Place	e:February 18, 2002

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Regional CLean Air Incentives Market Trading Credits (RTCs)
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Buyer Name*	· · · · · · · · · · · · · · · · · · ·			Account ID#
Seller Name*				Account ID#
If Intermediary: Intermediary Name	Boldwater, LP			Account ID# 700071
Type of contract: Contingent Right to X Forward Contract	: 🗆 Purchase 🗆 Sei	II □ Swap	Premium paid for this	contract: \$0.00
Date when this agree February 26, 2002	eement was reached:		Expiration Date or Date April 15, 2002	e when the RTCs are to be delivered :
→ Attach forward o	contract or agreement	for contingen	t right to purchase	
Pollutant: NOx_	X or SOx	(Identify one	e pollutant only)	(Attach a separate form if more spaces are needed)
RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)	Price (\$/Pound)	Origin of Credits
06 /2003	Inland	18,507	\$5.30	Rule No. : Reg XX
06 /2004	Inland	17,904	\$5.30	Rule No. : Reg XX
06 /2005	Inland	17,904	\$5.30	Rule No. : Reg XX
1				Rule No.:
/				Rule No.:
/				Rule No. :
/				Rule No. :
1				Rule No. :
/				Rule No. :
/				Rule No. :
sale, or swap RTCs. understand that a se	I further understand the parate RTC Transaction ss days of the actual R	at this report do n Registration :	pes not act as or replace the and associated forms are	tract or a contingent right to purchase, ne RTC Transaction Registration. I also required in accordance with Rule 2007 are true, accurate, and complete to the
Reported By:Jay	y Burack(Print name		Signature:	
Company Name & Tit	de:Boldwater, LP & E	missions Broke	er Date and Place	
SCAQMD Disclain	ner: This report will be made availa	ble to the public for in	formational purposes only. The SCAQ	MD does not verify the content of this report.

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Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

					Account ID#
eller Name* Intermediary:	<u></u>				Account ID#
-	CANTOR FITZGER	ALD		·•·	Account ID# 700004
ype of contract: ontingent Right to] Forward Contra	: ⊠ Purchase □ S	ell □ Swap	Pre \$0.	mium paid for this o	contract:
	ement was reached	;	Exp	iration Date or Date	when the RTCs are to be delivere
3/12/02					ttached Transaction Confirmation)
		ot for continuous		4 40 purph 000	
	ontract or agreemer	•	_	•	:
ollutant: NOx	X_or SOx	_ (Identify one po	llutant	only)	(Attach a separate form if more spaces are needed
RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Yaximum Quantity (Pounds)		Price (\$/Pound)	Grigin of Credits
12/2002	Coastal	40,000		\$4.75	Rule No.: RegXX
					Rule No.:
/					Rule No. :
/					Rule No. :
					Rule No. :
		<u> </u>			Rule No.:
/		 			Rule No. :
/					Rule No. :
					Rule No.:
inderstand that this	is only a report to the	e AQMD of the ag	greem	ent of a forward cont	ract or a contingent right to purchas
derstand that a sep	parate RTC Transactions days of the actual I	on Registration a	and as	sociated forms are r	e RTC Transaction Registration. I als equired in accordance with Rule 200 are true, accurate, and complete to the
				Signature:	

