



# RULE 1620 OFF-ROAD MSERC APPLICATION

A filing fee and an evaluation fee, as specified in Rule 309(c)(2), are required with the submittal of this application. Additional evaluation fees may be assessed per Rule 309(c)(3) after submittal of the application.

1. COMPANY NAME	AQMD ID# (IF KNOWN)
2. BUSINESS MAILING ADDRESS	
3. CONTACT PERSON (INCLUDING TITLE AND TELEPHONE NUMBER)	
4. ALTERNATE CONTACT PERSON (INCLUDE TITLE AND TELEPHONE NUMBER)	
<p>5. PROJECT DESCRIPTION</p> <p style="text-align: center;"><u>CREDIT GENERATING PROJECTS</u></p> <p><input type="checkbox"/> 5a. REPOWERING      <input type="checkbox"/> 5b. RETROFITTING      <input type="checkbox"/> 5c. PURCHASING      <input type="checkbox"/> 5d. PERMANENT REPLACEMENT</p> <p>INCLUDE AS <u>ATTACHMENT 1</u> A DETAILED DESCRIPTION OF EACH CREDIT GENERATING PROJECT. FOR EACH PROJECT, INCLUDE THE NUMBER OF OFF-ROAD MOBILE EQUIPMENT; EQUIPMENT AND ENGINE TYPE, SIZE (HORSEPOWER), CONVERSION KIT EXECUTIVE ORDER NUMBER (IF APPLICABLE), MANUFACTURER, ENGINE FAMILY NUMBER (IF APPLICABLE), MODEL NUMBER, AND I.D. NUMBER. SPECIFY THE INITIAL SERVICE DATE FOR EACH LOW- OR ZERO-EMISSION EQUIPMENT OR NON-POLLUTING ALTERNATIVE PROCESS OR METHOD. INCLUDE THE EQUIPMENT ADDRESS/LOCATION (SPECIFY IF USED IN MULTIPLE LOCATIONS). FOR PROJECTS INVOLVING PERMANENT REPLACEMENT OF EXISTING OFF-ROAD MOBILE EQUIPMENT WITH NON-POLLUTING ALTERNATIVES, PROVIDE THIS INFORMATION FOR THE REPLACED EQUIPMENT.</p> <p>ALSO SPECIFY THE LOAD FACTOR TO BE USED IN DETERMINING THE QUANTITY OF CREDITS ISSUED. AS SUPPORTING DOCUMENTATION, INCLUDE THE FUEL CONSUMPTION RATE UNDER ACTUAL OPERATING CONDITIONS AS WELL AS AT THE MAXIMUM RATED HORSEPOWER FOR EACH LOW-EMISSION EQUIPMENT. ALSO INCLUDE THE FUEL CONSUMPTION DATA FOR EXISTING OFF-ROAD MOBILE EQUIPMENT WHICH ARE PERMANENTLY REPLACED WITH NON-POLLUTING ALTERNATIVES.</p>	
<p>6. BASELINE AND OPTIONAL EMISSION STANDARDS</p> <p>SPECIFY IN <u>ATTACHMENT 2</u> WHETHER THE ARB'S CEILING/MANDATORY EMISSION STANDARD OR THE ACTUAL EQUIPMENT'S EMISSION LEVEL WILL BE USED AS THE BASELINE EMISSION STANDARD. IF THE ACTUAL EMISSION LEVEL IS TO BE USED IN ANY OF THE CREDIT GENERATING PROJECTS, PROVIDE EMISSION TEST RESULTS FOR THE EXISTING OFF-ROAD MOBILE EQUIPMENT IN ACCORDANCE WITH THE ARB'S APPLICABLE TEST PROTOCOLS AND PROCEDURES (THE APPLICANT MAY CONTACT THE DISTRICT TO CONFIRM THE APPLICABLE CEILING/MANDATORY STANDARD).</p> <p>ALSO SPECIFY THE OPTIONAL NO<sub>x</sub>, VOC, CO, OR PM EMISSION STANDARD THAT EACH LOW- OR ZERO-EMISSION EQUIPMENT CERTIFIES TO MEET. FOR ENGINES OR CONVERSION KITS NOT YET CERTIFIED BY THE ARB, PROVIDE EMISSION TEST DATA BASED ON THE ARB'S CERTIFICATION TEST PROTOCOLS AND PROCEDURES (THE APPLICANT MAY CONTACT THE DISTRICT TO CONFIRM THE APPLICABLE OPTIONAL EMISSION STANDARDS).</p>	
<p>7. VERIFICATION OF REPOWERING, RETROFITTING, OR UPGRADING (IF APPLICABLE)</p> <p>INCLUDE AS <u>ATTACHMENT 3</u> A WRITTEN STATEMENT FROM THE EQUIPMENT OPERATOR TO VERIFY THAT THE REPOWERING, RETROFITTING, OR UPGRADING OF EXISTING OFF-ROAD EQUIPMENT ENGINE WAS CONDUCTED IN ACCORDANCE WITH ENGINE OR CONVERSION KIT MANUFACTURER'S SPECIFICATIONS AND PROCEDURES.</p>	