Submit this form to

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mond Bar, CA 91765-083

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RTC Stylination Oate (Wonth/Year) Coastal 20,000 \$4.10 Rule No.: RegXX	-	CANTOR FITZGERA	ALU		Account ID# <u>700004</u>
Date when this agreement was reached: 3/12/02 → Attach forward contract or agreement for contingent right to purchase Pollutant: NOx X or SOx (Identify one pollutant only) RTC RTC Origination Date (Nonth/Year) Syphration Date (Nonth/Year) RTC Origination Zone (Constal or Inland) RTC Origination Zone (S/Pound) Rule No.: RegXX Rule No.: Rule No.:	Contingent Right to		ell □ Swap		contract:
Pollutant: NOx	Date when this agr		:	•	
RTC RTC Waximum Price Special of Credits Price Special of Credits Rule No. : RegXX	→ Attach forward	contract or agreemer	nt for contingen	t right to purchase	
Expiration Oate (Wonth/Year) Origination Zone (Coratal or Inland) Quantity (Pounds) Origin of Credits	Pollutant: NOx_	X or SOx	(Identify one pol	lutant only)	(Attach a separate form if more spaces are needed
Rule No.:	Expiration Oate	Origination Zone	Quantity	1	Orlgin of Credits
Rule No. :	6/2002	Coastal	20,000	\$4.10	Rule No.: RegXX
/ Rule No.:	/				
Rule No. :	/		<u> </u>		Rule No.:
Rule No. :	/				
/ Rule No. :	/				-
/ Rule No. : / Rule No. : / Rule No. :	/				
/ Rule No. : / Rule No. :					
/ Rule No.:	/				
	/				
understand that this is only a remark to the ACRID of the severement of a few yard continue to a continuent visible to accept	/	<u></u>	<u> </u>		Rule No.:
understand that this is only a report to the AQMD of the agreement of a forward contract or a contingent right to purcha ale, or swap RTCs. I further understand that this report does not act as or replace the RTC Transaction Registration. I a nderstand that a separate RTC Transaction Registration and associated forms are required in accordance with Rule 2 rithin five (5) business days of the actual RTC transfer. I certify that the statements are true, accurate, and complete to est of my knowledge. Signature:	ale, or swap RTCs. nderstand that a se rithin five (5) busine	I further understand the parate RTC Transactions of the actual I	hat this report do on Registration a	es not act as or replace to nd associated forms are	he RTC Transaction Registration. I als required in accordance with Rule 200

SCAQMD, RECLAIM Administration - RTC Transfers, P.O. 4830, Diamond Bar, CA 91765-0839

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Regional CLean Air Incentives Market Trading Credits (RTCs) Report of Contingent Right to Purchase RTCs and Forward Contract

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contracting parties, or by the agent, broker, or other intermediary represen	iting the contracting parties. In the case of intermediary, the
rule allows that the identities of actual trading parties need not be disclosed	 This report is required to be submitted to the AQMD within
five (5) business days of the agreement.	The state of the s

	CANTOR FITZGER	ALD		Account ID#. 700004
pe of contract: ontingent Right to Forward Contra	: ⊠ Purchase □ S act	ell □ Swap	Premium paid for this co \$0.00	ontract:
ite when this agre	ement was reached		•	when the RTCs are to be deliver
21/02		ŀ	Projected 4/2/02 (see atta	iched Agreement)
Attach forward c	ontract or agreemer	nt for contingen	t right to purchase	
ollutant: NOx _	X_or SO x	_ (Identify one po	llutant only)	
	T			(Attach a separate form if more spaces are needs
RTC Expiration Date (Month/Year)	RFC Origination Zone (Constal-or Inland)	Maximum Quantity (Pounds)	Price (@Pound)	Origin of Credits
6/2002	Coastal	60,000	\$6.50	Rule No. : Rule 1631
/			40,00	Rule No. :
				Rule No.:
/		<u> </u>		Rule No. :
i				Rule No. :
7				Rule No.:
/				Rule No.:
/				Rule No. :
/				Rule No. :
/				Rule No.:

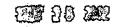
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Form 2007-4 Rev. 7-24-01

SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT

Regional CLean Air Incentives Market Trading Credits (RTCs) Report of Contingent Right to Purchase RTCs and Forward Contract



Form 2007-4

uyer Name"					Account ID#
eller Name*					Account ID#
Intermediary: termediary Name	CANTOR FITZGERA	\LD			Account ID#_700004
ype of contract: ontingent Right to l Forward Contra	: ☑ Purchase ☐ Se	ell 🗆 Swap	Prei \$0.	mium paid for this co	ontract:
	ement was reached:	<u> </u>	Ехр	iration Date or Date	when the RTCs are to be delivere
21/02			Proj	ected 6/5/02 (see atta	ched Agreement)
	ontract or agreemen	t for contingen	t riah	at to nurchase	
	-	_	_	-	· · ·
ollutant: NOX _	X_or SOx	(Identify one po	llutani	only)	(Attach a separate form if more spaces are needed
RTC Expiration Date (Month/Year)	RTC Origination Zone 4 (Constal or Inland)	Quantity		Brice (\$/Pound)	Origin of Credits
6/all years after 2011	Coastal	14,112		\$8.00	Rule No.: Rule XX
/					Rule No.:
/					Rule No.:
/					Rule No.:
/		 			Rule No. :
/					Rule No. :
					Rule No.:
					Rule No.:
/					Rule No.:
le, or swap RTCs. Inderstand that a sep	further understand the parate RTC Transactions and the actual F	at this report do n Registration a	es no ind as	t act as or replace the sociated forms are re	act or a contingent right to purchas RTC Transaction Registration. I als quired in accordance with Rule 200 re true, accurate, and complete to th
eported By: Robin L	angdon (Print nam	e)		Signature:	· · · · · · · · · · · · · · · · · · ·
	a. Captor Eitzgarold M	ica President	Date	and Place: San Franc	isco, CA 5724102
mpany Name & Tit	e: <u>Cantoi Fitzgeraio, v</u>	ice i residerii			

ACMID

SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT

Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

Rule 2007	(e)(2)(C)) requires t	hat agreeme	ents for a	a contingent	right to purchas	e RTCs or	forward	contract be	reported	by eithe	r the
contracting	parties,	or by the a	gent, broker	or other	intermediary	representing the	e contractir	ig parties	. Reports sl	hall be sub	mitted v	vithin
five (5) bus	iness da	vs of the ac	reement.									

December 1	-			A + 1D# :	
Buyer Name*	Account ID#				
Seller Name*	Account ID#				
f Intermediary: Intermediary Name N	atsource LLC			Account ID# 70006	6
Type of Contract: Contingent Right to: X Forward Contract	□Purchase □Sell	□Swap	Premium paid for th	is contract:	
Pate when this agree 1/23/02	ment was reached:			Date when the RTCs are to be initiated on 7/15/0	
→ Attach forward co	ntract or agreemen	t for contingent rig	ght to purchase		
Pollutant: NOx>	•	- ,	- •	(Attach a separate form if more s	paces are needed)
RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Quantity (Pounds)	Price (S/Pound)	Origin of Cred	laa .
06/02	Inland	5,000	\$1.50/lb	Rule No. : XX	45.
7				Rule No. :	
/				Rule No. :	
/				Rule No. :	
/				Rule No. :	- 1
7				Rule No. :	
/				Rule No. :	
/				Rule No. :	
/				Rule No.:	
				Rule No.:	<u>. </u>
ontract and does no ransaction Registration	t act as or replace on and associated fo	the RTC Transact	tion Registration. I all accordance with Rule	nt right to purchase RT(so understand that a s 2007 within five (5) bus to the best of my knowled	separate RT0 iness days o
	-				
eported By: David Op	penheimer(Print nam		Signature:_g	New York, NY	-23-02
Reported By: David Op	Ppenheimer(Print nam : Natsource LLC, Print nam : Station is claimer: This report is	ncipal	Signature: Date and Place: e to the public for informa	New York, NY	-23-07
Reported By: David Op	Ppenheimer(Print nam : Natsource LLC, Print nam : Station is claimer: This report is	ncipal will be made available MD does not verify the	Signature:Date and Place: e to the public for informate content of this report.	;	2 8 2002
eported By: David Op company Name & Title SCAQMD D	Penheimer(Print nam : Natsource LLC, Print : Indiscription (Print Name) : Natsource LLC, Print : Natsource L	ncipalwill be made available MD does not verify the Submit this for	Signature:Date and Place: e to the public for informate content of this report.	postmark MAY	2 8 2002

Rev. 7-24-01



Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

*Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or forward contracts be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. In the case of intermediary, the rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within five (5) business days of the agreement.