8. **PROOF OF PURCHASE/LEASE**  
 INCLUDE AS ATTACHMENT 4 PROOF OF PURCHASE OR LEASE OF THE LOW- OR ZERO-EMISSION EQUIPMENT OR NON-POLLUTING ALTERNATIVE PROCESS OR METHOD, AND PROOF OF PURCHASE OF THE CERTIFIED ENGINES OR CONVERSION KITS. SUCH PROOF COULD BE IN THE FORM OF SALES CONTRACT AGREEMENT, PURCHASE AGREEMENT, OR SALES RECEIPT. IN THE CASE OF A LEASE, A LEASE AGREEMENT, WHICH STATES THE LEASE PERIOD TO BE NO LESS THAN ONE YEAR, WILL BE REQUIRED.

9. **PROOF OF PERMANENT REPLACEMENT (IF APPLICABLE)**  
 INCLUDE AS ATTACHMENT 5 SUPPORTING DOCUMENTATION TO DEMONSTRATE THAT THE EXISTING OFF-ROAD MOBILE EQUIPMENT HAS BEEN IN CONTINUOUS ACTIVE OPERATION AND IS PERMANENTLY REPLACED BY EITHER SCRAPPING, RELOCATION, OR SALE OUTSIDE THE DISTRICT, AND IS NOT BROUGHT BACK OR SOLD BACK INTO THE DISTRICT.

10. **IDENTIFICATION OF THE LEGAL OWNER OF THE MSERCs**  
 (COMPANY NAME, ADDRESS, TELEPHONE NUMBER, CONTACT NAME)

11. **INTENDED USE OF MSERCs (IF KNOWN):**  
 RECLAIM     REGULATION XI     REGULATION XIII     RULE 2202     VOLUNTARY RETIREMENT  
 IF COMPLIANCE WITH REGULATION XI IS SOUGHT, THE APPLICANT MUST ALSO SUBMIT A RULE 1620 COMPLIANCE PLAN (USE AQMD FORM 400-1620CP).

12. **AB 2766**  
 ARE AB 2766 MONIES BEING USED TO FUND (IN WHOLE OR PART) THE PROJECT?     YES     NO

**SIGNATURE OF PERSON RESPONSIBLE FOR RULE 1620 COMPLIANCE**

I HEREBY CERTIFY, UNDER PENALTY OF PERJURY UNDER THE LAWS OF THE STATE OF CALIFORNIA, THAT ALL INFORMATION CONTAINED HEREIN AND INFORMATION SUBMITTED WITH THIS APPLICATION ARE TRUE AND CORRECT.

SIGNATURE \_\_\_\_\_ DATE \_\_\_\_\_

PRINT NAME \_\_\_\_\_ TITLE \_\_\_\_\_

Send completed application with the required fee to:

South Coast AQMD  
 Permit Services – Reg. XVI  
 P.O. Box 4944  
 Diamond Bar, CA 91765

If you need assistance in completing this form, please call Ms. Vicki White at (909) 396-3436.

AQMD USE ONLY

APPLICATION NUMBER	EQUIPMENT CAT. NUMBER	ASSIGNMENT UNIT	ENGINEER
FEE SCHEDULE \$	VALIDATION	CHECK NUMBER OR MONEY ORDER	AMOUNT