Buyer Name*	Pasadena City, DWP (EI	S USE)	<u></u>	Account ID#	800168	
Seller Name* Market-Based Solutions, Inc.				Account ID#	700021	
If Intermediary: Intermediary Na	me		Account ID#			
Type of contrac Contingent Righ ☐ Forward Con	nt to: X Purchase 🗆 Sel	II □ Swap	Premium paid for t	his contract: \$0.00		
Date when this agreement was reached: Expiration Date or Date when the RTCs are to be delived to be						
→ Attach forwa	rd contract or agreemen	t for contingen	t right to purchase			
Pollutant: NO	x X or SOx	(Identify one po	llutant only)	(Attach a separate form	n if more spaces are needed)	
RTC Expiration Dat (Month/Year)		Maximum Quantity (Pounds)	Price (\$/Pound)	Origin	of Credits	
6/03	Coastal*	10,000	7.50	Rule No.: 16	31	
6/04	Coastal **	10,000	7.50	Rule No.: 16	31	
/				Rule No. :		
/				Rule No.:		
/				Rule No.:		
/				Rule No. :		
				Rule No. :		
/				Rule No.:	<u> </u>	
/				Rule No.:		
/				Rule No.:		
sale, or swap RT0 understand that a	this is only a report to the Cs. I further understand th a separate RTC Transactio siness days of the actual F	at this report do n Registration a	es not act as or replace not associated forms	ce the RTC Transaction are required in accord	Registration. I also ance with Rule 2007	
best of my knows	euge.					
Reported By:	Catherine M. Drummond		Signature:			
	(Print nam	e)		1.		
Company Name 8	& Title: <u>Market-Based Sol</u>	utions, Inc. Dir.	Of Operations Date	e and Place <u>: 최상이</u>	Glendale, CA	
) SCAQMD D	isclaimer: This report will be made avai	lable to the public for inf	ormational purposes only. The	SCAQMD does not verify the con	tent of this report.	
		Submit this	s form to	(Totalor	

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Page ____ of ____ pages



Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

Rule 2007 (e)(2)(C) requires that agreements for a contingent right to purchase RTCs or forward contract be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. Reports shall be submitted within five (5) business days of the agreement.

Tive (5) business days of the	_			. Annu		
Buyer Name*	Account ID#					
				Account ID#		
If Intermediary: Intermediary Name Nats	ource LLC		<u>-</u>	Account ID#	700066	
Type of Contract: Contingent Right to:	Purchase □Sell [⊒Swap	Premium paid for this contract:			
Date when this agreeme 5/31/02	nt was reached:		Expiration Date or delivered: Transfer			
→ Attach forward contr	act or agreement	for contingent rig	ht to purchase		 	
Pollutant: NOxX_	or SOx	(Identify one polluta	nt only)	•		
				(Attach a separate form	if more spaces are needed)	
	RTC irigination Zone eastal or Iuland)	Quantity (Pounds)	Price (\$/Round)	Origin (of Credits	
06/02	Coastal	50,000	\$1.00/lb	Rule No. : X	X	
/	-		-	Rule No. :	,	
/				Rule No.:		
/				Rule No.:		
/				Rule No.:	,	
/				Rule No. :		
/				Rule No.:		
/				Rule No.:		
/				Rule No.:		
/				Rule No.:		
I understand that this is contract and does not a Transaction Registration the actual RTC transfer. I	ct as or replace and associated for	the RTC Transact ms are required in	ion Registration. I a accordance with Rul	also understand the e 2007 within five (at a separate RTC 5) business days of	
Reported By: David Opper	nheimer(Print name))	Signature:	_	=	
Company Name & Title: Na	atsource LLC, Princ	cipal	_ Date and Place:	New York, NY	6:502	
SCAQMD Disci			e to the public for inform e content of this report. POS			
SCAQMD, RE	CLAIM Administrat	Submit this formion - RTC Transfers	m to s, P.O. 4830, Diamond	Bar, CA 91765-0830		

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SOUTH COAST AIR QUALITY MANAGEMENT DISTRICT

Regional CLean Air Incentives Market Trading Credits (RTCs) Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

*Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or forward contracts be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. In the case of intermediary, the rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within five (5) business days of the agreement.

Buyer Name* <u>Custor</u>	n Alloy Sales, Inc	Account ID# <u>015982</u>		
Seller Name* <u>Multifuels, LP</u> f Intermediary:			Account ID#	
intermediary Name				Account ID#
Type of contract: Contingent Right to: ☐ Forward Contra		Sell □ Swap	Premium paid for this o	contract: \$0.70/lb
Date when this agree	ement was reached:	8/9/02	Expiration Date or Date December 30, 2004	e when the RTCs are to be delivered :
→ Attach forward co	ontract or agreemen	t for contingen	t right to purchase	-
Pollutant: NOx	X or SOx	(Identify one po	illutant only)	
				(Attach a separate form if more spaces are needed)
RTC Expiration Date (Month/Year)	RTC Origination Zone (Coastal or Inland)	Maximum Quantity (Pounds)	Price (\$/Pound)	Origin of Credits
06/2005	Coastal	2,000lbs	\$4.95	Rule No. : XX
/				Rule No.:
/				Rule No.:
1				Rule No.:
/				Rule No.:
7				Rule No. :
/				Rule No. :
/				Rule No. :
7				Rule No. :
1				Rule No.:
sale, or swap RTCs. I understand that a sep	further understand the parate RTC Transaction is days of the actual Report of the actual Repo	at this report don Registration a	es not act as or replace the associated forms are restricted that the statements and the statements and the statements and the statements are the statements and the statements are the	tract or a contingent right to purchase, e RTC Transaction Registration. I also required in accordance with Rule 2007 are true, accurate, and complete to the
Reported By:	Nick Drakos		Signature:	
	(Print name	€)	•	
Company Name & Title	e: <u>V.P. Custom Alloy S</u>	Sales, Inc.	Date and Place	: 8/9/or Indity, CH
SCAGMD Disclaime	er: This report will be made avai	able to the public for in	formational purposes only. The SCAQ	IMD does not verify the content of this report
SCAQMD, Form 2007-4	, RECLAIM Administra	Submit this tion - RTC Trans	s form to sfers, P.O. 4830, Diamond	Bar, CA 91765-0830 POSTWATE 8/12/02



Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

*Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or forward contracts be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. In the case of intermediary, the rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within five (5) business days of the agreement.

Buyer Name* Ball Metal Beverage Container Corp.			Account ID# <u>117785</u>	
Seller Name*	Seller Name* Multifuels, L.P.			Account ID#700073
If Intermediary:				· ·
Intermediary Name				Account ID#
Type of contract: Contingent Right to □X Forward Contr	o: ☐ Purchase ☐ S ract	ell □ Swap	Premium paid for this c	ontract:
Date when this agre 10/16/02	eement was reached		Expiration Date or Date 01/10/2003	when the RTCs are to be delivered
→ Attach forward	contract or agreeme	nt for continger	nt right to purchase	
	_	•	•	•
Ponutant: NOX_	Xor SOx	_ (laenury one po	ollutant only)	(Attach a separate form if more spaces are needed)
RTC Expiration Date (Month/Year)	RFC Origination Zone (Constal or Inland)	Maximum Quantity (Pounds)	Price (S/Pound)	Origin of Credits
06/03	Coastal	18,000	3.11	Rule No.: Reg.XX
06/04	Coastal	18,000	3.11	Rule No. : Reg XX
06/05	Coastal	18,000	3.11	Rule No. : Reg XX
06/06	Coastal	18,000	3.11	Rule No. : Reg XX
06/07	Coastal	18,000	3.11	Rule No. : Reg XX
06/08	Coastal	18,000	3.11	Rule No. : Reg XX
06/09	Coastal	18,000	3.11	Rule No. : Reg XX
06/10	Coastal	18,000	3.11	Rule No.: Reg XX
/				Rule No. :
7				Rule No. :
sale, or swap RTCs. understand that a se within five (5) busine best of my knowledg	I further understand the parate RTC Transaction ss days of the actual I e.	nat this report do on Registration a	es not act as or replace the and associated forms are re	ract or a contingent right to purchase RTC Transaction Registration. I also equired in accordance with Rule 200 re true, accurate, and complete to the
Reported By:	Robert Hall (Print nam	ne)	Signature:	
Company Name & Tit	le: Ball Metal Bev	erage Container	Corp Date and Place:	10-27-03:
		orage eclication	Date alla i lace.	10 20 0

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Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

	Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or forward contracts be reported by eithe	er the
C	contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. In the case of intermediary	y, the
r	rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD v	within
f	five (5) business days of the agreement	

	Account ID#
	Account ID#
	Account ID# 700004
Premium paid for this o \$0.00	ontract:
Expiration Date or Date 1/15/03	when the RTCs are to be delivered :
ent right to purchase	-
· · ·	(Attach a separate form if more spaces are needed)
y (S/Pound)	Origin of Credits
\$3.25	Rule No.: RegXX
does not act as or replace the and associated forms are relacetify that the statements a	eract or a contingent right to purchase, e RTC Transaction Registration. I also required in accordance with Rule 2007 are true, accurate, and complete to the
	Expiration Date or Date 1/15/03 ent right to purchase pollutant only) \$3.25 \$3.25 \$3.25 \$3.25 \$3.25 \$3.25 \$3.25 \$3.25 \$3.25 \$3.25 \$3.25 \$3.25 \$1.25

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Form 2007-4 Rev. 7-24-01

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Regional CLean Air Incentives Warket Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

*Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or forward contracts be reported by either the contracting parties, or by the agent, broker, or other intermediary representing the contracting parties. In the case of intermediary, the rule allows that the identities of actual trading parties need not be disclosed. This report is required to be submitted to the AQMD within five (5) business days of the agreement.

Buyer Name* Account ID#						
Seller Name*			Account ID#			
lf Intermediary: Intermediary Name	Boldwater LP			Account ID# 700071		
Type of contract: Contingent Right to X Forward Contract	: 🗆 Purchase 🗆 Sell	I □ Swap	Premium paid for this	contract: \$0.00		
	ement was reached:	*	Expiration Date or Da January 30, 2003	te when the RTCs are to be delivered:		
→ Attach forward c	ontract or agreement	for continger	nt right to purchase			
Pollutant: NOx	X_ or SOx	(Identify on	e pollutant only)			
				(Attach a separate form if more spaces are needed)		
RTC Expiration Date (Month/Year)	RTC Origination Zone (Constal or Inland)	Maximum Quantity (Pounds)	Price (S/Pound)	Origin of Credits		
06/2005	Coastal	10,500	\$2.87	Rule No. : Reg XX		
06/2006	Coastal	10,500	\$2.87	Rule No. : Reg XX		
06/2007	Coastal	10,500	\$2.87	Rule No. : Reg XX		
06/2008	Coastai	10,500	\$2.87	Rule No. : Reg XX		
06/2009	Coastal	10,500	\$2.87	Rule No. : Reg XX		
06/2010	Coastal	10,500	\$2.87	Rule No. : Reg XX		
06/2011	Coastal	10,500	\$2.87	Rule No. : Reg XX		
All years after 06/2011	Coastal	10,500	\$2.87	Rule No. : Reg XX		
1				Rule No. :		
1				Rule No. :		
sale, or swap RTCs. I understand that a se	l further understand tha parate RTC Transaction ss days of the actual RT	t this report do Registration	pes not act as or replace and associated forms ar	entract or a contingent right to purchase, the RTC Transaction Registration. I also e required in accordance with Rule 2007 is are true, accurate, and complete to the		
Reported By:_Jay Bu	(Print`name)	Signature:			
Company Rame & Tit	le:Boldwater LP, Emi	ssion Broker_	Date and Plac	December 13, 2002 Houston, Texas		
	•	Submit thi				
SCAQME), RECLAIM Administrat	ion - RTC Tran	sfers, P.O. 4830, Diamon	d Bar, CA 91765-0830 Postmark 12/17/0		



Regional CLean Air Incentives Market Trading Credits (RTCs) Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purchase RTCs or	forward contracts be reported by either the
contracting parties, or by the agent, broker, or other intermediary representing the contractin	g parties. In the case of intermediary, the
rule allows that the identities of actual trading parties need not be disclosed. This report is re	quired to be submitted to the AQMD within
five (5) business days of the agreement.	

five (5) business days	of the agreement.	paraso 7,000 1,01	,	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		
Buyer Name*					Account ID#	<u> </u>
Seller Name*					Account ID#	
If Intermediary: Intermediary Name	CANTOR FITZGER	ALD			Account II	D# <u>700004</u>
Type of contract: Contingent Right to: ☐ Purchase ☐ Sell ☐ Swap ☐ Forward Contract			Premium paid for this contract: \$0.00			
Date when this agreement was reached: 12/12/02			Expiration Date or Date when the RTCs are to be delivered : 1/15/03			
→ Attach forward c	ontract or agreemer	nt for contingen	t righ	t to purchase		
Pollutant: NOx _	•	_	-	•		
RTC Expiration Date (Month/Yean)	RTG Origination Zone a (Coastal or Inland)	Maximum Quantity (Pounds)		Price (S/Pound)	(Attach a separate for	
12/2004	Coastal	6,900		\$2.75	Rule No.: F	RegXX
12/2005	Coastal	6,900		\$2.75	Rule No. : R	legXX.
12/2006	Coastal	6,900		\$2.75	Rule No.: F	tegXX
12/2007	Coastal	6,900		\$2.75	Rule No.: F	RegXX
12/2008	Coastal	6,900		\$2.75	Rule No.: F	RegXX
12/2009	Coastal	6,900		\$2.75	Rule No.: F	RegXX
12/2010	Coastal	6,900		\$2.75	Rule No.: F	legXX
12/all years after 2010	Coastal	6,900		\$2.75	Rule No.: F	legXX
					Rule No. :	
I understand that this sale, or swap RTCs. understand that a se within five (5) busines best of my knowledge	I further understand the parate RTC Transactions days of the actual I	nat this report do on Registration a	es no ind as	t act as or replace sociated forms a	the RTC Transaction to required in accordance	n Registration. I also dance with Rule 2007
Reported By: Robin L	angdon (Print nam	ne)		Signature:		
Company Name & Tit	le: <u>Cantor Fitzgerald, V</u>	/ice President	Date	and Place: San F	Francisco, CA	2/18/02
SCAQMD Disclaim	er: This report will be made ava	ilable to the public for inf	formation	al purposes only. The SC	CAQMD does not verify the co	ntent of this report.
		Submit this	s form t	0		
SCAQMD Form 2007-4	, RECLAIM Administr	ation - RTC Trans	sfers,	P.O. 4830, Diamoi	nd Bar, CA 91765-08	30 Postmar K 12/18/02.



Regional CLean Air Incentives Market Trading Credits (RTCs)
Report of Contingent Right to Purchase RTCs and Forward Contract

Form 2007-4

Rule 2007 (e)(2)(C) requires that all agreements for a contingent right to purcha	ase RTCs or forward contracts be reported by either the
contracting parties, or by the agent, broker, or other intermediary representing t	
rule allows that the identities of actual trading parties need not be disclosed. Th	is report is required to be submitted to the AQMD within
five (5) business days of the agreement.	

Buyer Name*			·	Account ID#	
Seller Name*				Account ID#	
f Intermediary:	CANTOR FITZGER	ALD		Account ID# _	700004
ype of contract:		-	Premium paid for this o	contract:	
	: □ Purchase □ S act	ell 🗆 Swap	\$0.00		
<u> </u>	ement was reached	:	Expiration Date or Date	when the RTCs are	to be delive
12/13/02		·	1/15/03	•	
→ Attach forward c	ontract or agreeme	nt for contingen	t right to purchase	-	***
Pollutant: NOx	X_or SOx	_ (Identify one po	llutant only)	(Attach a separate form if m	into priorica ara sea
RTC Expiration Date (Month/Year)	RPC Origination Zone. (Constat or Inland)s.	Maximum Quantity (Pounds)	Price (S/Pound)	Origin of C	4
12/2004	Coastal	93	\$3.25	Rule No.: Reg	XX.
12/2005	Coastal	93	\$3.25	Rule No. : Reg	* ,
12/2006	Coastal	93	\$3.25	Rule No.: Reg	
12/2007	Coastal	93	\$3.25	Rule No.: Reg	
12/2008	Coastal	93	\$3.25	Rule No.: Reg	
12/2009	Coastal	93	\$3.25	Rule No.: Reg	$\overline{\mathbf{x}}$
12/2010	Coastal	93	\$3.25	Rule No.: Reg	
12/all years after	Coastal	93	\$3.25	Rule No.: Reg	(X
2010	}			<u> </u>	
				Rule No.:	
sale, or swap RTCs. Inderstand that a se	l further understand to parate RTC Transactions ss days of the actual	hat this report do on Registration a	reement of a forward confess not act as or replace the nd associated forms are retify that the statements	e RTC Transaction Re required in accordance	gistration A ace with Rule 2
Reported By: Robin L	angdon (Print nan	ne)	Signature:		
	le: Cantor Fitzgerald, \	" B	Date and Place: San Fran	ncisco, CA 12/18/	22 /

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